

**Overview of HYCOM ALE efforts**

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**Workshop on Improving ALE Ocean Modeling**

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## HYCOM'S BASIC ALE APPROACH

- **Solve the layered continuity equation**
  - **Move all the layers**
- **Each time step, remap in the vertical to the “desired” layer structure**
  - **Fluid does not move**
  - **Some layer interfaces are moved (remapped) across the fluid**
  - **Isopycnal layers are not remapped**
  - **Choose interpolation that is conservative, with no new extrema**
  - **Nominally, this does not change the solution but it does add diffusion**
- **Basic algorithm for choosing the new layers (Rainer Bleck)**
  - **1-D in the vertical**
  - **Greedy algorithm, starting at the surface**
  - **Favor isopycnal layers**
  - **Maintain minimum layer thicknesses**
  - **A cushion function transitions between isopycnal and non-isopycnal states**
  - **Unused layers are zero thickness at the bottom**
  - **A too-light deepest inflated layer is a special case**
    - **Can't entrain fluid from below**
    - **Unmix into the layer above**

## HYCOM Z-SIGMA-Z

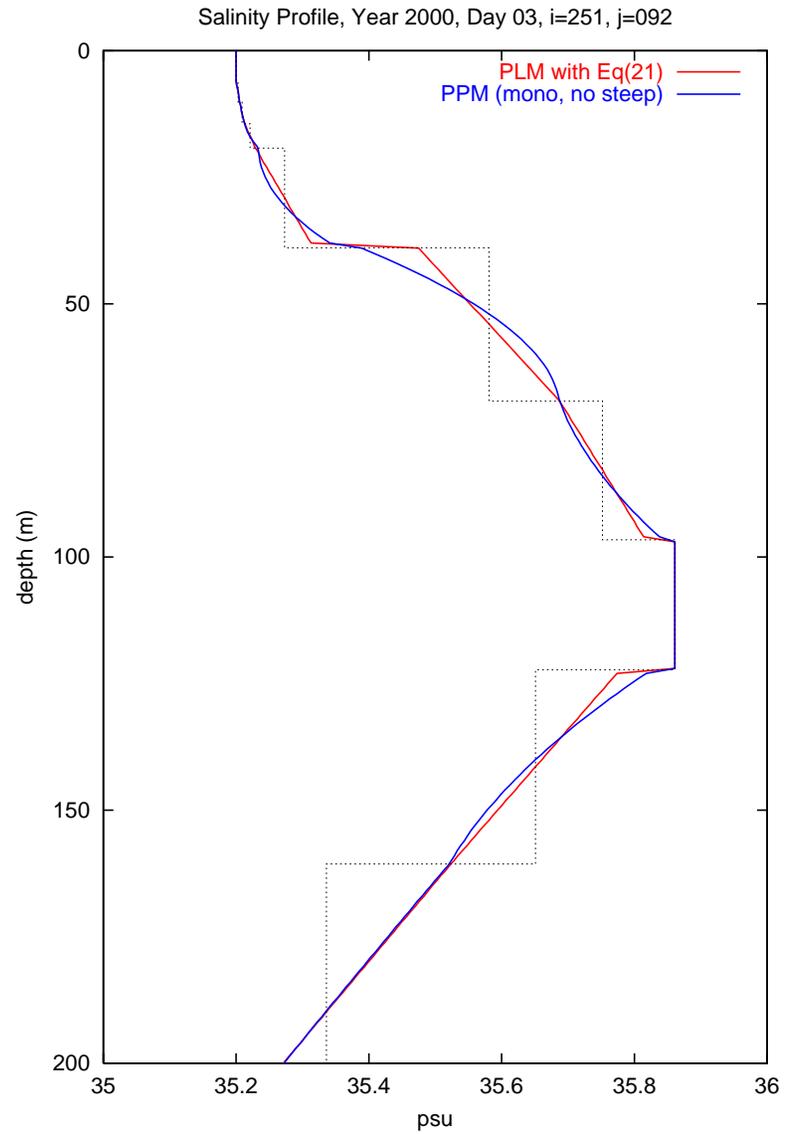
- HYCOM can emulate z-level (fixed depth) and sigma (terrain following) vertical coordinates, but it always uses the layered continuity equation
- Z-levels in HYCOM
  - A sequence of minimum thickness layers starting at the surface forms a fixed grid with no horizontal gradients
  - Since hybgen works in  $dp'$  space, layers are scaled by  $(\eta + D)/D$
- An actual sigma-coordinate model uses a depth-based transformation to become terrain-following, and a sigma-Z model is terrain-following when shallower than a specified depth but is z-coordinate deeper than that depth
- HYCOM has 3 regimes based on bottom depth (Z-sigma-Z):
  - Deep constant minimum thickness
  - Shallow constant minimum thickness (layers 1 to nsigma)
  - Terrain following between “deep” and “shallow” depths
- This can emulate sigma or sigma-Z (or Z)
- When in fixed coordinates all the way to the bottom, there are typically many deep zero-thickness layers which are included in most calculations but have no effect on the solution
  - e.g. layers nsigma+1 to kdm when terrain following

## VERTICAL REMAPPING

- **Vertical remapping has two phases**
  - **Locating the (new, iso-pycnal) layers**
  - **Interpolating from old to new layers**
- **These are not completely separable**
  - **Can't locate layers without allowing for interpolation scheme**
- **Monotonic Finite Volume approach allows partial separation**
  - **Define a profile across original layers**
    - **Can be discontinuous at layer interfaces**
  - **Use profile in deciding where to put layers**
  - **Integrate this profile to get new layer averages**
- **Original HYCOM approach (hybgen)**
  - **Highly non-uniform layer thicknesses**
  - **Same number of layers**
  - **Most (iso-pycnal) layers don't change**
  - **Layers don't move more than one grid length(?)**
  - **Use PCM (Donor Cell) "advection"**
    - **Profile is constant across each layer**

# EXAMPLE OF INTERPOLATION

- **Known: layer thickness and layer vertical average value**

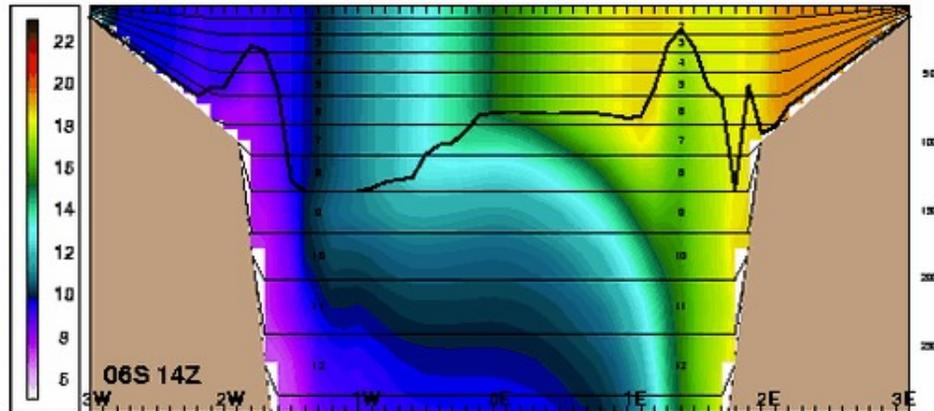


## PLM REMAPPING OF FIXED COORDINATE LAYERS

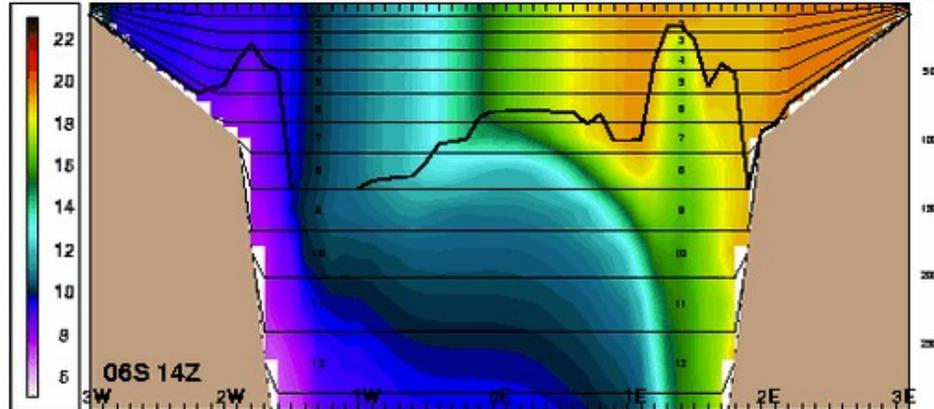
- In HYCOM, hybgen originally used PCM
- Perfect for iso-pycnal layers
  - Most (iso-pycnal) layers don't change
    - No remapping, no diffusion
  - Detrainment (thinning) does not change density
    - Unique to PCM
    - HYCOM might otherwise be impractical
- Not optimal for fixed vertical coordinates
  - Fixed layers always move
  - PCM is very diffusive
- PCM is a special case of PLM (Van Lear)
  - PLM with zero slope
- 1st hybgen upgrade: Use PLM for fixed layers and PCM for iso-pycnals

