



# Observing Systems Simulation Experiments using the NCEP Data Assimilation System

*February 2003*

<http://www.emc.ncep.noaa.gov/research/osse>



WHERE AMERICA'S CLIMATE AND WEATHER SERVICES BEGIN

Michiko Masutani<sup>\*1</sup>, John C. Woollen<sup>1</sup>, Stephen J. Lord<sup>1</sup>,  
G. David Emmitt<sup>2</sup>, Sidney A. Wood<sup>2</sup>, Steven Greco<sup>2</sup>,  
Thomas J. Kleespies<sup>3</sup>, Haibing Sun<sup>3</sup>, Wei-yu Yang<sup>1</sup>, Russ  
Treadon<sup>1</sup>, Joseph Terry<sup>4</sup>, John C. Derber<sup>1</sup>,  
Robert Kistler<sup>1</sup>, Robert Atlas<sup>4</sup>, Mitch Goldberg<sup>3</sup>, Walter Wolf<sup>3</sup>

NOAA/NWS/NCEP/EMC<sup>1</sup>, Simpson Weather Associates<sup>2</sup>  
NOAA/NESDIS<sup>3</sup>, NASA/GSFC/DAO<sup>4</sup>

# Participating Scientists

## **NOAA/NWS/NCEP/EMC**

Steve Lord, Michiko Masutani  
Jack Woollen, Weiyu Yang  
Russ Treadon, John Derber  
Robert Kistler

## **NOAA/NWS/NCEP/NCO**

Wayman Baker

## **NASA/GSFC/DAO**

Bob Atlas, Joe Terry  
Genia Brin, Steve Bloom

## **Simpson Weather Associates**

Dave Emmitt, Sid Wood  
Steve Greco, Chris O'Handley

## **NOAA/NESDIS/ORA**

Tom Kleespies, Haibing Sun  
Mitch Goldberg  
Walter Wolf, Jim Yoe  
Chris Velden

## **Sponsored by**

NOAA/NESDIS  
NOAA/OAR  
NPOESS/IPO

## **Advisory Panel**

D. Norquist	AFWA
T. Krishnamurti	FSU
E. Eloranta	U. Wisconsin
A. Kasahara	NCAR
G. Mandt	NWS/OS
J. Paegle	U. Utah

## **Advised by**

Roger Daley  
Eugenia Kalnay

# Observing Systems Simulation Experiments

## Observation used for initial OSSEs

Use distribution of real observations in February 1993

RAOB and other conventional data

ACARS (1993 distribution)

HIRS and MSU level 1B data from NOAA-11, NOAA-12

Satellite cloud track wind

Surface observations

## Nature Run

ECMWF reanalysis model

Resolution T213 (about 60 km), 31 levels

06Z 5 February 1993 to 00Z 7 March 1993

Near normal condition

Good agreement in synoptic activities

*Other NR will be introduced  
after OSSE by ECMWF NR is exploited*

# The data assimilation system

Operational NCEP data assimilation system  
March 99 version.  
T62/ 28 level

*Getting ready to move on to the current operational SSI*

## Further Plans

- Development of situation-dependent background error covariances for global and regional systems.
- Bias correction of background field
- Improved moisture background error covariance
- Development of cloud analysis system

# New features in operational (2002) SSI

<http://www.emc.ncep.noaa.gov/gmb/gdas>

- New version of radiative transfer model (OPTRAN)
- Improved treatment in bias correction for radiance data.
- Upgraded background error covariance
- LOS is added as an observed variable.  
(LOS has been included in the test version used for OSSE.)
- Precipitation assimilation is included
- Adjustment for higher resolution models.
- Comprehensive diagnostic tool for radiance assimilation
- Accommodate satellite instruments recent instruments  
HIRS, AMSU, TRMM, SSM/I Precipitation products,  
SBUV (ozone), AIRS, DWL

*NCEP/EMC February 2003*

# Benefits of running OSSEs

(beyond instrument evaluation)

- Prepare for real data  
(formats, data flow, analysis development)
- Some prior experience for new instrument
- Data impact tests with known truth will reveal negative impacts some data sources.
- Design advanced strategies of observing systems and data assimilation (e.g. THORPEX)

# Calibration of OSSE

Using existing data test if the data impact of real and simulated systems are similar

## Procedure for Calibration Experiments

- Spin up data assimilation system beginning 1 January 1993
  - Take initial conditions from reanalysis
  - Use TOVS 1B radiance
  - Use same model and data assimilation system for OSSEs
- Spin up of assimilation with simulated data from 06Z 5 February
- Add or deny runs starting from 00Z 13 February
  - Both real and simulated
  - Total 24 days for calibration and OSSE

# OSE

January 93

February 93

March 93

*Initial condition  
from reanalysis*

5day Forecast

06z 5th Feb.

00Z 7th Mar.

Nature run

Spin up Period

OSSE and calibration

5dayForecast

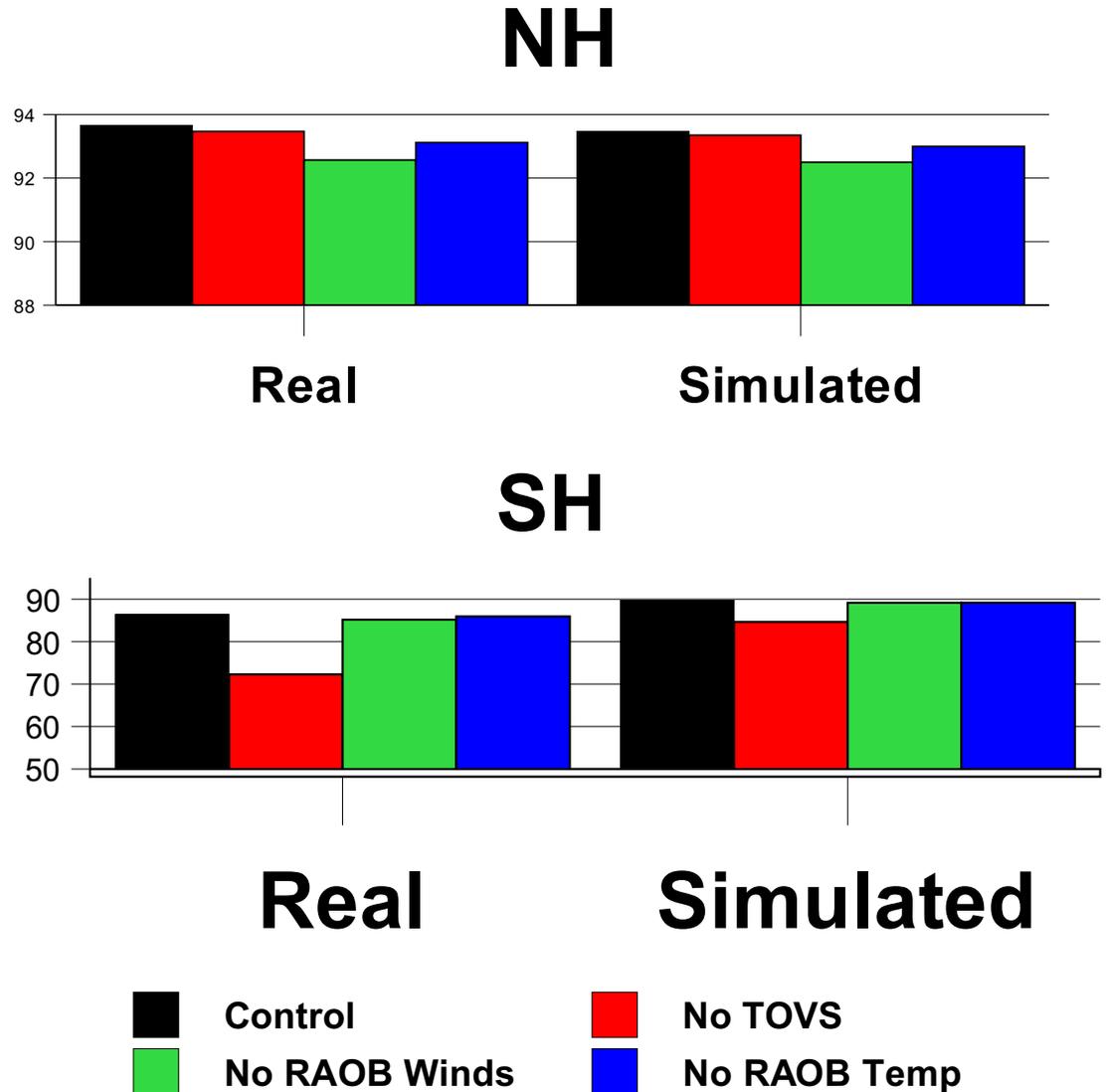
00Z 13th Feb.

