

A case study of ozone diurnal variation in the convective boundary layer in the southeastern United States using multiple observations and large eddy simulation

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Abstract

We investigate the ozone diurnal variation on September 6, 2013 under a midsize urban environment using multiple in situ and remote sensing measurements and a large-eddy simulation (LES) model coupled with a chemical module. Our study area is Huntsville AL USA, a typical midsize city in the Southeastern United States. The ozone variation in the convective boundary layer (CBL) was mainly caused by local emissions and photochemical production due to weather conditions controlled by an anticyclonic system on that day. The local chemical production contributes over 2/3 of the ozone enhancement in the CBL. Dynamical processes, including ozone transport from the free troposphere (FT) to the CBL through the entrainment processes, contribute the remainder. The numerical experiments performed by the LES model show good agreement with ozone DIAL observations. This study indicates a need for fine-scale, three-dimensional ozone observations with high temporal and spatial resolution for air quality studies at urban and smaller scales.

Keywords: convective boundary layer, ozone, lidar, large-eddy simulation

28 1. Introduction

29 Ozone is one of the most important air pollutants due to its harmful effects on human health,
30 agriculture, forests, and material damage [EPA, 2008; Jenkin and Clemitshaw, 2000]. Generally,
31 ozone variations in the convective boundary layer (CBL) are associated with multiple factors such
32 as surface emission and deposition, interaction with the free-troposphere (FT), and transport, in
33 addition to the NO_x(=NO+NO₂)-Volatile organic compounds(VOCs) chemical production with
34 presence of sunlight [Huang et al., 2015; Jaffe and Wigder, 2012; Kuang et al., 2011b; Kuang et
35 al., 2012; Langford et al., 2012; Tong et al., 2011]. In the Southeastern United States, ozone
36 concentrations are more sensitive to nitrogen oxides in rural areas due to the high VOC emissions
37 from dense forest coverage. In urban areas (e.g., Atlanta, GA), ozone concentrations are sensitive
38 to both nitrogen oxidants and VOCs from both anthropogenic and natural [Blanchard et al., 2014;
39 Duncan et al., 2010]. Additionally, air stagnation regimes have more influence on the episode days
40 than synoptic scale transport in the Southeastern United States [Hidy, 2000].

41 Ground ozone variation at small scales is receiving increasing concern due to the dramatically
42 growing population [Castellanos et al., 2011; Shao et al., 2009; So and Wang, 2003; Yegorova et
43 al., 2011; Y Zhang et al., 2012]. The urbanization processes can influence a wide range of the
44 atmospheric flow, including the surface-air exchange, turbulence regime, the micro-climate, and
45 accordingly change the transport, dispersion and deposition of atmospheric pollutants including
46 ozone. NASA plans to launch a geostationary air-quality satellite, Tropospheric Emission:
47 Monitoring of Pollution (TEMPO), providing high spatio-temporal observations [Chance et al.,
48 2013; Zoogman et al., 2017]. Furthermore, air-quality studies with fine scales are one of the most
49 important foci in recent field campaigns (e.g., DISCOVER-AQ field campaign, Deriving
50 Information on Surface conditions from Column and Vertically Resolved Observations Relevant
51 to Air Quality), SEAC⁴S (Studies of Emissions and Atmospheric Composition, Clouds and
52 Climate Coupling by Regional Surveys) [Goldberg et al., 2014; Martins et al., 2013; Peterson et
53 al., 2014].

54 Thorough knowledge of urban CBL structure is the key to satellite observations and forecast
55 modeling of fine-scale air quality studies. However, studies of urban CBL structures are very
56 challenging due to many factors such as the lack of fine resolution observations, emission and
57 deposition inventories, and the coarse resolution of current air-quality models [Castellanos et al.,
58 2011; Duncan et al., 2014; J. Fishman et al., 2012; Y Zhang et al., 2012]. Several studies have
59 utilized, with considerable success, lidar measurements and large-eddy simulation (LES) model to
60 study air quality with fine resolutions by taking advantage of the strengths of observations and
61 simulations with fine resolution [Chamecki et al., 2009; Kuang et al., 2011b; Langford et al., 2010;
62 Ouwersloot et al., 2012; Senff et al., 2010; van Stratum et al., 2012]. In this paper, we combine the
63 strengths of the lidar and LES model for fine-scale urban air-quality studies to investigate impacts

64 of the CBL chemical and dynamical processes on ozone diurnal variation in Huntsville AL, a
65 typical midsize city in the Southeastern United States.

66 The structure of this paper is as follows: Section 2 describes the measurements and models. Section
67 3 describes the methodology. Section 4 provides a discussion and analysis on the results. Section
68 5 summarizes and concludes this study.

69 2. Measurements and model

70 2.1. Measurements

71 Ozone production in Huntsville AL is more sensitive to NO_x than VOCs because the Southeastern
72 United States has dense forest coverage and agricultural land that emit significant VOCs in the
73 summer, resulting in frequently elevated ozone levels [*Biazar, 1995; Blanchard et al., 2014*].
74 Observations were collected on September 6, 2013 during the field campaign of Studies of
75 Emissions and Atmospheric Composition, Clouds and Climate Coupling by Regional Surveys
76 (SEAC⁴RS), which included ozone and meteorological fields from multiple platform instruments
77 located on the campus of University of Alabama in Huntsville (UAH 34.724°N, 86.645°W)
78 [*Kuang et al., 2011b*].

79 The tropospheric ozone Differential Absorption Lidar (DIAL) was developed jointly by the
80 University of Alabama in Huntsville (UAH) and NASA Goddard Space Flight Center (GSFC).
81 This DIAL retrieves ozone profiles, with a precision generally better than 10%, from 100 m AGL
82 to ~10 km AGL with a temporal resolution of up to 2 minutes and a varied vertical resolution from
83 150 m at the bottom of the measurement range to 550 m at the top. Consequently, this DIAL can
84 provide continuous ozone observations, which are used to study the ozone variation in the CBL
85 [*Kuang et al., 2011a; Kuang et al., 2013*].

86 UAH's Mobile Integrated Profiling System (MIPS) is a collection of instruments located on
87 UAH's campus, which is approximately 100 m from our ozone DIAL. It includes a 2kHz Doppler
88 Sodar, a 915MHz Doppler wind profiler (Radian LAP-3000), a 12-channel microwave profiling
89 radiometer (MPR, Radiometrics TP/WVP-3000), and a Vaisala laser ceilometer (Vaisala CT-41k),
90 and surface instrumentation [*Busse and Knupp, 2012; Karan and Knupp, 2006; Knupp et al., 2009;*
91 *Wingo and Knupp, 2014*]. A recently acquired 1.5 μm Compact Wind and Aerosol Lidar (CWAL)
92 is co-located in the same lab as our ozone DIAL. Table 1 lists a brief description of each instrument.
93 A more detailed description of MIPS is available at <http://vortex.nsstc.uah.edu/mips/system/>.