# UP/DOWN-WELLING TEST - SIGMA-Z PCM vs PLM

temperature zonal sec. 29.91n model day: 80.00 [02.7H]

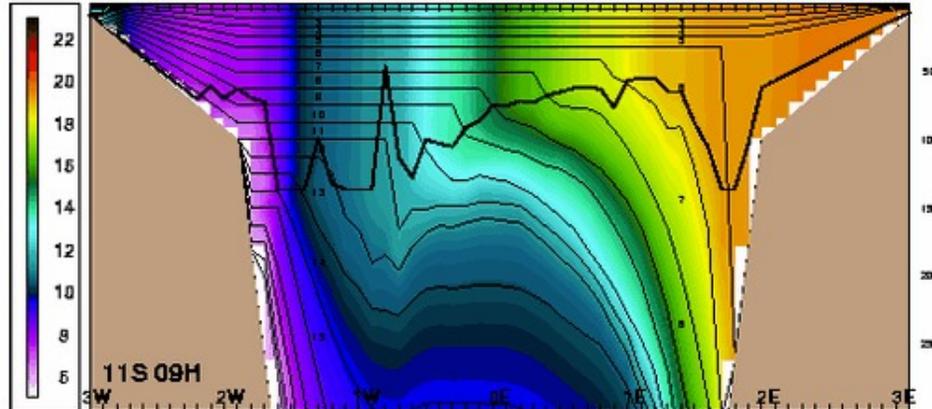


temperature zonal sec. 29.91n model day: 80.00 [06.0H]

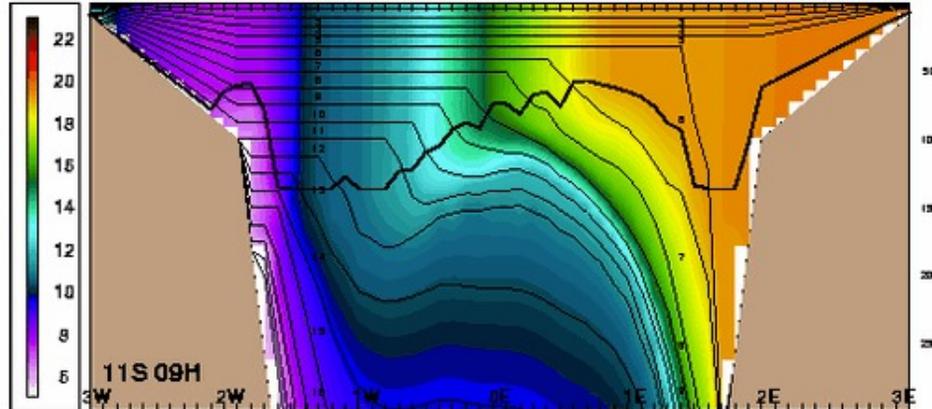


# UP/DOWN-WELLING TEST - HYBRID PCM vs PLM+PCM

temperature zonal sec. 29.91n model day: 80.00 [02.8H]



temperature zonal sec. 29.91n model day: 80.00 [06.2H]



## **HYCOM v2.2 REMAPPING**

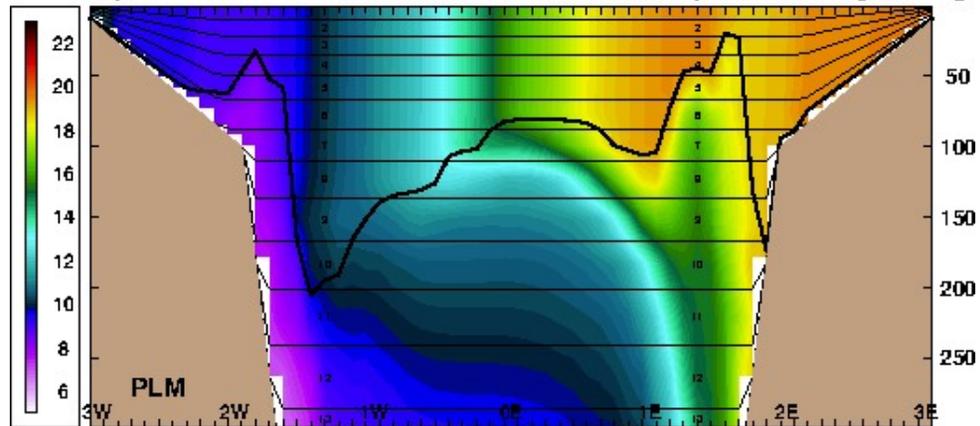
- **Spatially varying layer target densities**
  - **Different isopycnal layers in semi-enclosed seas, e.g. Mediterranean Sea**
  - **Fixed (sigma-Z) in isolated regions, e.g. Black Sea and Baltic Sea**
- **Minimum layer thicknesses via a scale factor (v2.1) or a list of thicknesses**
  - **Redefined the specification of deep Z to sigma to shallow Z**
    - **Always fixed coordinates above a specified depth**
    - **Favor isopycnal layers below that depth**
- **Alternative minimum (e.g.  $dp_{00i}=1m$ ) for all layers when they are ‘isopycnal’ or ‘far’ from surface**
- **Major re-write of hybgen subroutine by George Halliwell**
  - **Must always use PCM for isopycnal layers**
  - **Vertical remapping uses PLM or PPM or WENO-like PPM (Alexander Shchepetkin) for fixed and non-isopycnal coordinate layers**
    - **PCM is a special case of all these methods**
  - **More layers are identified as non-isopycnal**
  - **Updated logic for two layers (one too dense, other too light)**
  - **Attempts to minimize thick-thin-thick-thin layer structure**
    - **Made worse by greedy algorithm**
    - **Ad-hoc fixes are only partially successful**