Start adding or denying data

**RAOB winds have more impact compared to RAOB temperatures globally in both simulation and real.**

**In general, there is consistency between real and simulated data impacts.**

**SST was kept constant for NR. This will affect the data impact. (Impact of TOVS in SH is too small in Simulation)**



Anomaly correlation between control analysis and 72 hour forecasts for 500 hPa height.

## Potential problems

OSSE data impact depends on error formulation for simulated observations. Random error is easy to produce but it is not challenging enough for data assimilation systems. Need to include systematic large scale errors.

## Errors in Surface data

The error in real surface data is much larger than simulated surface data. Therefore, impact of other data, particularly satellite data including DWL, may be underestimated in simulation.

## Errors in Upper air data

Skill may be sensitive to systematic error added to the upper air data.

# Adding the effect of representativeness error

## Observational error

- Instrument (random and bias)

## Representativeness

- Due to the fact measurement may not represent average grid point value
- Nature produces all time and space scale whereas model is discretized
- A major source of error is topography.

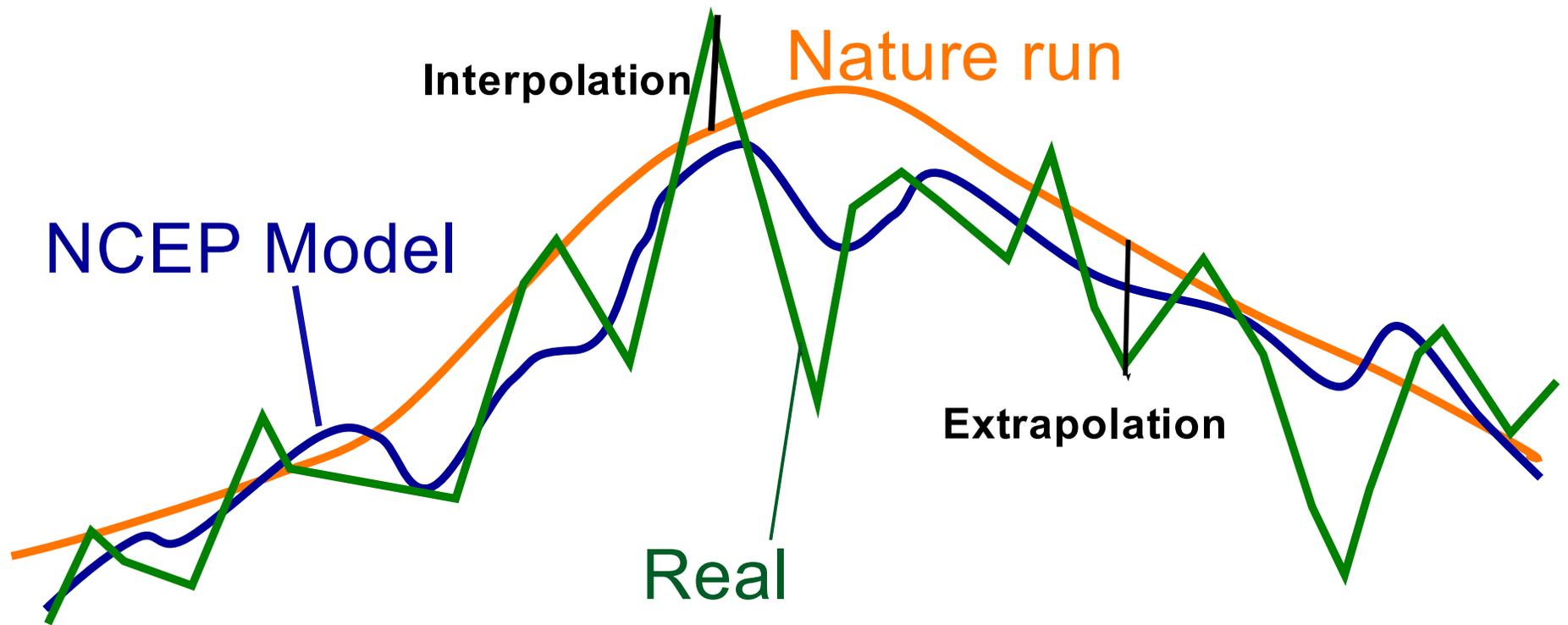
## Problem - How to create representativeness error from the NR

- NR is a model
- Unrepresentativeness already removed.

## Unrepresentativeness is included in

- (Observation - analysis) at every obs point at every time
- depends on meteorology

Extremely difficult to model meteorological dependence



Surface observation can be simulated either at the NR orography ( — ) or extrapolated or interpolated to the real ( — ). Surface observation simulated at the NR orography will produce much smooth and easier to assimilated.