94 3. Dutch Atmospheric Large-Eddy Simulation (DALES)

95 We use DALES 4.0 in this study. DALES is developed and maintained by Delft University of
96 Technology, the Royal Netherlands Meteorological Institute, Wageningen University, Max Planck
97 Institute for Chemistry, Utrecht University, and Technical University of Catalonia, etc. [*Böing et*
98 *al., 2012; Heus et al., 2010*]. It has been used in studies of boundary layer dynamics and chemistry,

99 since it has the ability to couple the two together [Aan de Brugh *et al.*, 2013; Ouwersloot, 2013;
100 Ouwersloot *et al.*, 2011; Vilà-Guerau de Arellano *et al.*, 2005]. This feature makes it possible for
101 us to study ozone variations in the CBL through complex dynamical and chemical processes in
102 fine resolutions [Blay-Carreras *et al.*, 2014; van Stratum *et al.*, 2012; Vilà-Guerau de Arellano *et*
103 *al.*, 2009]. The processes with scales larger than a set filter width are explicitly resolved using the
104 Navier-Stokes equation with the Boussinesq approximation while smaller-scale processes are
105 parameterized based on a one and one-half order closure assumption. Periodic boundary conditions
106 occur in all four horizontal directions in this study [Heus *et al.*, 2010].

107 One of the advantages of DALES is to explicitly resolve turbulence, including intensities of
108 segregation. By resolving the turbulence and coupling it with the chemical solver, we can
109 simultaneously solve the physics and chemistry equations; thereby, exposing their interactions
110 within the CBL. Because simulating complex chemistry in the CBL is computationally expensive,
111 we balance the costs between scientific fidelity and computational time. We chose a chemical
112 mechanism that reproduces the essential components of the O₃-NO_x-VOC-HO_x system with the
113 acceptable computational costs used in previous studies (see Table 2) [Ouwersloot, 2013; Vilà-
114 Guerau de Arellano *et al.*, 2011; Vilà-Guerau de Arellano *et al.*, 2009]. The limited number of
115 species and reactions results in a better understanding of the main chemical pathways. In addition,
116 the degrees of freedom are reduced with less species, resulting in the model uncertainty from the
117 initial and boundary conditions [van Stratum *et al.*, 2012].

118 Deposition is a large portion of the removal process of atmospheric chemicals from the CBL
119 [Wesely and Hicks, 2000]. There are two major categories of deposition: wet deposition and dry
120 deposition. Wet deposition is the natural process where trace chemicals are absorbed by
121 hydrometeors and are brought to the Earth's surface through precipitation scavenging, cloud
122 interception, fog deposition, and snow deposition [Seinfeld and Pandis, 2006]. Dry deposition is
123 the transport of gaseous and particulate species from the atmosphere onto the Earth's surface
124 without precipitation [Biazar, 1995; Seinfeld and Pandis, 2006]. Unfortunately, DALES does not
125 include a dry deposition module. In order to estimate the dry deposition to the maximum extent,
126 we add a module, which is described as follows:

127 We consider only dry deposition in this paper and assume the dry deposition flux is directly
128 proportional to the local concentration of the depositing species at the reference height above the
129 Earth's surface. The dry deposition flux can be written as:

$$130 \quad F = -Vd[X], \quad \text{Eq. 1}$$

131 where F is the dry deposition flux, $[X]$ is the concentration of deposition at the reference height
132 above the surface and Vd is the deposition velocity. This empirical equation of dry deposition
133 simplifies the complex chemical and physical processes of the dry deposition into one parameter,
134 Vd .

135 The removal mechanism contains three steps. The first step is the transport of the gaseous and
 136 particulate species to the surface's vicinity by turbulent diffusion, which has a strong diurnal
 137 variation [Stull, 1988]. The second step involves the diffusion of the pollutant through the laminar
 138 sub-layer and contact with the surface. The Quasi-laminar sub-layer is a layer with thickness on
 139 the order of millimeters, adjacent to the Earth's surface, where the air is almost stationary. The
 140 third step is the removal of the gaseous and particulate species by the Earth's surface. Gaseous
 141 species may absorb irreversibly into the surface, and particles may simply adhere to the surface.
 142 The moisture in the surface is an important factor in this step. For a highly soluble species, the
 143 deposition is rapid [Biazar, 1995; Seinfeld and Pandis, 2006]. Each step contributes to the value
 144 of the deposition velocity; therefore, the dry deposition velocity, V_d , is a strong function of surface
 145 type and meteorology [Dentener et al., 2014; Erisman et al., 1994; Ganzeveld and Lelieveld, 1995;
 146 Pleim et al., 2001; L Zhang et al., 2003; L Zhang et al., 2002].

147 The dry deposition velocity is inversely proportional to a resistant parameter r :

$$148 \quad V_d \propto 1/r. \quad \text{Eq. 2}$$

149 Thus, the lower resistance will have a higher deposition velocity. In the deposition process in terms
 150 of an electrical resistance analogy, the resistance r can be considered the sum of two resistances:
 151 aerodynamic (r_a) and surface (or canopy) resistance (r_c). The aerodynamic resistance includes both
 152 resistances that a species exhibits in step one and two during the dry deposition processes.
 153 Meteorological conditions and atmospheric turbulence influence both steps. The aerodynamic
 154 resistance r_a can be written as:

$$155 \quad r_a = \frac{\ln\left(\frac{z}{z_0}\right) + 2.6 - \Phi_H}{k u_*}, \quad \text{Eq. 3}$$

156 where z is the height of the first grid point above the Earth's surface, z_0 is the surface roughness,
 157 Φ_H is the non-dimensional temperature gradient, k ($=0.35$) is the von Karman's constant, and u^*
 158 is the friction velocity.

159 The final resistance in the dry deposition processes is the surface resistance r_s . The surface
 160 resistance depends on the tendency of the surface type to absorb certain materials. We used a
 161 surface resistance of 2 cm^{-1} for ozone, 0.1 s cm^{-1} for HNO_2 , 2 s cm^{-1} for peroxides, and 3.3 s cm^{-1}
 162 for carbonyls and organic nitrates [Biazar, 1995]. The surface resistance of the other chemical
 163 species is set as $r_s = \frac{1}{V_{dmax}}$, where V_{dmax} is the maximum deposition velocity found in our literature
 164 review [Biazar, 1995; Erisman et al., 1994; Ganzeveld and Lelieveld, 1995; Sickles Ii and
 165 Shadwick, 2015; L Zhang et al., 2003]. Finally, the deposition velocity in the model is calculated
 166 according to the following equation:

$$167 \quad V_d = \frac{1}{(r_a + r_s)}. \quad \text{Eq. 4}$$

168 4. Methodology

169 4.1. Physics settings

170 The spatial resolution of the domain is set to $50\text{m} \times 50\text{m} \times 25\text{m}$ in x, y, and z directions,
171 respectively, with $100 \times 100 \times 96$ grid cells in each dimension; consequently, the simulation
172 domain is $5\text{km} \times 5\text{km} \times 2.4\text{km}$. The total simulation time is set to 10 hours with an adaptive time
173 step of a maximum of 10 seconds, which avoids instabilities in the chemical solver and
174 expensive computational costs. The fine temporal and spatial resolution resolves both
175 atmospheric dynamical and chemical scales in the CBL. In order to lower the computational cost,
176 we set the output intervals to 30 seconds.