# UP/DOWN-WELLING TEST - SIGMA-Z

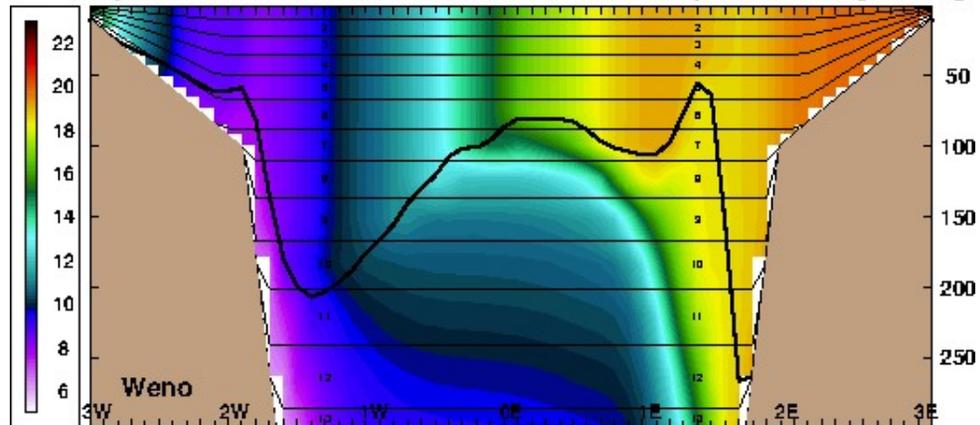
PLM vs Weno

v2.1.05 vs v2.2.98

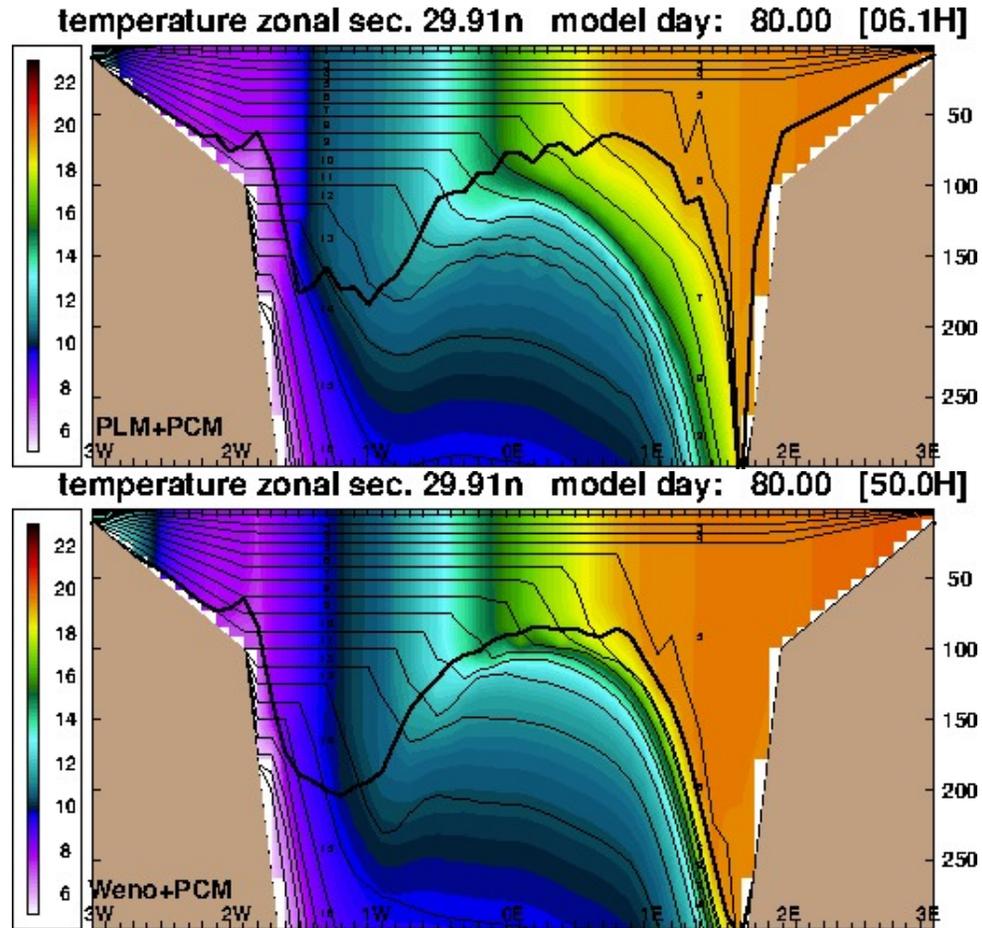
temperature zonal sec. 29.91n model day: 80.00 [06.0H]



temperature zonal sec. 29.91n model day: 80.00 [51.1H]



**UP/DOWN-WELLING TEST - HYBRID  
PLM+PCM vs Weno+PCM  
v2.1.05 vs v2.2.98**



- $dp001=1m$  (2.2.98) allows tighter isopycnals

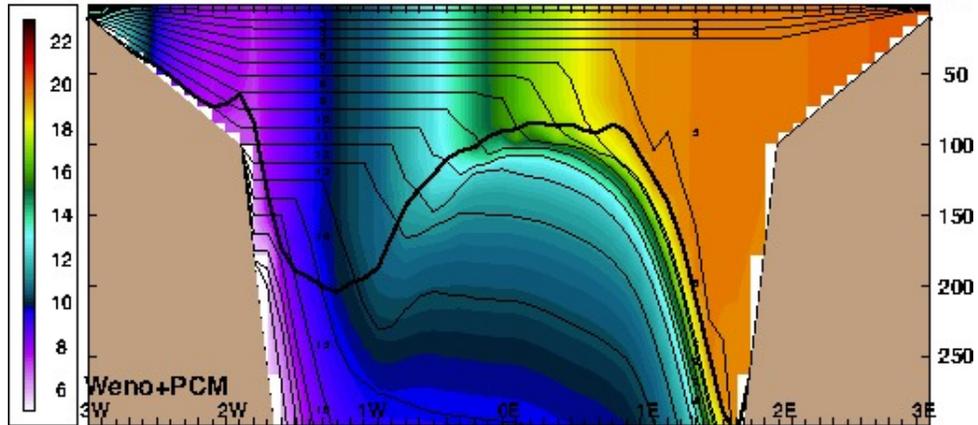
## CHOICE OF LAYER STRUCTURE

- **A hybrid vertical coordinate allow a wide choice of layer structure**
- **We have only explored a small fraction of the possibilities**
- **Initial approach**
  - **Choose isopycnals based on water masses**
  - **Add 4-5 thin Z-level layers near the surface to capture a new mixed layer as it forms**
  - **Set all minimum layer thicknesses small, so the maximum Z-level regime covers (say) 0-200m**
- **Current approach**
  - **Choose isopycnals based on water masses**
  - **Add 10-15 thin Z-level layers near the surface that are never isopycnal and extend from 0-100m**
    - **These will also be always fixed depth over the shelf**
    - **Allows velocity shear over the shelf even when T&S are well mixed**
  - **Choose the remaining minimum layer thicknesses so the maximum Z-level regime covers (say) 0-2500m or deeper**
    - **Includes minimum thicknesses of 600m**
    - **However, minimum layer thickness tends to 1m (dp00i) when sufficient layers above are isopycnal**

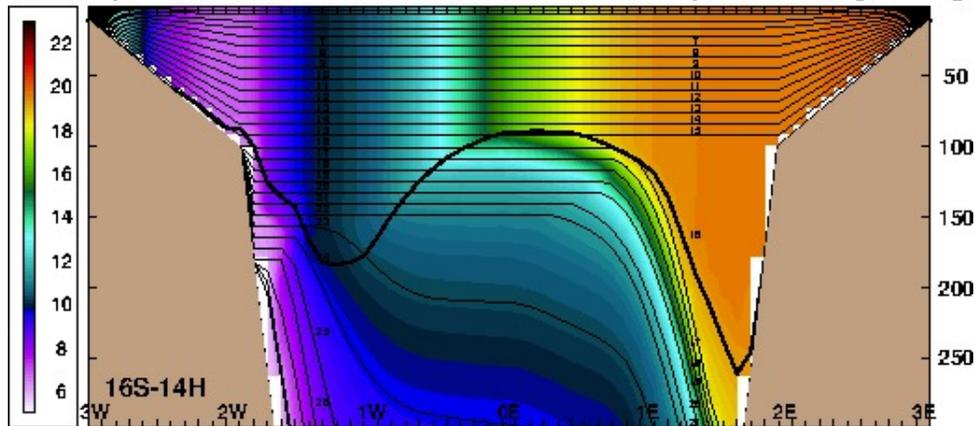
# UP/DOWN-WELLING TEST - HYBRID

## 20 layer vs 30 layer

temperature zonal sec. 29.91n model day: 80.00 [50.0H]



temperature zonal sec. 29.91n model day: 80.00 [54.0H]