# Error Adjustment Technique

Adjust error based on Obs-analysis (o-a) from real data to add systematic errors

Random error proportional to Representativeness error

Add different error for each observation type

## The adjusted data presented in this paper

Surface synoptic: Random error +  $1.0 \cdot (o-a)$

Ship data:  $1.0 \cdot (o-a)$

Upper air synoptic data:

Adj:  $0.5 \cdot (o-a)$ , Adj\_2:  $2.0 \cdot (o-a)$

*Test impact of removal of surface data with various error assignments*

Impact of error added to the surface data was a lot smaller than that to the upper air data.

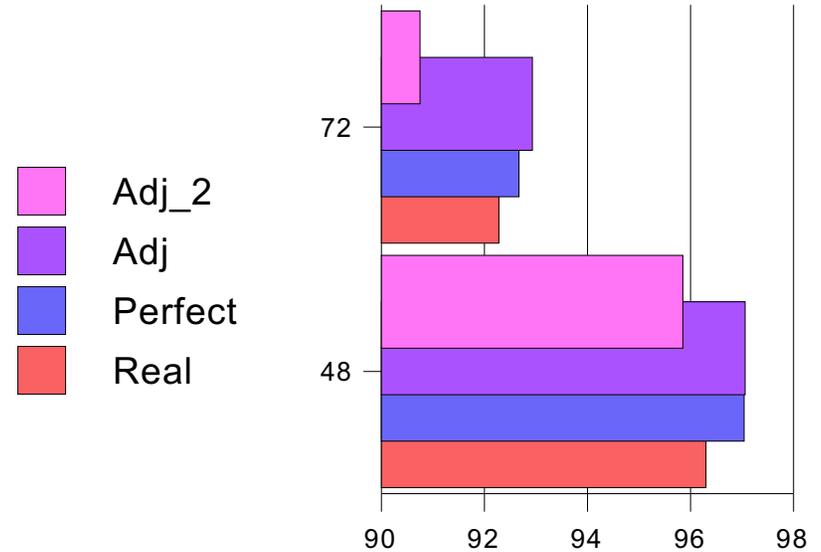
Optimum amount of error to add to the upper air data is between  $0.5*(o-a)$  and  $2.0*(o-a)$

# Impact of Surface data

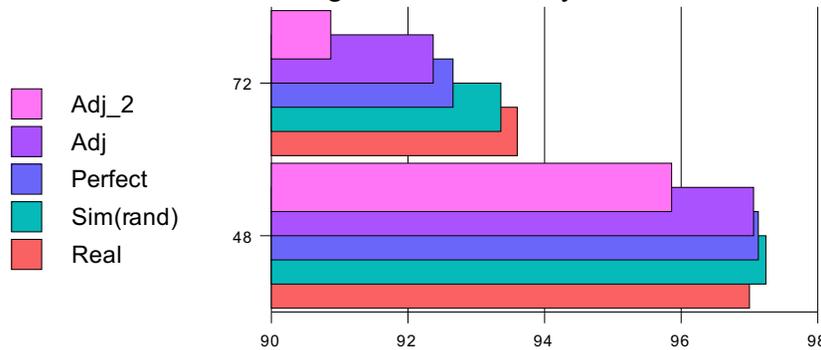
No sfc data verif. vs. anl with sfc

1.0\*(obs-anl) for surface and  
 2.0\*(obs-anl) for upper air data  
 1.0\*(obs-anl) for surface and  
 0.5\*(obs-anl) for upper air data  
 Perfect data with surface data at  
 real surface

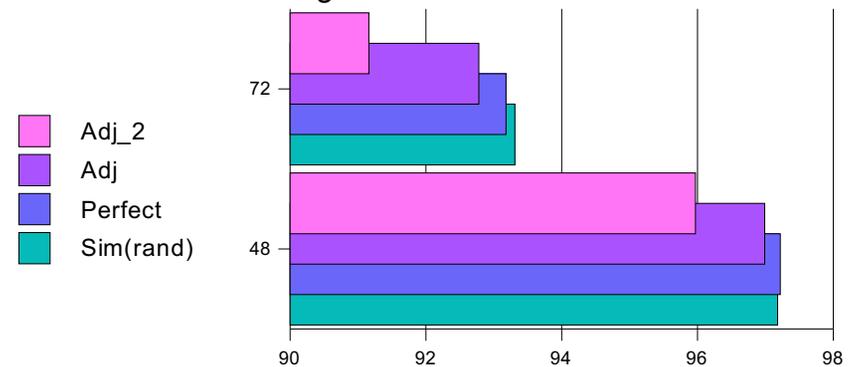
Real



Verified against Own Analysis



Verified against The Nature Run



Simulated with Random error with surface data at NR topography

# Impact Assessment of a DWL

## Simulation of DWL wind

### Poor representative instrument scenarios

**All levels (Hybrid):** Ultimate DWL that provides full tropospheric LOS soundings, clouds permitting.

**Upper:** An instrument that provides mid and upper tropospheric winds only down to the levels of significant cloud coverage.

**PBL+cloud:** An instrument that provides only wind observations from clouds and the PBL.

**Non-Scan:** A non-scanning instrument that provides full tropospheric LOS soundings, clouds permitting, along a single line that parallels the ground track.

One measurement is an average of many shots (LOS)  
(Between 50 to 200)

### **Targeted Resolution Volume (TRV)**

200Kmx200KmxT

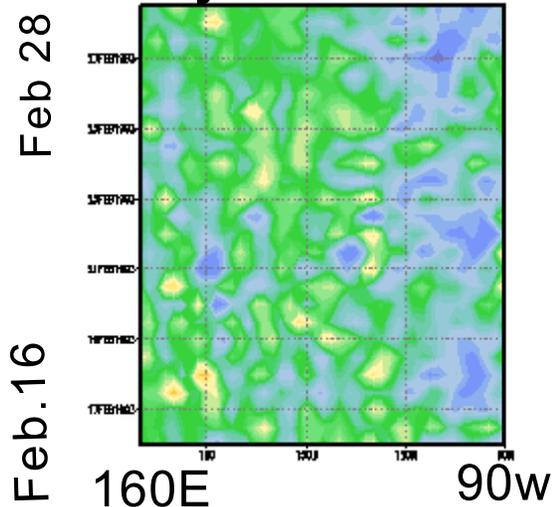
T: Thickness of the TRV

0.25 Km if  $z < 2$  Km, 1 Km if  $z > 2$  Km, 0.25 Km  
for cloud return

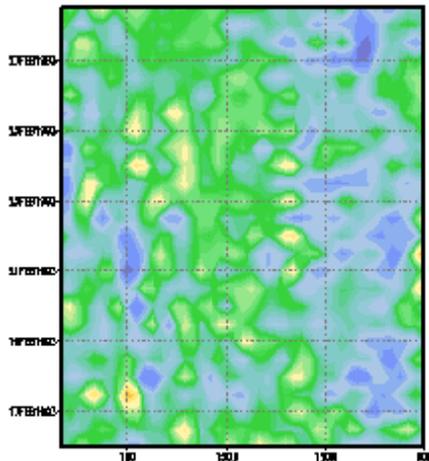
Swath Width: 2000 Km

*The original simulated data without adjustment is used for the DWL impact test presented today.*