177 To simulate the diurnal variation surface sensible and latent heat flux, both surface sensible and
178 latent heat flux are set to $0.12 \times \text{SIN}$ ($\text{SIN} = \sin(\pi \times (\text{time} - 1380) / 45803)$) $\text{K} \cdot \text{ms}^{-1}$ and $0.0001 \times \text{SIN}$
179 $\text{kg} \cdot \text{kg}^{-1} \cdot \text{ms}^{-1}$, respectively, while the sunset time is 0523 UTC. The geostrophic winds are set to
180 zero, and initial profiles of horizontal winds, potential temperature, and water vapor are obtained
181 from the co-located MIPS measurements as shown in Figure 1. The first level meteorological data
182 are obtained from surface measurements of MIPS. The ozone initial profiles are obtained from
183 ozone DIAL, and the first level ozone input is obtained from the one-hour ozone mixing ratio at
184 1000 UTC measured at EPA air-quality station that is located 6 km south from the ozone DIAL.

185 4.2. Chemistry settings

186 The ozone chemistry that is typical for the Southeastern United States consists of very high VOC
187 emissions due to the dense forest coverage. Therefore, ozone production is controlled by NOx
188 concentration. According to the previous studies, the emission rate of isoprene and NO is set to
189 $0.65 \times \text{SIN}$ and $0.08 \times \text{COS}$ ($\text{COS} = (1 - \cos(\pi \times (\text{time} - 1380) / 45803))$) ppb/s, respectively [Geron *et al.*,
190 1997; Kesselmeier and Staudt, 1999; Sullivan *et al.*, 1996]. Table 3 shows the initial profiles of
191 the chemical species. For comparison, we have two numerical experiments with 50% and 100%
192 more NO emission for comparisons, one numerical experiment with turning off chemistry and dry
193 deposition. Therefore, we have four numerical experiments with different NO emission rates as
194 $0.08 \times \text{COS}$ ppb/s, $0.12 \times$ ppb/s NO, and $0.16 \times \text{COS}$ ppb/s, and control running with chemistry and
195 dry deposition off, respectively.

196 5. Results and discussions

197 5.1. Meteorological analysis

198 It is well known that meteorological fields play a critical role in the formation, transport, and
199 deposition of air pollutants [Solomon *et al.*, 2000]. The surface analysis of the weather chart at
200 UTC 1200 on September 6, 2013 clearly indicates that weather conditions in Huntsville, AL were
201 controlled by an anticyclonic system as shown in Panel (A) of Figure 2. As a result, the weather
202 conditions in Huntsville AL were slightly windy with clear skies and no cloud coverage. The 10-
203 m surface wind speed was very low with less than 2ms^{-1} measured by surface instruments as

204 shown in the bottom of Panel (C). Wind profiles observed by 915-MHz indicates the low wind in
205 the CBL and the slightly increased wind above the CBL top shown in Panel (B) of Figure 2. Solar
206 radiation measured by the surface instruments in the top of Panel (C) of Figure 2 shows the diurnal
207 curve of solar radiation without any interferences and the ceilometer did not detect any clouds on
208 that day as shown in Panel (D). These weather conditions indicate that the ozone horizontal
209 advection was too weak to transport the ozone amount to our study area, and the clear sky provides
210 a large amount of solar radiation for photochemical reactions. Consequently, the ozone
211 enhancement in the CBL on September 6, 2013 was mainly caused by local emissions.

212 5.2. The CBL height

213 The CBL height plays an important role in the ozone variation by diluting ozone concentrations
214 and mixing air from the FT into the CBL through entrainment processes. We applied the
215 continuous wavelet transform (CWT) algorithm to determine CBL heights based on the
216 backscatter profile observed by CWAL with smoothing processes as plotted by the solid black line
217 in Figure 3 [Davis *et al.*, 2000; Huang *et al.*, 2015]. In addition, the simulated CBL heights by
218 DALES are plotted by the solid red line in Figure 3 as well. Generally, simulated CBL heights
219 show good agreement with the observed CBL heights. The DALES model successfully reproduces
220 the evolution of CBL heights in the early morning. However, the model underestimates the CBL
221 height between 1600 and 1700 UTC when a residual layer storing high aerosol concentrations at a
222 1.2 – 1.4 km height is incorporated into the growing CBL. The residual layer usually involves
223 mean characteristics of the previous CBL including air pollutants, potential temperatures, and
224 relative humidity, etc. When the potential temperature in the CBL reaches the potential
225 temperature in the RL, the CBL grows extremely fast by engulfing the residual layer, since no
226 (significant) inversion is present anymore between the growing CBL and the RL [Ouwensloot *et*
227 *al.*, 2012]. Consequently, the CBL height jump between 1600 and 1700 UTC is not captured by
228 the DALES model. Moreover, the diurnal variation of large-scale subsidence causes the CBL
229 underestimation after 1800 UTC [Blanchard *et al.*, 2014].

230 5.3. Ozone variation in the CBL

231 In order to study ozone redistribution by eddy transport in the CBL without smoothing out the
232 turbulence information, we investigate the ozone profiles at the center grid point of our domain
233 with a 50 m horizontal and 25 m vertical resolution. The ozone observations from the ozone DIAL,
234 simulation by DALES with standard NO emissions are plotted in Figure 4 from the top to the
235 bottom panels, respectively. DALES reproduces the ozone temporal variation and the ozone
236 structure caused by eddies in the CBL as shown in the top and middle panels in Figure 4. DALES
237 reproduces the ozone variation and ozone morphology caused by eddies in the CBL as shown in
238 the middle panel of Figure 4. The ozone simulation of control running with chemistry off in the

239 bottom panel of Figure 4 implies that the ozone temporal variation on September 6, 2013 was
240 caused mainly by local emissions and chemical production.

241 To quantify the comparisons between the ozone simulations and observations, we compared the
242 model simulations with the observations between 0.1 and 2.475 km AGL in Figure 5. The top
243 panel is ozone scattering plots of ozone DIAL and standard NO emission simulation, while we
244 also compare the simulation of DALE control running with the same physical settings and turning
245 chemical setting off. The comparison plot of control run versus observations in the bottom panel
246 in Figure 6 shows two high number density patterns at (45, 65) and (52, 52) at the coordinates.
247 The high-density pattern at (52, 52) shows good agreement of observed and simulated ozone in
248 the FT since the ozone in the FT does not change in this case. The other high-density patterns at
249 (45, 65) are the CBL ozone that the control run underestimates due to the lack of chemistry
250 mechanisms. The simulated ozone with standard NO emission indicates a good agreement with
251 the DIAL-observed ozone.