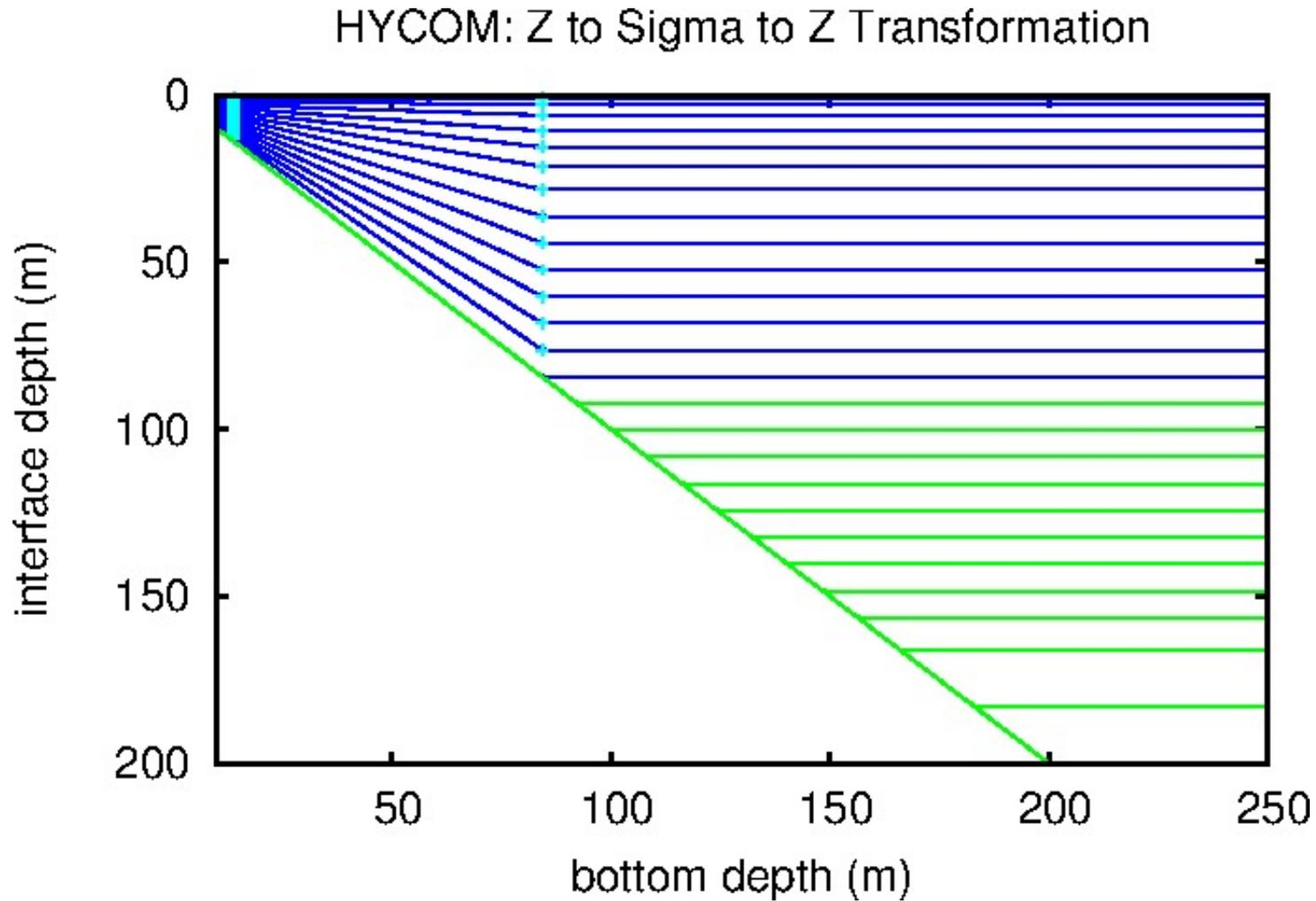


## HYCOM Z-SIGMA-Z

- **HYCOM has 3 regimes based on bottom depth (Z-sigma-Z):**
  - **Deep constant minimum thickness**
  - **Shallow constant minimum thickness (layers 1 to nsigma)**
  - **Terrain following between “deep” and “shallow” depths**
    - **What this means has evolved over time**
    - **All definitions the same for sigma and sigma-Z, but differ if the ratio of deep to shallow minimum thicknesses is not constant**
    - **We now linearly connect deep and shallow minimum thicknesses in depth space**
- **This can emulate:**
  - **Z (nsigma=0, and so no shallow)**
  - **Sigma (nsigma=kdm, shallow = small constant fraction of deep)**
  - **Sigma-Z (nsigma<kdm, shallow = small constant fraction of deep)**
- **For low horizontal resolution cases we use Z**
- **For high horizontal resolution cases we use Z-sigma-Z, which isn't an exact emulation of any other vertical coordinate**

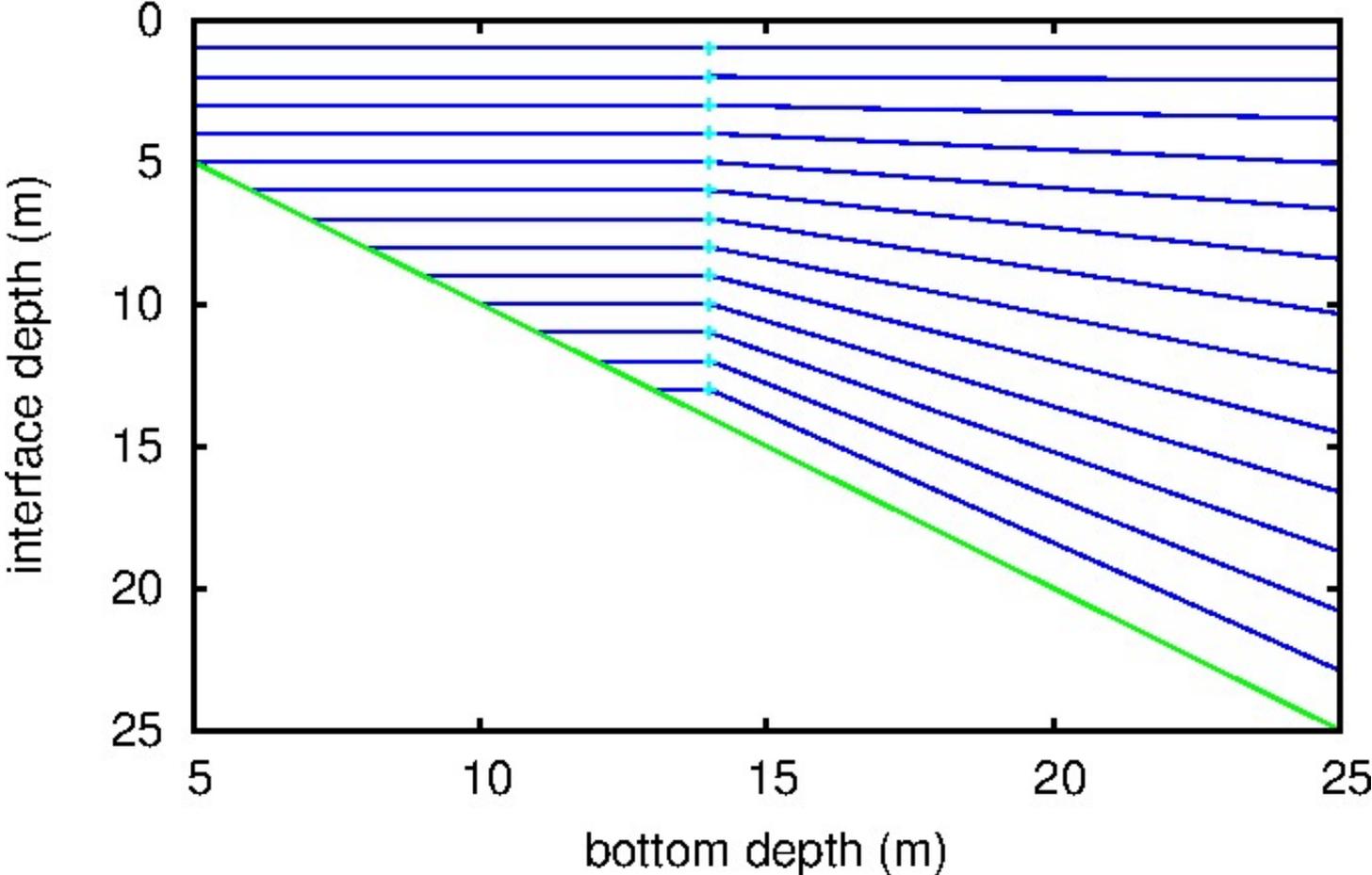
## EXAMPLE Z-SIGMA-Z SETUP: 0-200M

- We currently set the top layer 1 m in both deep and shallow, with all (14) shallow minimums at 1 m and deep minimums increasing to 8m at 100m depth