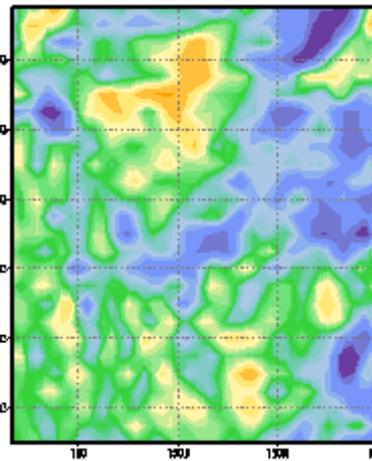
**DWL Scan Hybrid**



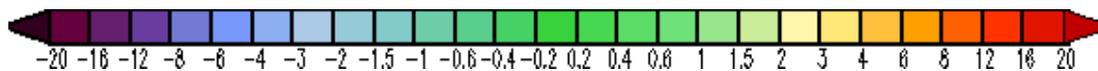
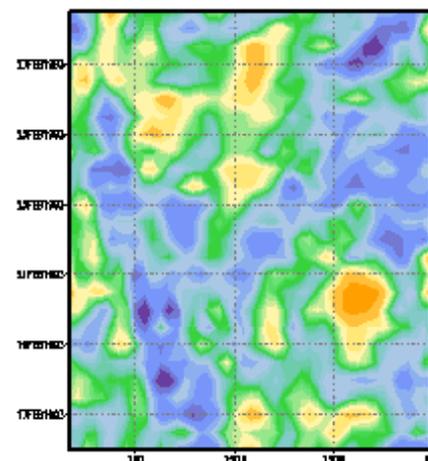
**DWL Upper**



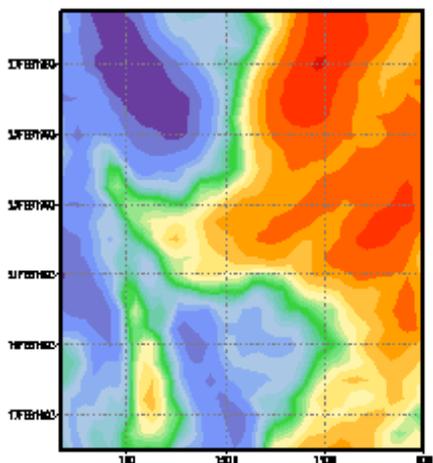
**DWL PBL**



**DWL non Scan**



**Nature run  
(Total Fields)**

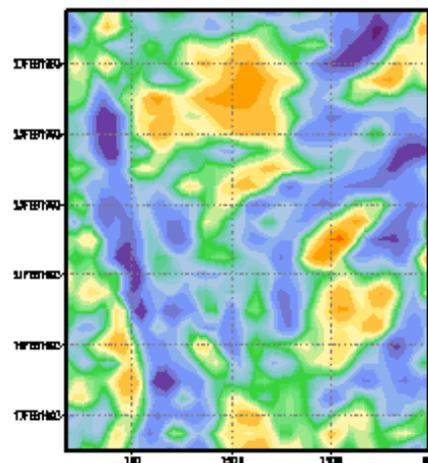


160E 90W

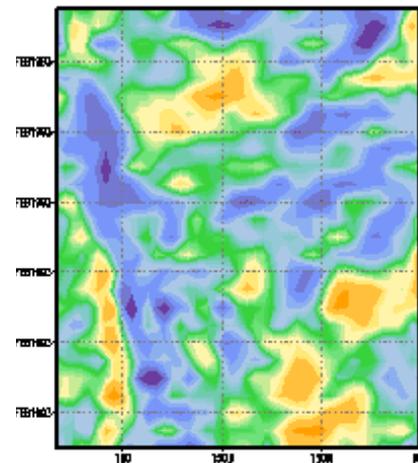
# U 200 Analysis fields

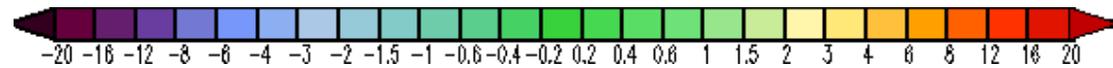
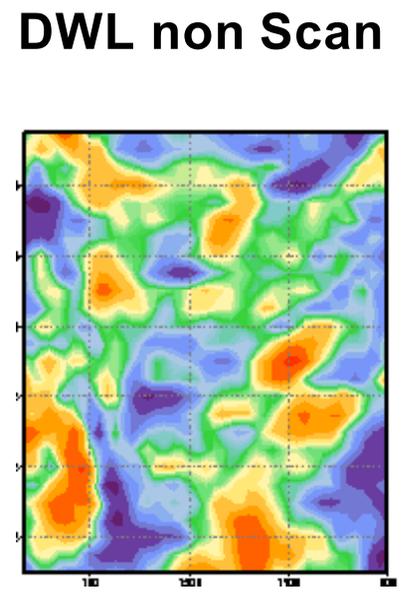
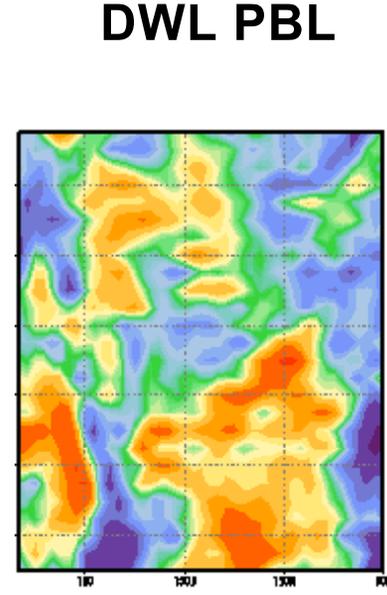
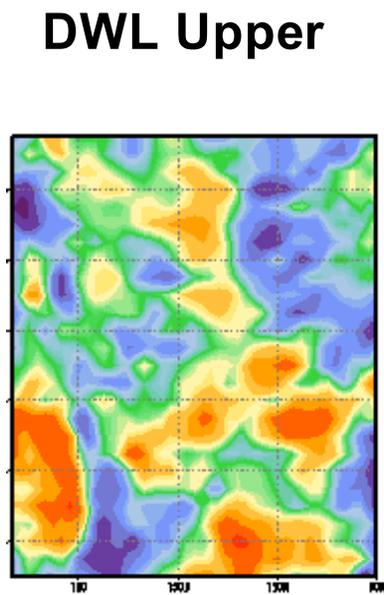
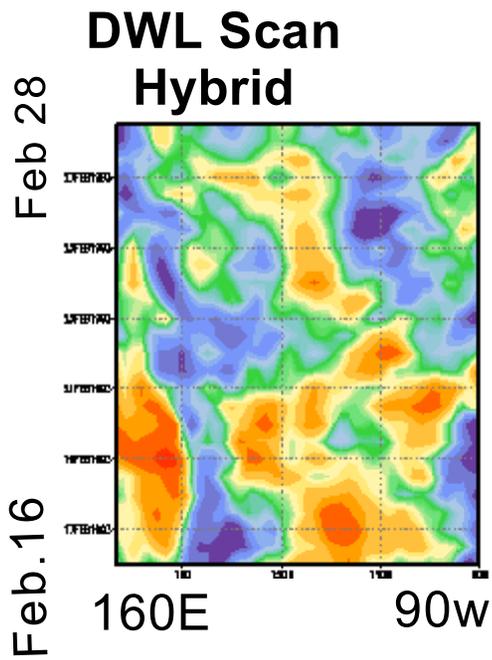
Over the equator  
Difference  
from NR