252 Figure 6 plots the CBL ozone mixing ratios obtained by in situ and remote sensing observations
253 along with the model simulations. Note that all CBL ozone mixing ratios are averaged over the
254 whole domain to remove high frequent noises. This is helpful to compare with the hourly ozone
255 surface measurements. The blue dashed-dot, dashed, and solid lines represent the CBL ozone
256 mixing ratio modeled with 200% NO emission simulation, 150% more NO emission and standard
257 NO emission, respectively. The modeled CBL ozone mixing ratio is highly associated with NO
258 emission in the sunlight presence. The average ozone production rates in the CBL are 3.8ppb/hour,
259 4.2ppb/hour and 4.5ppb/hour for standard, 150% and 200% NO emissions, respectively. The red
260 dashed and solid lines represent the ozone mixing ratio at 100 m AGL (the minimum height that
261 ozone can be measured) and CBL ozone mixing ratio observed by ozone DIAL. Ozone mixing
262 ratio simulated with standard NO emission (solid blue line) has a good agreement with ozone
263 observations at 100 m AGL. Both of them capture the increased trend of ozone during the daytime.
264 However, DALES underestimates the CBL ozone plotted by the solid red line in Figure 7. This
265 underestimation is probably caused by the overestimation of the CBL height that involves the
266 lower FT with low ozone, especially after 1800 UTC. Additionally, the CBL ozone simulated with
267 chemistry off, plotted by a solid gray line, shows a slight ozone increase in the CBL due to the
268 mixing of ozone in the FT through entrainment processes. The chemical productions contribute
269 over 2/3 to the total CBL ozone budget and the dynamical transports contribute the rest based on
270 these simulations.

271 5.4. *Ozone transport within the CBL*

272 Ozone transport within the CBL is a significant process for both ground ozone concentrations and
273 the CBL budget. The land surface can provide either a strong ozone sink by deposition processes
274 or a strong ozone production source through high emissions. The redistribution of ozone in the
275 CBL occurs through convective mixing; the interaction between the CBL and the ground ozone

276 concentration occurs through turbulent flux. For the calculation of the turbulence's impacts on
 277 ozone, we need to investigate the highly-resolved time series of vertical wind velocity and aerosol
 278 scattering data at specific height levels. We apply Taylor's frozen turbulence hypothesis: it is
 279 assumed that the temporally-resolved dataset will represent the spatial ensemble average. Then,
 280 the turbulent ozone flux is defined as $\overline{w'O_3'}$, where the prime represents deviations from the mean
 281 value, and the overbar represents the temporal average.

282 The vertical wind profiles observed by CWAL are plotted in Figure 8. After the sunrise, eddies
 283 start to grow vertically due to the increasing surface heat flux as shown in Figure 8. A layer stays
 284 at approximately 300 m AGL through our observations. We believe this layer is at least due to
 285 some local effect. This persistence through our observation period suggests a possible remnant
 286 warm plume atop the relatively warmer building and more urbanized area around the campus
 287 [*Wingo and Knupp, 2014*].

288 The statistical errors of the ozone flux due to noise have been taken into account. The measured
 289 ozone flux is written as [*Senff et al., 1996*]:

$$290 \quad \overline{w'O_3'_m} = \overline{w'O_3'} + \overline{\delta w'O_3'} + \overline{w'\delta O_3'} + \overline{\delta w'\delta O_3'}, \quad \text{Eq. 5}$$

291 where $\delta w'$ and $\delta O_3'$ is fluctuations of vertical wind and ozone mixing ratio, respectively. The
 292 variance of the measured ozone flux caused by system noise can be expressed as [*Senff et al.,*
 293 1996]:

$$294 \quad \sigma^2(\overline{w'O_3'_m}) = \sigma^2(\overline{\delta w'O_3'} + \overline{w'\delta O_3'} + \overline{\delta w'\delta O_3'}),$$

$$295 \quad = E(\overline{\delta w'O_3'^2} + \overline{w'\delta O_3'^2} + \overline{\delta w'\delta O_3'^2}),$$

$$296 \quad = \frac{1}{N}(\overline{O_3'^2 \delta^2 w'} + \overline{\delta^2 O_3' w'^2} + \overline{\delta^2 O_3' \delta^2 w'}),$$

$$297 \quad = \frac{1}{N}(\overline{O_3'^2_m \delta^2 w'} + \overline{\delta^2 O_3' w'^2_m} + \overline{\delta^2 O_3' \delta^2 w'}). \quad \text{Eq. 6}$$

298 We calculate the observed ozone turbulent flux (solid black line) with statistical errors at each
 299 level (horizontal black line) every 30 minutes from 1700-200 UTC in Figure 8, as well as the
 300 simulated ozone turbulent flux (solid red line). It is obvious that the spatial and temporal resolutions
 301 of ozone DIAL and CWAL are not fine enough to capture the ozone flux caused by small eddies
 302 (length less than measurement resolution). Consequently, ozone flux may be underestimated.
 303 However, the ignored portion of the ozone flux should be negligible in the convective boundary
 304 layer. The unresolved part of the ozone flux cannot be quantified since there is no in situ
 305 measurement on both ozone and wind with high resolution for comparing with the measurements
 306 by ground-based remote-sensing techniques [*Patton et al., 2005*].

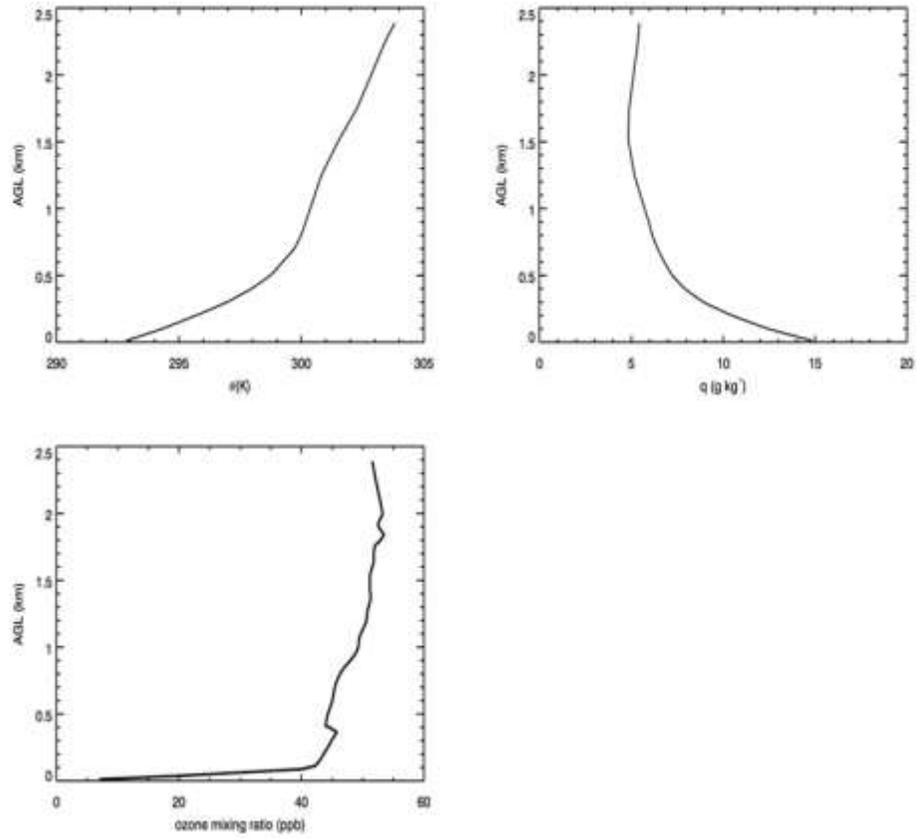
307 The statistical errors are significantly larger at approximately 200-500m AGL for each panel in
 308 Figure 8, possibly because of a remnant warm plume from the relatively warmer building and more
 309 urbanized area around the campus [*Wingo and Knupp, 2014*]. The majority of the simulated ozone
 310 fluxes above 500 m AGL are within the range of observed ozone fluxes plus or minus statistical
 311 errors. This indicates that DALES reproduces the typical ozone behavior for a clear late summer
 312 day: the CBL ozone mixing ratio increases during the daytime due to local production with the