**EXAMPLE Z-SIGMA-Z SETUP: 0-25M**

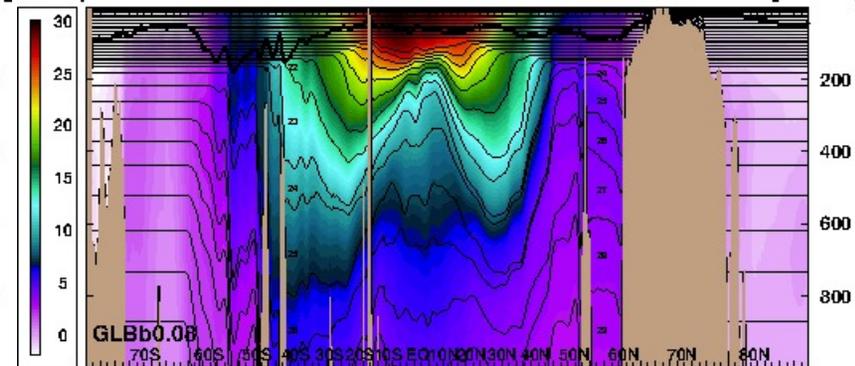
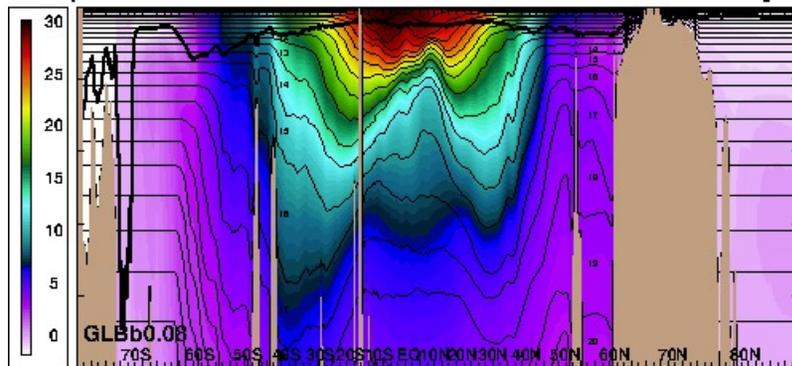
HYCOM: Z to Sigma to Z Transformation



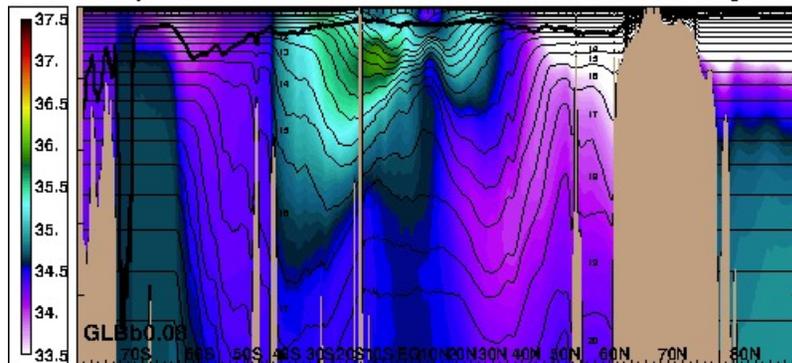
## VERTICAL CROSS-SECTION 2003-2012 AT 180E: 0-1000m

- 19.1 is  $0.08^\circ$  global 32 layers with data assimilation
  - DA explicitly corrects for thick-thin-thick-thin layer structure
- 61.5 is  $0.08^\circ$  global 41 layers without data assimilation

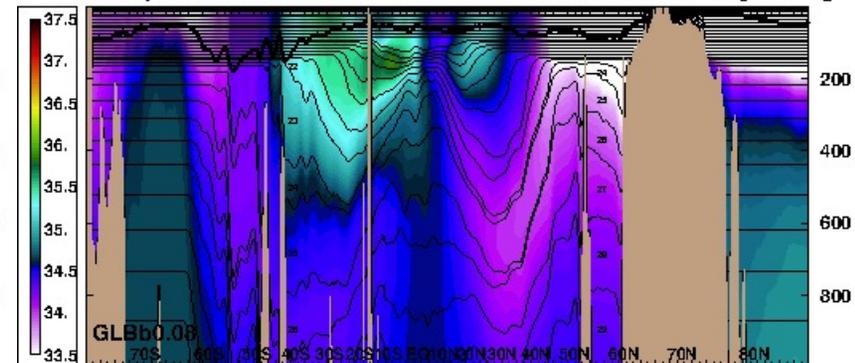
temperature merid.sec.180.00w mean: 1993.00-2013.00 [19.1H]      temperature merid.sec.180.00w mean: 2003.00-2013.00 [61.5H]



salinity merid.sec.180.00w mean: 1993.00-2013.00 [19.1H]



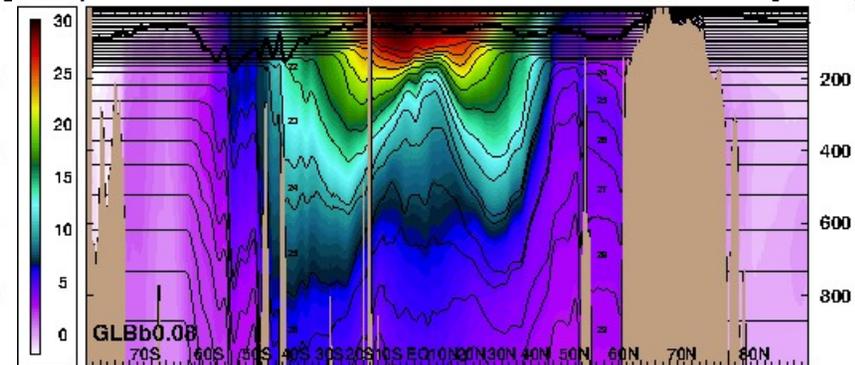
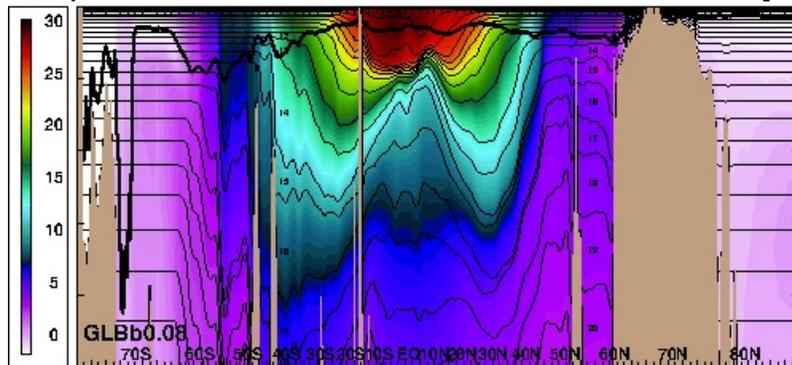
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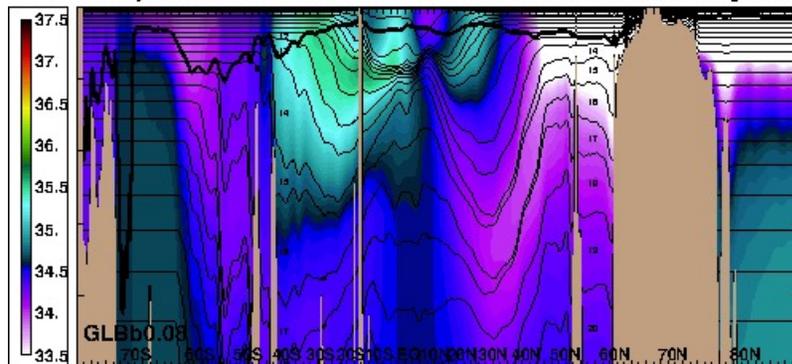
## VERTICAL CROSS-SECTION 2003-2012 AT 180E: 0-1000m

- 10.2 is 0.08° global 32 layers without data assimilation
- 61.5 is 0.08° global 41 layers without data assimilation