**Conventional  
Data Only**

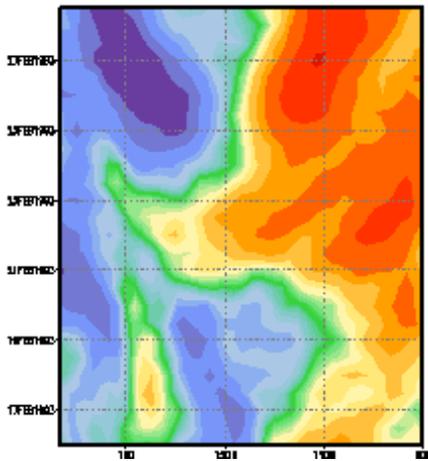


**Conv+ TOVS**





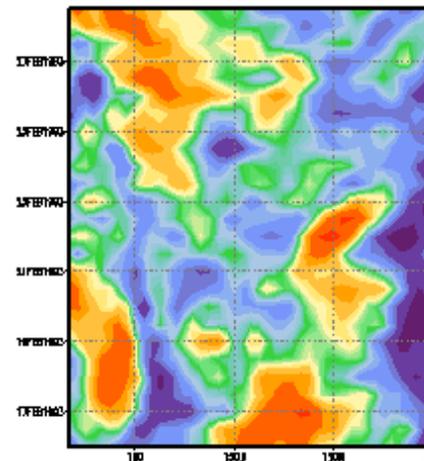
**Nature run  
(Total Fields)**



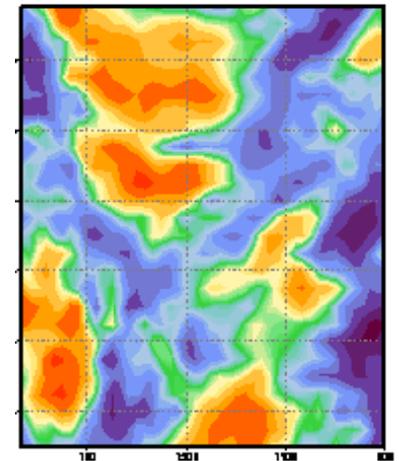
**U 200  
72 Hour  
Fcst**

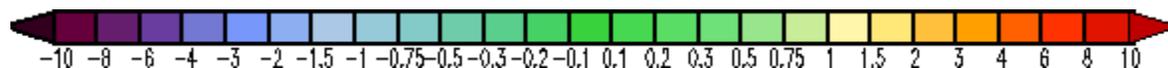
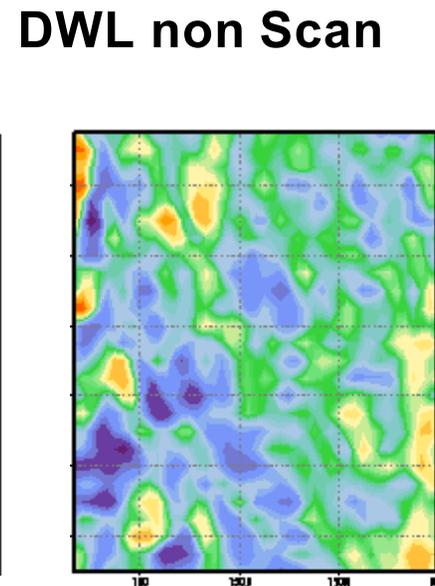
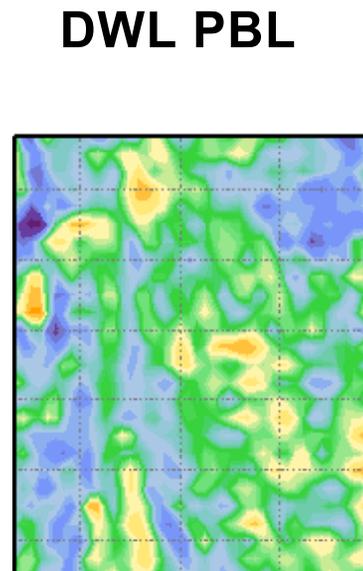
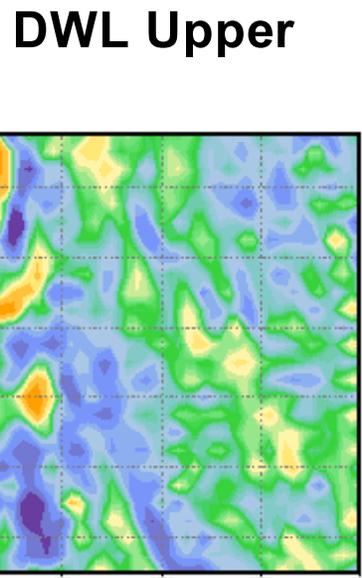
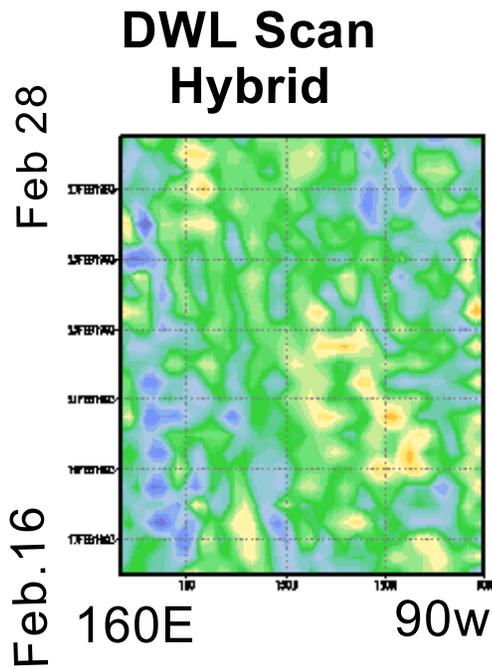
**Over the equator  
Difference  
from NR**