313 sunlight presence. Figure 2 shows a RL at 1600- 1700 UTC stores low ozone. The knowledge of
314 the vertical distribution of the ozone mixing ratio in the CBL and RL allows some basic predictions
315 about the turbulent fluxes associated with this ozone vertical distribution. The ground is known to
316 be an ozone sink due to the dry deposition, while the photochemical production of ozone in the
317 CBL under clear summer conditions acts as a source of ozone. This causes slightly higher ozone
318 mixing ratio in the CBL than at the ground surface. Therefore, observed ozone fluxes indicate
319 downward motion in each time interval.

320 6. Conclusion

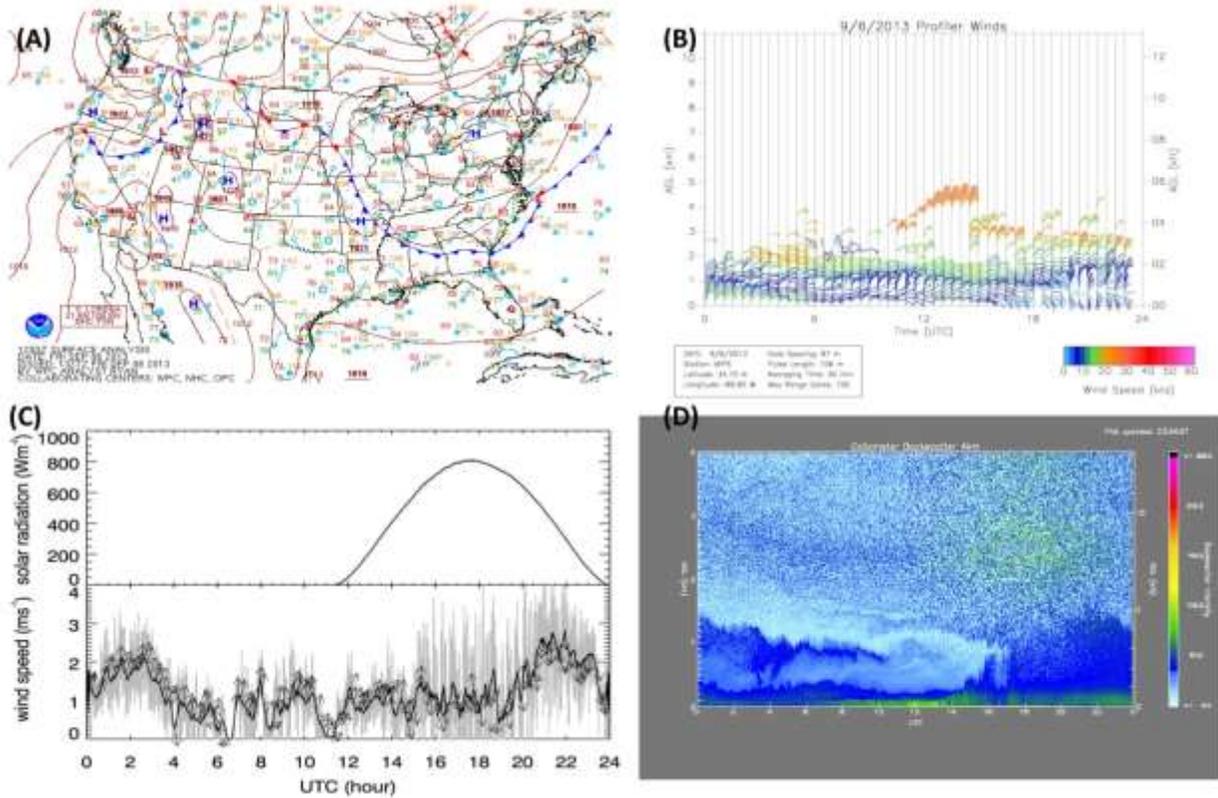
321 We investigated the ozone diurnal evolution on September 6, 2013 in Huntsville, AL using
322 multiple measurements and the DALES model coupled with a chemical module. DALES
323 successfully reproduced the CBL ozone enhancement due to local emissions and chemical
324 productions. The comparison experiments between chemical and control-running (with chemistry
325 off) of the model suggest that the ozone production was controlled by local emissions in this
326 particular day with low horizontal winds and strong solar insolation. This study indicates that LES
327 model and Lidar observations, in high temporal and spatial resolution, are powerful tools to help
328 us understand the ozone and other air pollutant variations in the CBL.

329 The CBL height is determined by finding the highest gradients in the CWAL backscatter profiles
330 using the continuous wavelet transform technique. Generally, DALES-simulated CBL heights
331 have good agreement with the observed CBL heights. The two reasons that the model
332 misrepresented the CBL heights are the intrusion of the RL and the variation of large-scale
333 subsidence. A RL storing low ozone concentration is incorporated into the growing CBL that
334 results in a CBL height jump due to the free encroachment processes. The large-scale subsidence
335 is treated as a downward motion constant in DALES. Consequently, DALES misses the variation
336 of large-scale subsidence leading to CBL heights being overestimated.



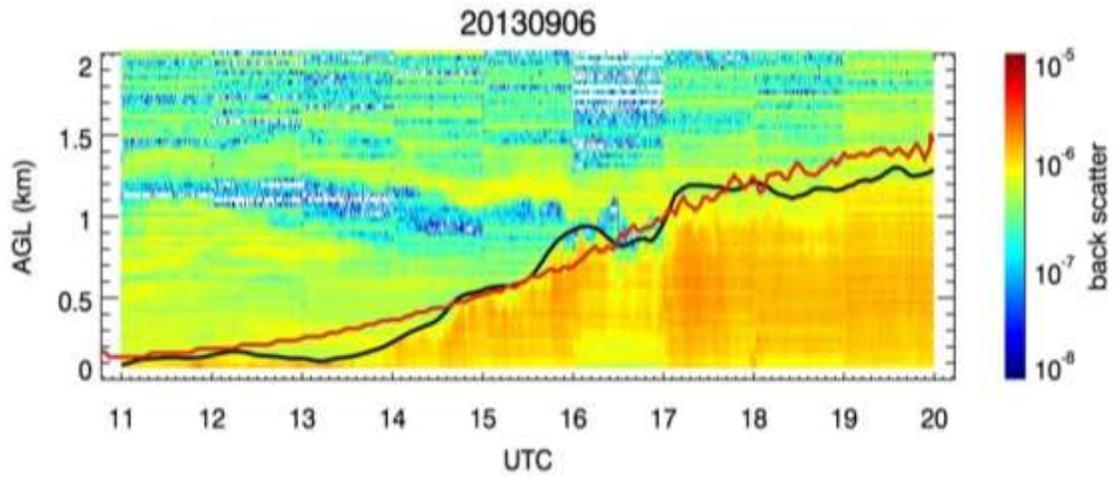
337
 338 **Figure 1 Initial profiles of potential temperature, water vapor, and ozone mixing ratio. The 1-hour**
 339 **averaged ozone profiles is provided by ozone DIAL except for the surface value which sourced from**
 340 **the Huntsville EAP station 6km away from the DIAL lab.**