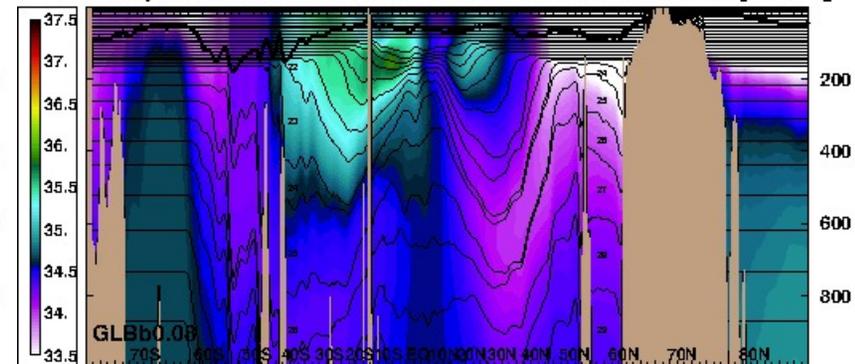
temperature merid.sec.180.00w mean: 1993.00-2013.00 [10.2H]      temperature merid.sec.180.00w mean: 2003.00-2013.00 [61.5H]



salinity merid.sec.180.00w mean: 1993.00-2013.00 [10.2H]



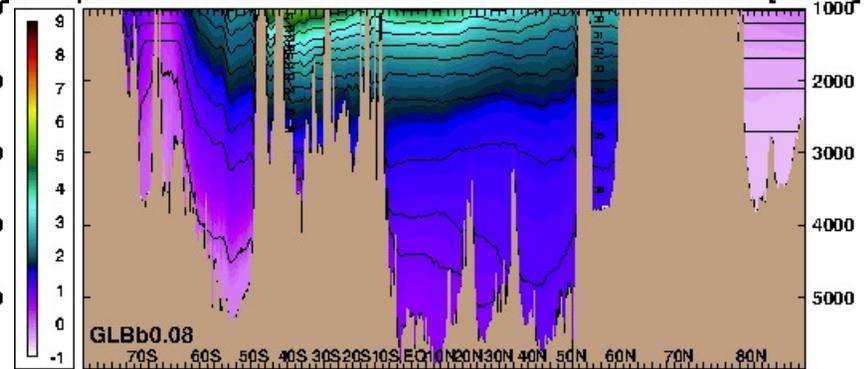
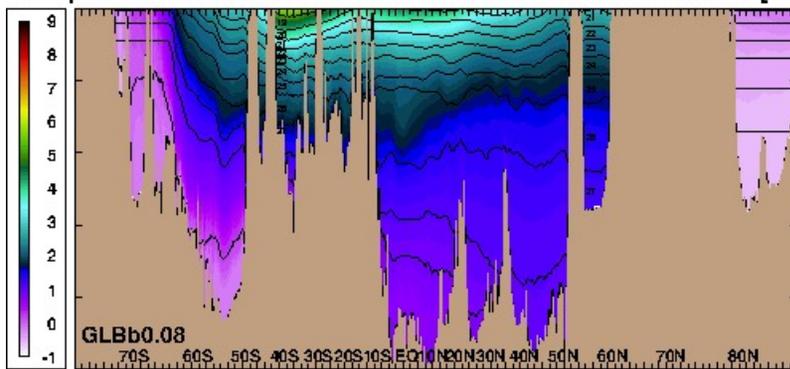
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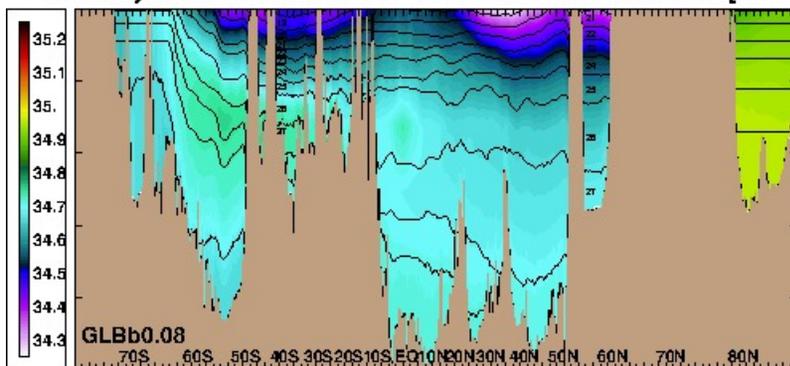
## VERTICAL CROSS-SECTION 2003-2012 AT 180E: 1000-6000m

- 19.1 is  $0.08^\circ$  global 32 layers with data assimilation
- 61.5 is  $0.08^\circ$  global 41 layers without data assimilation

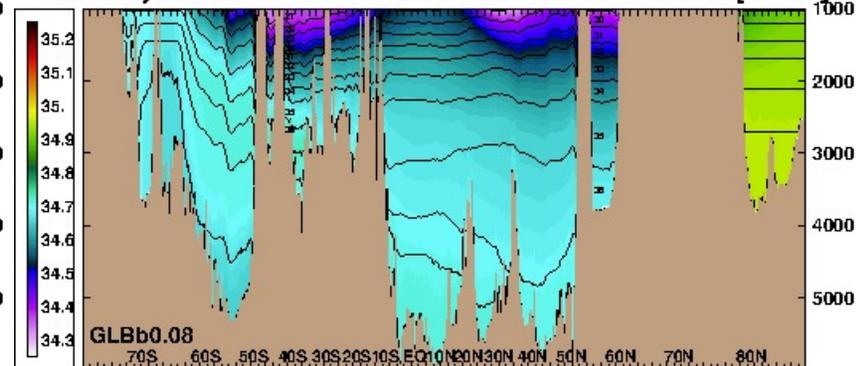
temperature merid.sec.180.00w mean: 1993.00-2013.00 [19.1H]      temperature merid.sec.180.00w mean: 2003.00-2013.00 [61.5H]



salinity merid.sec.180.00w mean: 1993.00-2013.00 [19.1H]



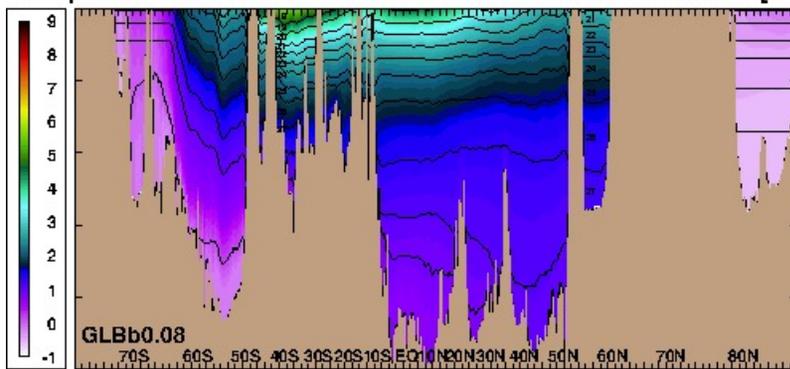
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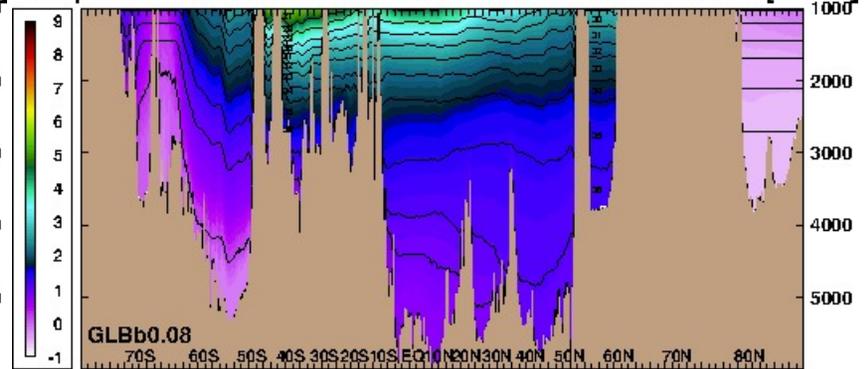
## VERTICAL CROSS-SECTION 2003-2012 AT 180E: 1000-6000m