**Conventional  
Data Only**

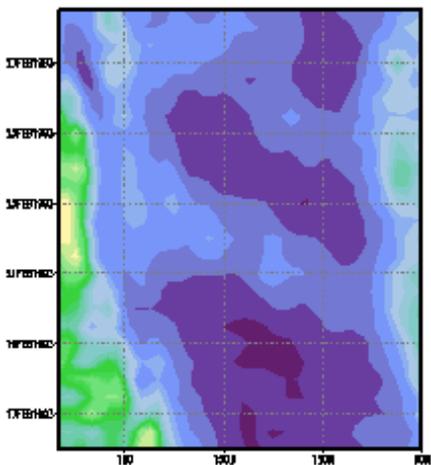


**Conv+ TOVS**





**Nature run  
(Total Fields)**

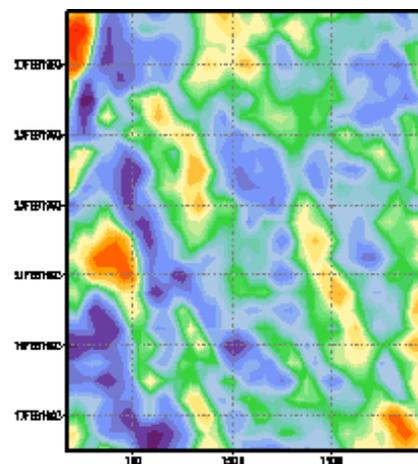


**U 850  
Analysis**

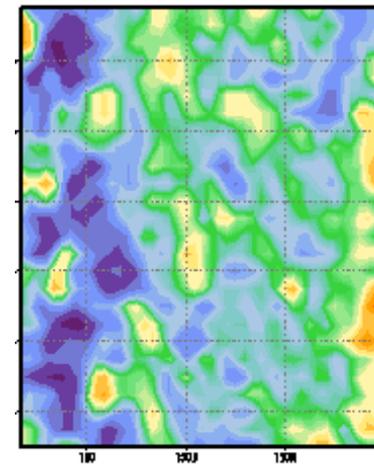
**Over equator**

**Difference from  
NR**

**Conventional  
Data Only**

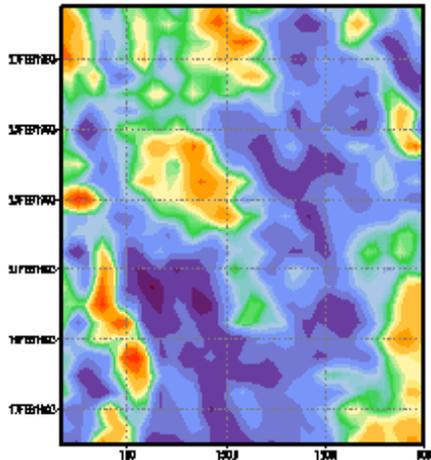


**Conv+ TOVS**



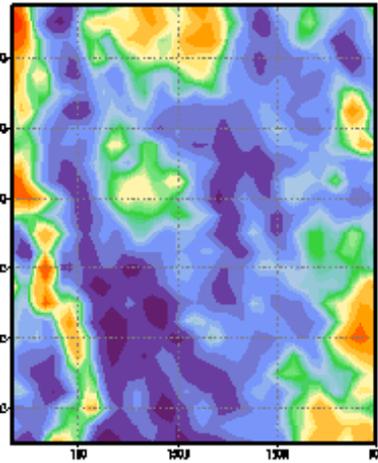
Feb.16  
Feb 28

**DWL Scan  
Hybrid**

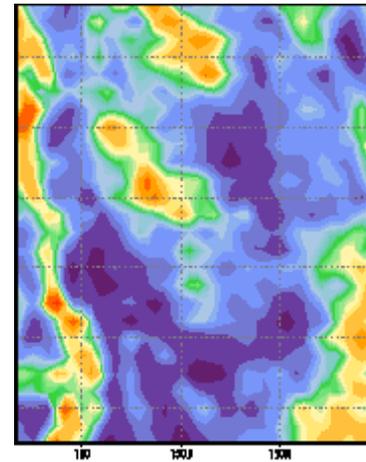


160E 90w

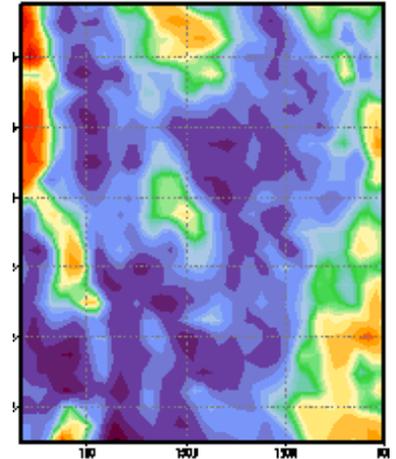
**DWL Upper**



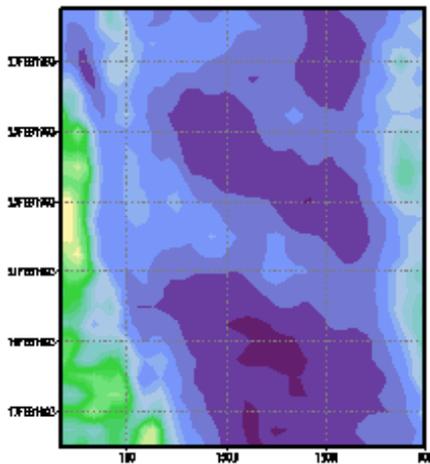
**DWL PBL**



**DWL non Scan**



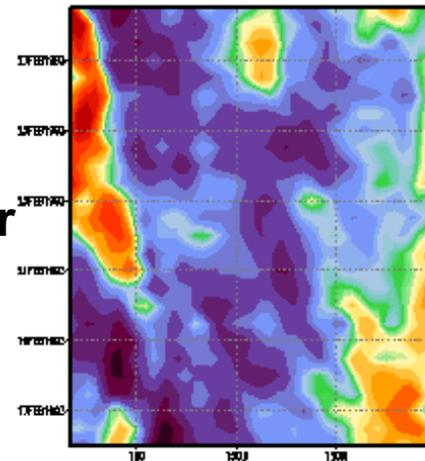