341



342
 343 **Figure 2** Weather chart and micro-meteorological measurements on September 6, 2013 in Huntsville,
 344 AL. (a) Weather charts at 1200z on September 6, 2013 from NOAA National Climatic Data Center
 345 (<http://nomads.ncdc.noaa.gov/ncep/NCEP>), (b) Wind velocities measured by 915MHz wind profiler,
 346 (c) Solar radiation and surface wind measured by surface instruments, and (d) Backscatter measured
 347 by the ceilometer.

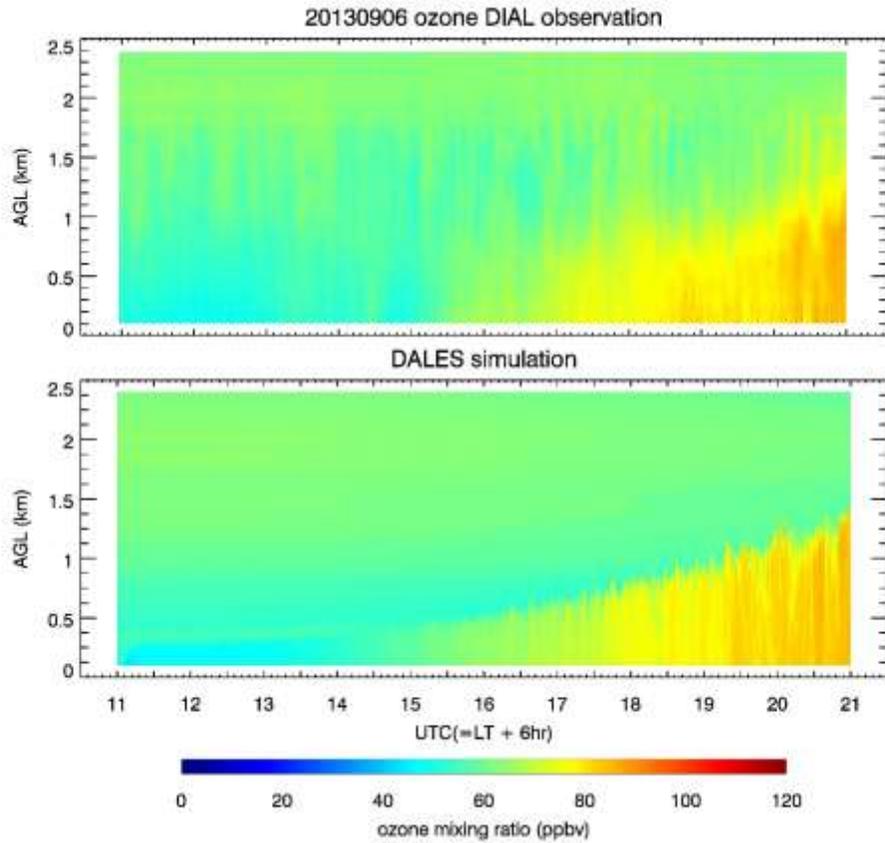
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349

350 **Figure 3 Backscatter observed by CWAL at Huntsville, AL. The solid black line represents the CBL**
351 **height obtained based on the backscatter profile and the solid red line represents the CBL height**
352 **simulated from DALES.**

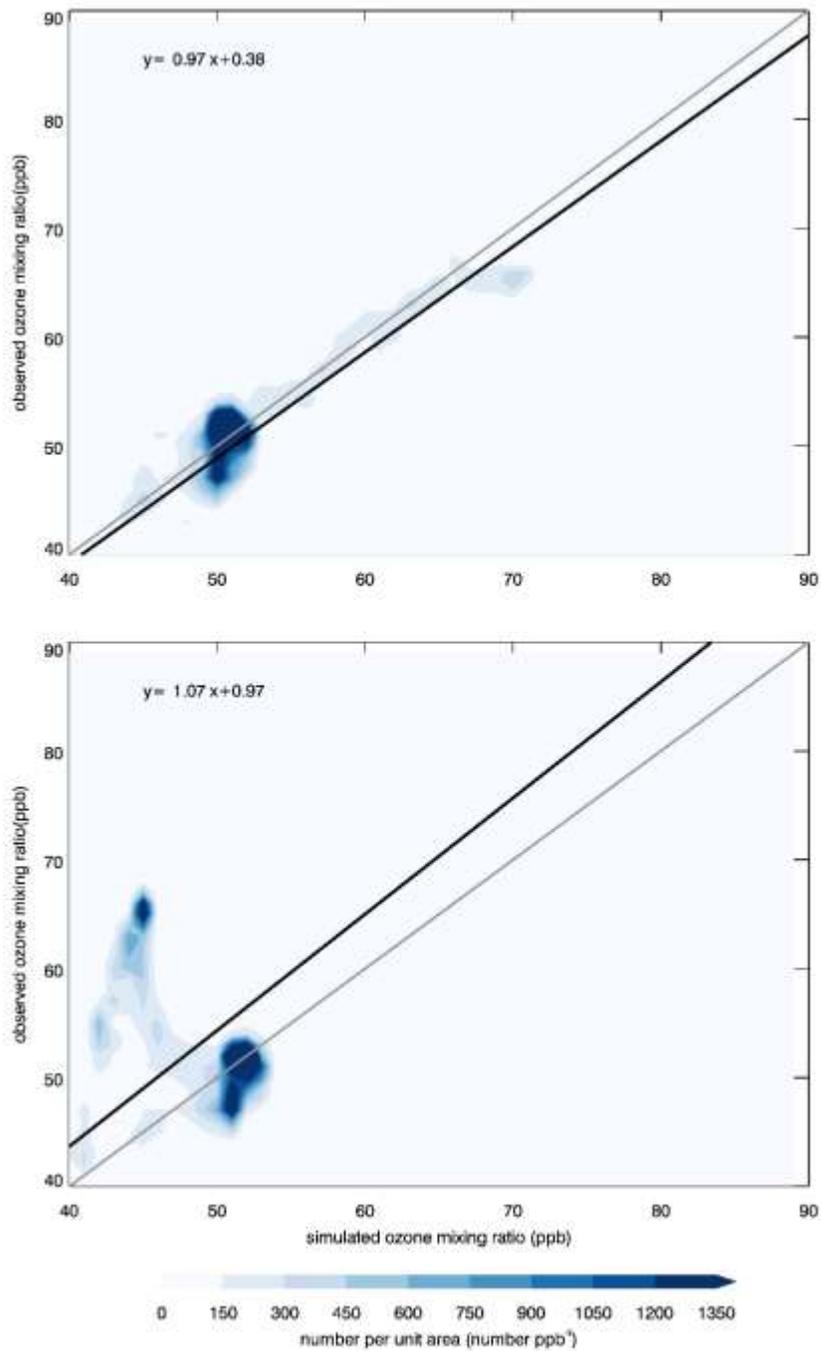
353



354

355 **Figure 4 Ozone mixing ratio profiles measured by ozone DIAL and ozone mixing ratio at the center**
356 **grid of the entire domain simulated by DALES. The top and bottom panels are ozone profiles**
357 **measured by ozone DIAL, simulated by DALES with chemistry running, respectively.**