- 10.2 is  $0.08^\circ$  global 32 layers without data assimilation
- 61.5 is  $0.08^\circ$  global 41 layers without data assimilation

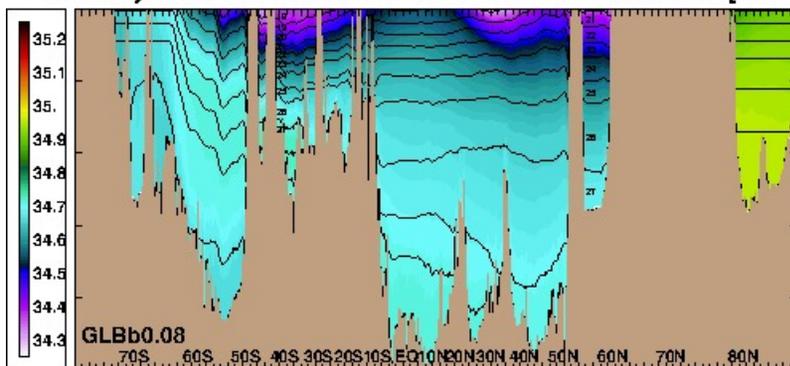
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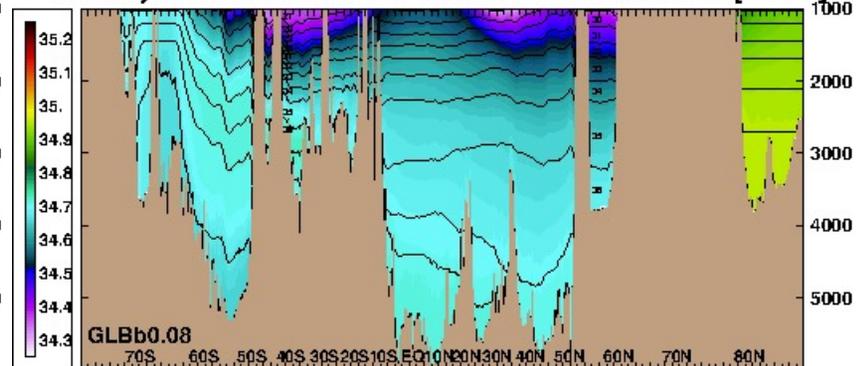
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salinity merid.sec.180.00w mean: 1993.00-2013.00 [10.2H]

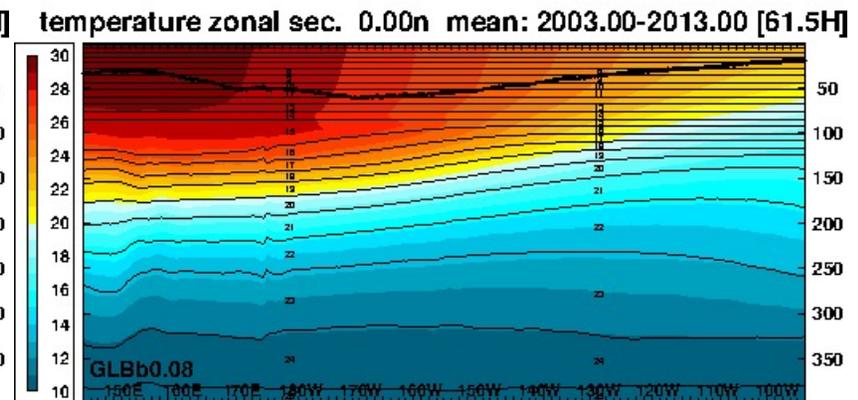
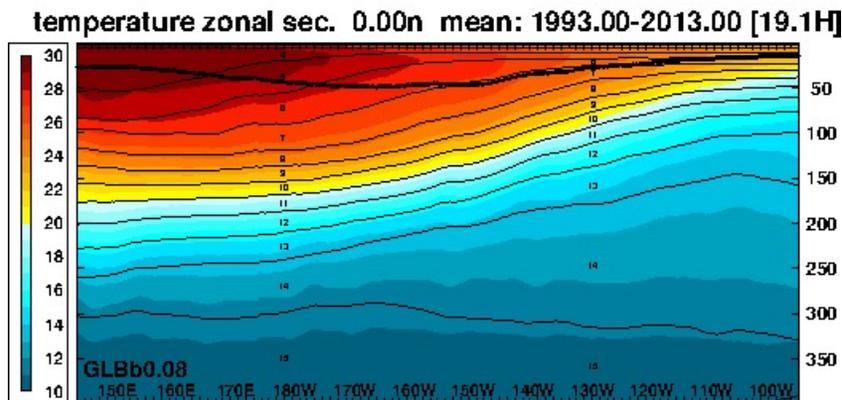
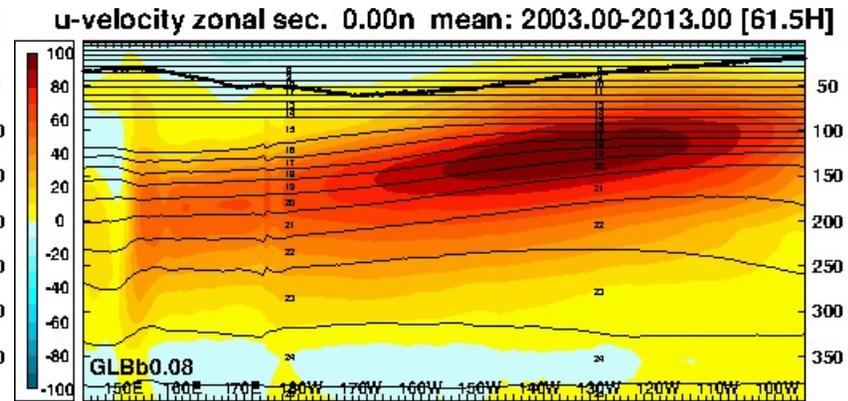
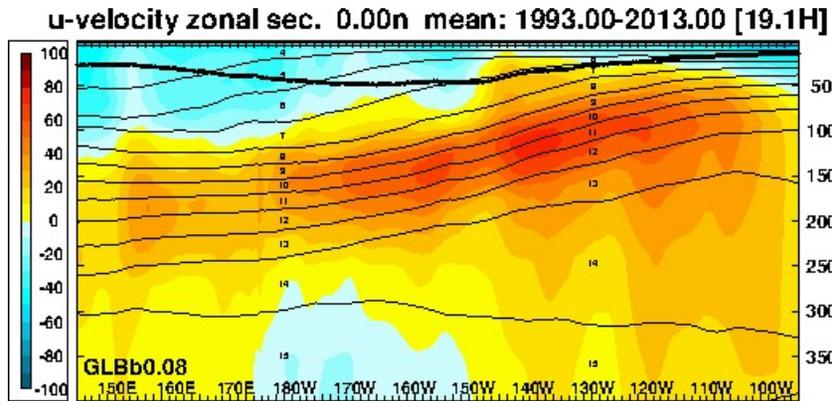


salinity merid.sec.180.00w mean: 2003.00-2013.00 [61.5H]



## VERTICAL CROSS-SECTION 2003-2012 EQUATORIAL PACIFIC

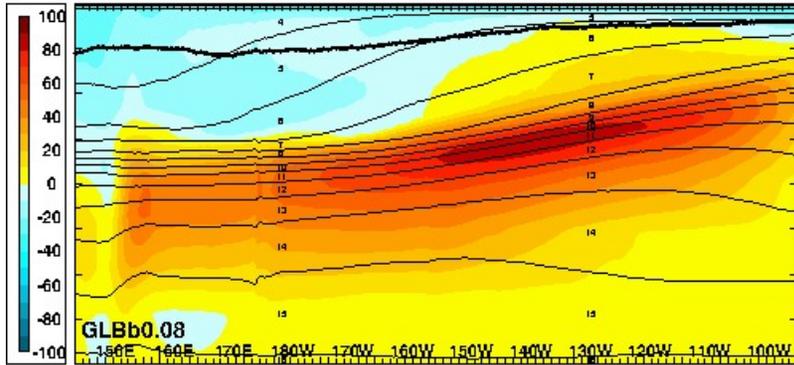
- 19.1 is  $0.08^\circ$  global 32 layers with data assimilation (no velocity assim.)
- 61.5 is  $0.08^\circ$  global 41 layers without data assimilation