**Nature run  
(Total Fields)**



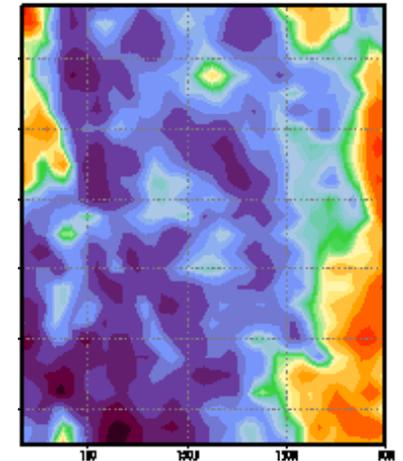
**U 850  
72 Hour  
Forecast**

**Over the equator  
Difference  
from NR**

**Conventional  
Data Only**

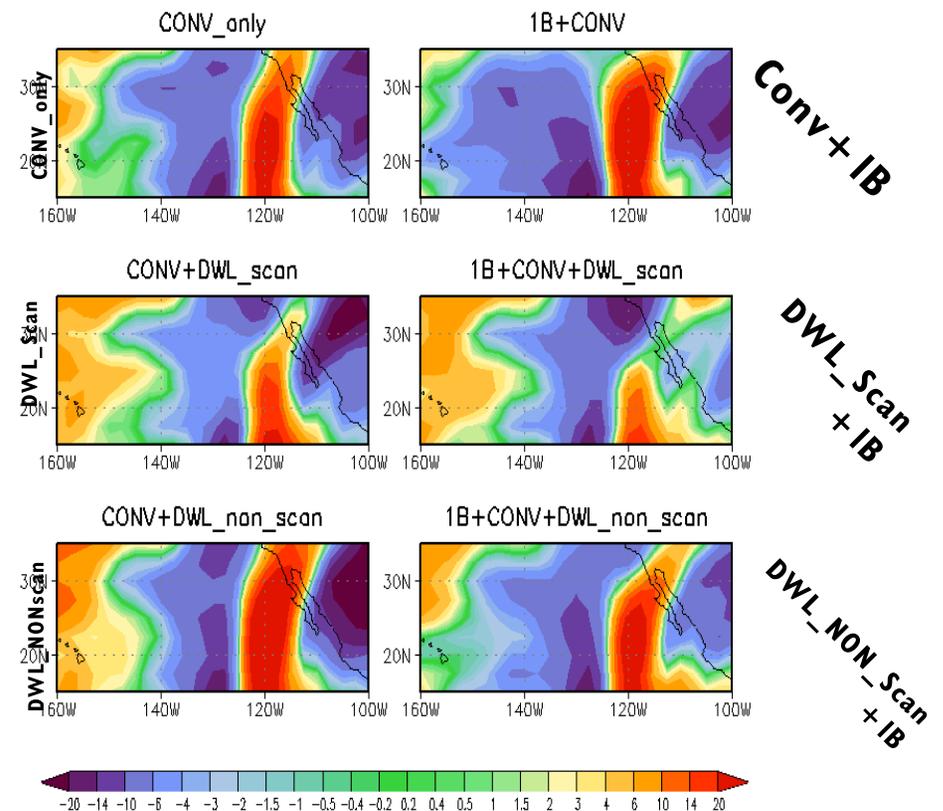
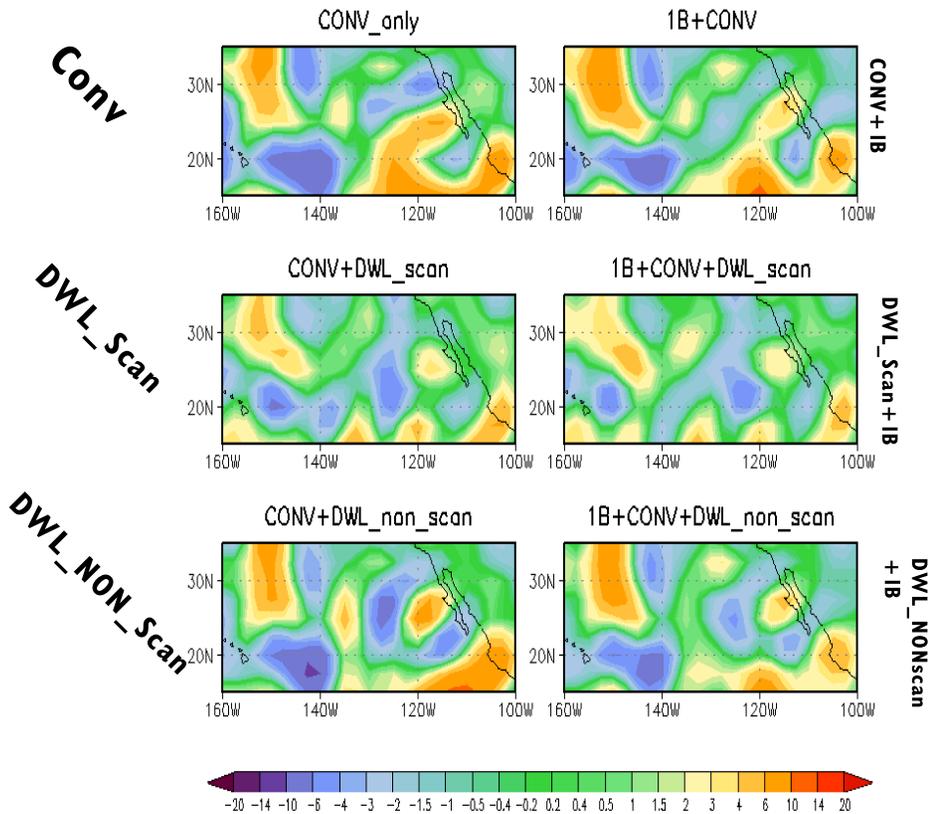
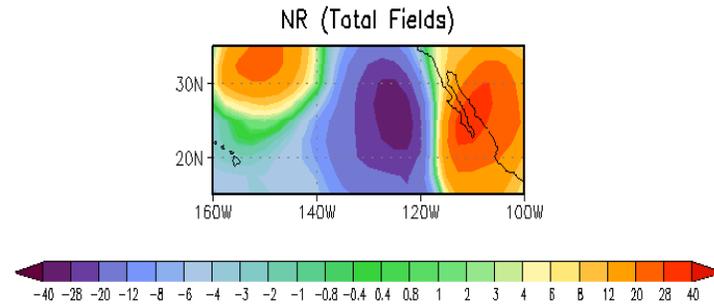
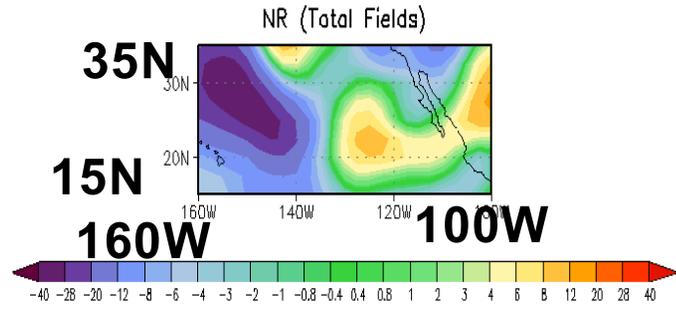


**Conv+ TOVS**



# V 200 Analysis fields on 00Z Feb. 25 Difference from NR

# V 200 72hr fcst fields on 00Z Feb. 28 Difference from NR



# Impact seen in synoptic events

In NH, scanning is important to analyse sharp gradient of the winds. That will affect the forecasts.