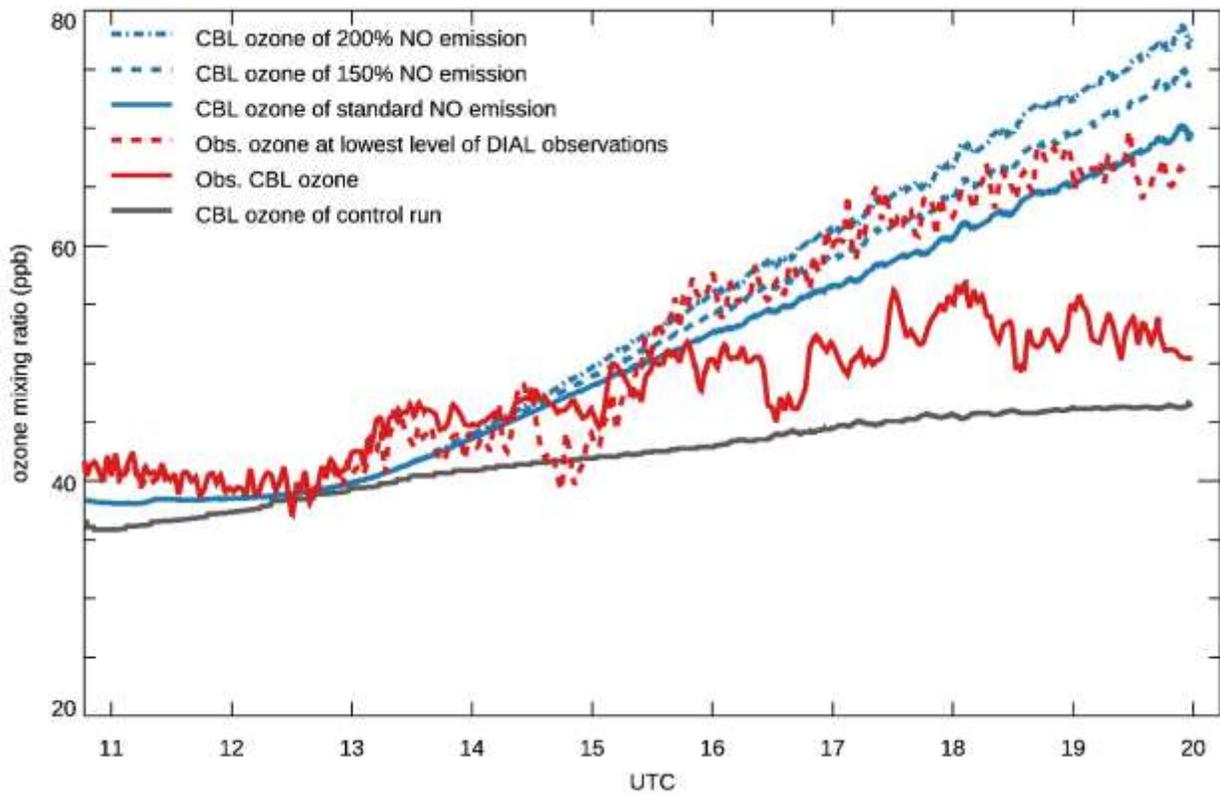
358



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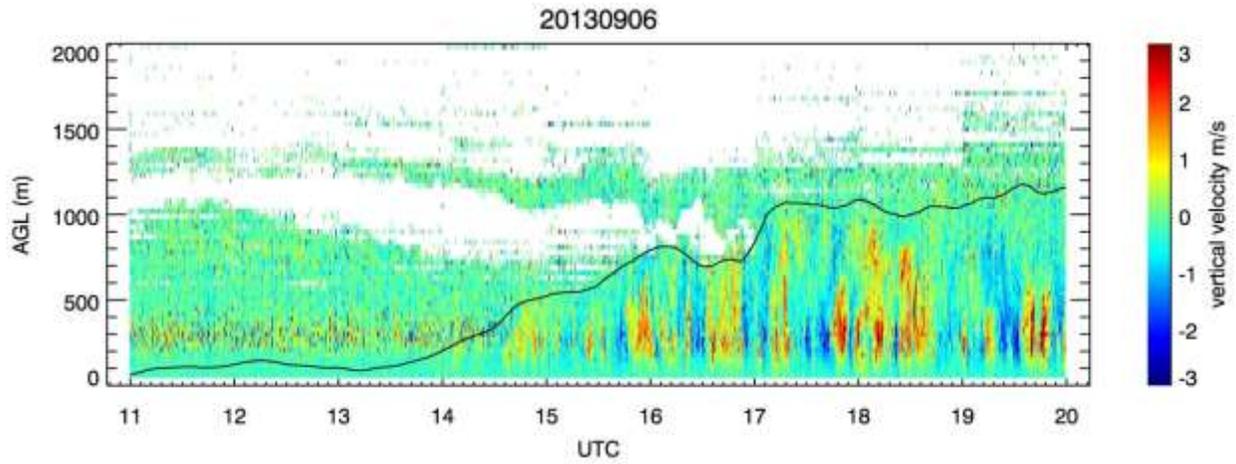
360 **Figure 5** The scattering plot of observed and simulated ozone. The top and bottom panels represent
 361 standard run vs. observations and control run vs. observations, respectively. The black lines are the
 362 linear regression fitting function, and the gray lines are $y=x$ function.