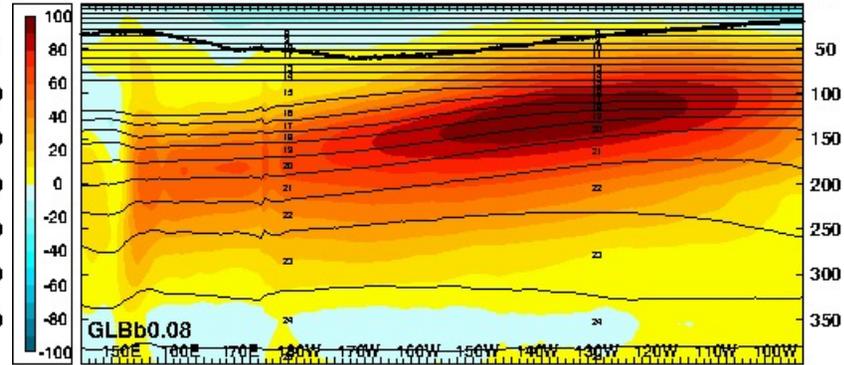
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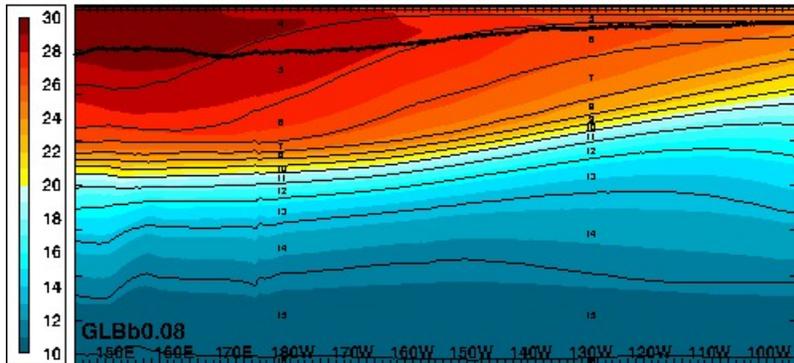
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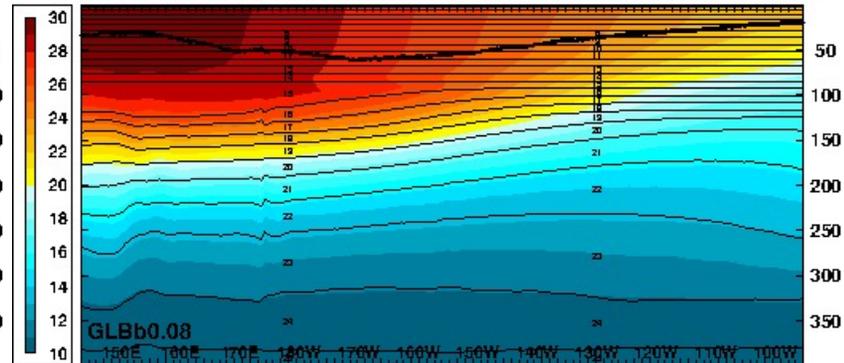
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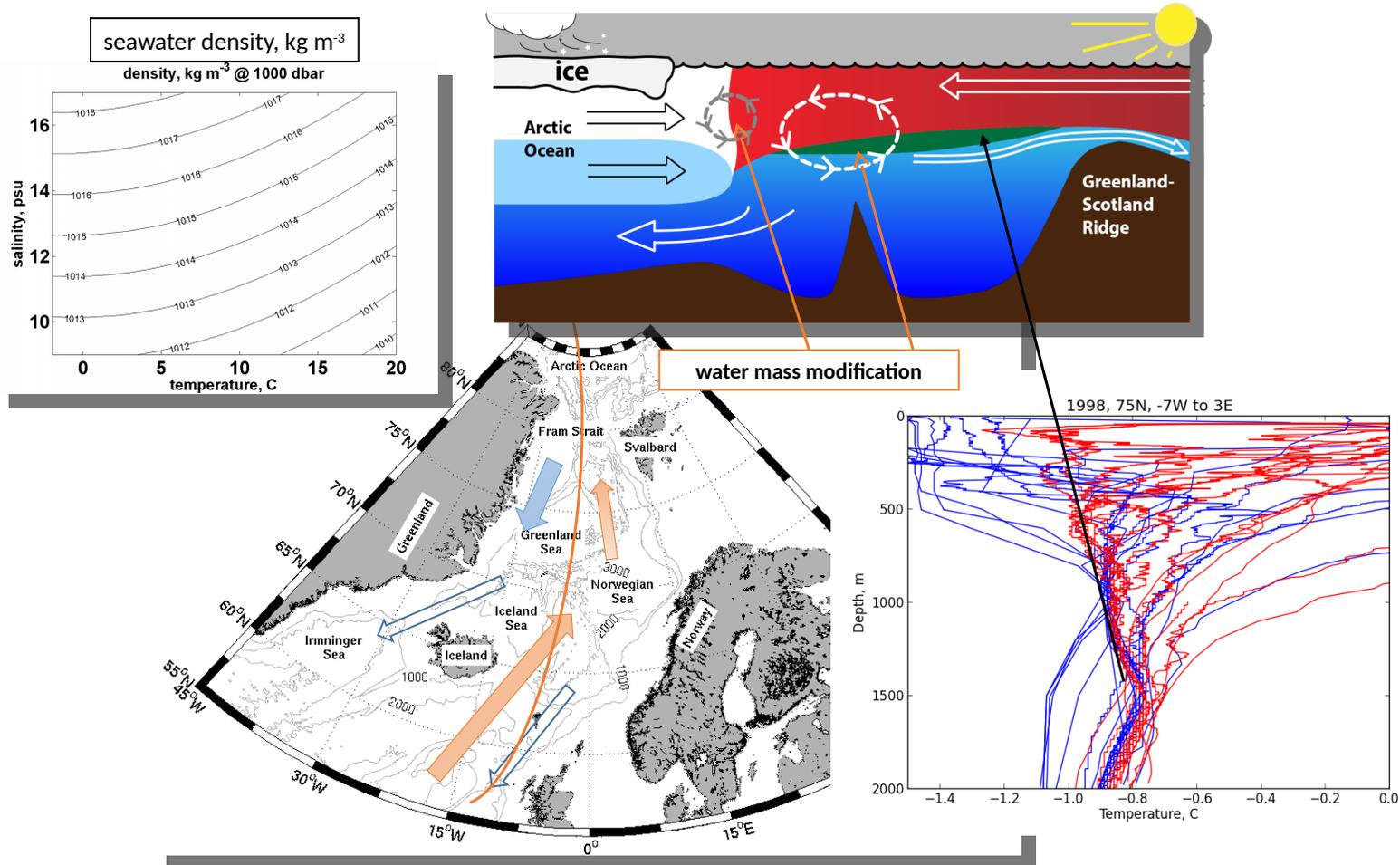


temperature zonal sec. 0.00n mean: 2003.00-2013.00 [61.5H]



## WATER MASSES IN THE NORDIC SEAS

- NRL basic science (6.1) project that will explore thermobaricity, hybrid vertical grid and other issues in HYCOM
- Nordic Seas is an area where many processes are significant



## SUMMARY

- **The hybgen subroutine has proved reasonably successful at defining a robust hybrid vertical coordinate**
- **The latest version is much more complicated than the original, and not all the added complexity is a good thing**
- **Difficult to debug and change because effects can vary by region**
- **A recurring issue is deep isopycnal layers shrinking/disappearing over time**
  - **Suggests that “unmixing” may need an overhaul**
- **Can still get thick-thin-thick-thin layer structure**
  - **Data assimilation (via incremental insertion) used to make this worse**
  - **Now explicitly correct this during data assimilation**
- **Making hybgen a column routine has obvious advantages, but it relies on interface diffusion and the continuity of the model equations to preserve the spatial distribution of layer thickness**
  - **Data assimilation via direct/incremental insertion, and relaxation or nesting, act against layer thickness continuity and can cause problems for hybgen**