In NH, within the time scale of the NR, the impact of DWL is not significant in planetary scale such as U fields.

In tropics, more analysis impacts in area with large gradient of wind. It is also seen in larger scale fields.

In Tropics, due to the large difference between NCEP model and NR, forecast impact be much smaller than analysis impact.

It is more important to have less quality observation throughout troposphere than best observation in PBL.

## Comments

The results need to be verified with further test with various observational error assignments.

Further development of the data assimilation will alter the impact. May increase the impact.

Situation-dependent background error covariances may be more sensitive to higher density data set such as DWL wind.

Other high density data such as AIRS may improve the skill in a great deal. DWL need to be evaluated with AIRS.

DWL could be useful data to calibrate other data set such as Cloud motion vectors and radiance data.

UP to 72 hour forecast Skill in OSSE is representative.  
Beyond 72 hours similarities between models becomes the problem

In NH, case studies reveal the data impact best

Data impact of SH is affected by constant SST in NR.  
Require careful interpretation

*From these experience recommendations for the future NR will be made.*

# Plans for OSSE at NCEP in 2003

## A. Start OSSE for AIRS

- The data has been simulated
- SSI is need to adapted to OSSE.
- Need to prepare for 1993 data

## B. Continue to evaluate simulation of TOVS and AIRS

- Treatment of cloud
- Formulation of observational errors
- Easier to do with upgraded SSI

## C. DWL

- Test more realistic DWL under development
- Test DWL with various distributions of cloud drift winds
- Test DWL with AIRS data.

D. Cloud track wind

E. Adaptive observing strategies

F. Test idealized data set

- Test the importance of divergent winds.
- Impact of extra RAOBs
- Superobbing

G. Plan for OSSE with current and future data distributions

# Instruments to be tested

*(Simulation in progress)*

## OSE and OSSE

Cloud Motion Vector - *Simulated by SWA and DAO*

(Possible OSE)

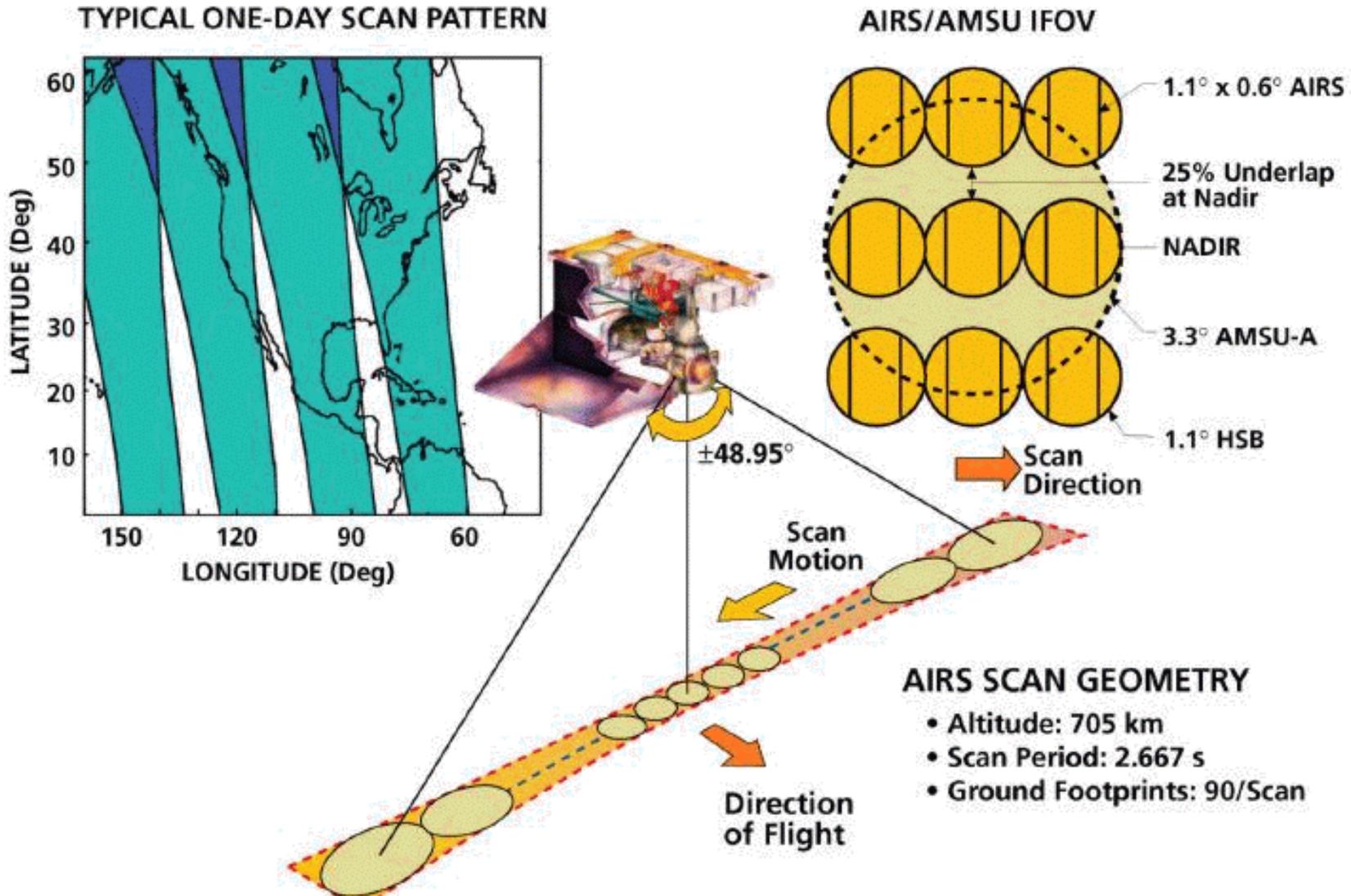
Atmospheric Infrared Sounder (AIRS) and other instruments  
on AQUA - *Simulated by NESDIS*

CrIS

## OSSE

Doppler Wind Lidar (DWL)- *Simulated by SWA and NOAA*

# Simulation of AIRS Radiance



# Radiative transfer model

**AIRS Fast Forward Model** provided by UMBC. This fast transmittance model is based on methods developed and used by Larry McMillan, Joel Susskind, and others. [Larry M. McMillin et al. 1976, 1995].

Hybrid PFAAST/OPTRAN algorithm is developed with kCARTA line by line model.

The Fast Forward Models are developed based on the Pre-launch spectral response function.

## **AIRS Radiance Simulation**

The simulation includes radiances of 281 AIRS channels and microwave radiances for AMSU and HSB.

The simulation result is in BUFR (binary universal form for the representation of meteorological data)