363



364
 365 **Figure 6 Observed and simulated CBL ozone variations. The blue dashed-dotted, dashed and solid**
 366 **lines are simulated CBL ozone with 100% more NO emission, 50% more NO emissions and standard**
 367 **NO emissions, respectively. The red dashed and solid lines represent, respectively, ozone mixing ratio**
 368 **the lowest level of ozone DIAL observations and CBL ozone observed by ozone DIAL. The solid gray**
 369 **line represents CBL ozone simulated by the control run.**

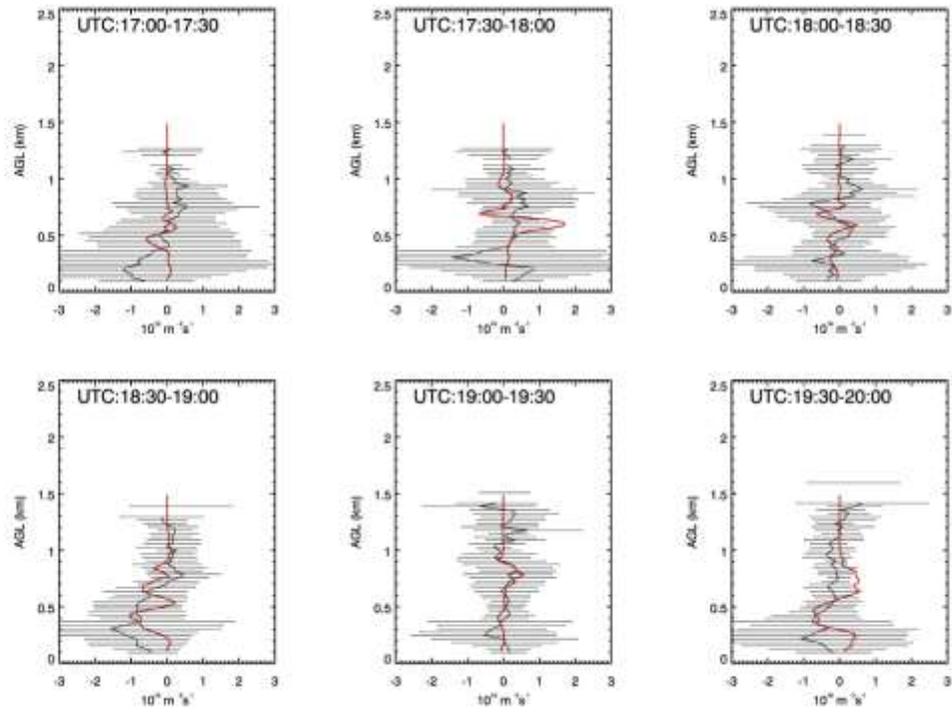
370



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372 **Figure 7 Vertical wind velocity measured by CWAL. The positive and negative values represent**
373 **upward and downward motion, respectively.**

374



375

376 **Figure 8** The solid black line represents the observed ozone turbulence flux with statistical error at
 377 each level shown in horizontal black line. The solid red line represents simulated ozone turbulence
 378 flux with standard NO emission at corresponding six intervals.

379

380

381 **Table 1 Instrument descriptions**

Instrument	Measurements	Vertical range	Vertical Resolution	Temporal Resolution
915 MHz wind profiler	vertical motion horizontal wind spectral width	0.19 - 4 km	60 or 106 m	60s
Ceilometer	backscatter cloud base	0.3 -10+km	30 m	15s
MPR	Temperature, integrated water vapor	surface - 10 km	100 m from surface to 1km 250m above 1km	1-14min
Ozone DIAL	Ozone	surface - 10+ km	30 m (sampling resolution)	2-10 min
CWAL	aerosol, wind velocity	0.75 -10 km	30 m	0.1-30 s
Surface	Temperature, wind velocity solar radiation	2m	N/A	5s

382

383

384 **Table 2 Chemical reaction scheme solved in the numerical experiments by the chemical module of**
 385 **DALES. T is the absolute temperature in K. Product represents the reactants in the ambient air that**
 386 **are not directly evaluated.**

Reaction Number	Reaction	Reaction Rate
R1	$O_3+h\nu \rightarrow O(1D) + O_2$	$3.83 \times 10^{-5} \cdot e^{-\frac{0.575}{\cos(\chi)}}$
R2	$O(1D) + H_2O \rightarrow 2OH$	$1.63 \times 10^{-10} \cdot e^{\frac{60}{T}}$
R3	$O(1D) + N_2 \rightarrow O_3 + \text{PRODUCT}$	$2.15 \times 10^{-11} \cdot e^{\frac{110}{T}}$
R4	$O(1D) + O_2 \rightarrow O_3 + \text{PRODUCT}$	$3.30 \times 10^{-11} \cdot e^{\frac{55}{T}}$
R5	$NO_2 + h\nu \rightarrow NO + O_3$	$1.67 \times 10^{-2} \cdot e^{-\frac{0.575}{\cos(\chi)}}$
R6	$CH_2O + h\nu \rightarrow HO_2$	$1.47 \times 10^{-4} \cdot e^{-\frac{0.575}{\cos(\chi)}}$
R7	$OH + CO \rightarrow HO_2 + CO_2$	2.40×10^{-13}
R8	$OH + CH_4 \rightarrow CH_3O_2$	$2.45 \times 10^{-12} \cdot e^{-\frac{1775}{T}}$
R9	$OH + ISO \rightarrow RO_2$	1.00×10^{-10}
R10	$OH + MVK \rightarrow HO_2 + CH_2O$	2.40×10^{-11}
R11	$HO_2 + NO \rightarrow OH + NO_2$	$3.5 \times 10^{-12} \cdot e^{-\frac{250}{T}}$
R12	$CH_3O_2 + NO \rightarrow HO_2 + NO_2 + CH_2O$	$2.8 \times 10^{-12} \cdot e^{-\frac{300}{T}}$ 1.00×10^{-11}
R13	$RO_2 + NO \rightarrow HO_2 + NO_2 + CH_2O + MVK$	$5.5 \times 10^{-12} \cdot e^{\frac{125}{T}}$
R14	$OH + CH_2O + O_2 \rightarrow HO_2 + CO + H_2O$	
R15	$HO_2 + HO_2 \rightarrow H_2O_2 + O_2$	k^*
R16	$CH_3O_2 + HO_2 \rightarrow \text{PRODUCT}$	$4.10 \times 10^{-13} \cdot e^{\frac{750}{T}}$
R17	$RO_2 + HO_2 \rightarrow nOH \text{ product}$	1.50×10^{-11}
R18	$OH + NO_2 \rightarrow HNO_3$	$3.50 \times 10^{-12} \cdot e^{\frac{340}{T}}$
R19	$NO + O_3 \rightarrow NO_2 + O_2$	$3.00 \times 10^{-12} \cdot e^{-\frac{1500}{T}}$

$$k^* = (k_1 + k_2) \cdot k_3$$

$$k_1 = 2.2 \times 10^{-13} \cdot e^{\frac{600}{T}}$$

$$k_2 = 1.9 \times 10^{-33} \cdot e^{\frac{980}{T}} \cdot c_{air}$$

$$k_3 = 1 + 1.4 \times 10^{-21} \cdot e^{\frac{2200}{T}} \cdot ch_2o$$

387 **Table 3 Initial inputs of reactive chemicals for all four cases. The rest of the chemical species are set**
388 **to zero.**

	mixing ratio (ppb, z < 200m)	mixing ratio (ppb, z > 200m)
Ozone	as Figure 1	as Figure 1
NO	0	0
NO2	1	0
ISO	2	0
HO2	0	0
OH	0	0
MVK	1.3	1.3
CH4	1724	1724
CO	124	124

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