

Characteristics of boundary layer structure during a persistent haze-fog event in central Liaoning City Cluster, Northeast China

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ABSTRACT

The characteristics of boundary layer structure during a persistent regional 31 32 haze-fog event over the central Liaoning City Cluster of Northeast China during 33 16-21 December 2016 were investigated based on measurements of particulate 34 matter (PM) concentrations and boundary layer data. The haze-fog event occurred firstly in Shenyang, followed by Fushun, Benxi, and Anshan, and PM concentrations 35 36 were relatively higher in Anshan and Shenyang but lower in Benxi and Fushun. The 37 daily mean horizontal vector wind fields during 16-21 December, calculated using 38 the European Centre for Medium Range Weather Forecasts (ECMWF) Re-Analysis 39 interim (ERA-Interim) data, indicated that a southerly flow prevailed during the 40 early period of the haze-fog event, bringing water vapor from the Bohai Sea to the 41 study region. During the haze-fog developing period, wind speed decreased rapidly, 42 and a belt of low wind speed formed along the Anshan–Benxi line on 20 December. In terms of the vertical boundary layer structure, a weak thermal inversion layer 43 existed at ground level at the beginning of the haze-fog event, which was overlain by 44 45 a strong inversion layer in the near-surface layer. The bottom of this strong inversion layer was lifted from 200 to 900 m by a cold air mass, leading to strong vertical 46 47 dispersion of pollutants and a decrease in the PM concentration at the surface. The 48 stable atmospheric stratification finally acted on the vertical distribution of the wind 49 field in the boundary layer and further weakened the exchange capacity of vertical 50 turbulence. A stable wind direction, low wind speed, low boundary layer height 51 (<600 m), and strong thermal inversion layer contributed to the formation and

- 52 development of this haze-fog event. A backward trajectory analysis revealed the
- different sources of air masses in the four cities and explained the different 53
- characteristics of the haze-fog episodes in the four cities. 54
- 55
- Key words: Haze-fog event, Thermal inversion layer, Atmospheric boundary layer, 56
- Northeast China 57

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58 **1. Introduction**

59	Fog and haze are meteorological phenomena that may lead to severe
60	near-surface weather (Zhang et al., 2014). Haze-fog pollution in China has increased
61	over the past three decades, particularly in city clusters, as a result of the rapidly
62	developing economy, expansion of anthropogenic activities and urbanization (Shac
63	et al., 2006; Fu et al., 2014; Wang et al., 2014a). In recent years, heavy and persisten
64	haze-fog events have been observed in different regions of China (Ji et al., 2012; Liu
65	et al., 2015; Peng et al., 2016), resulting in atmospheric visibility reduction
66	increased air pollution, and risks to public health (Chan and Yao, 2008; Che et al.
67	2014; Chen et al., 2012; Shen et al., 2015; Zhang et al., 2014; Tang et al., 2017).
68	Haze-fog formation is closely related to meteorological conditions and high
69	aerosol mass loadings (Wang et al., 2014b). Therefore, severe haze-fog events
70	commonly occur in the heating season and during periods when agricultural residues
71	are burned, due to the large pollution emissions and unfavorable meteorologica
72	conditions for pollution dispersion (Fu et al., 2014; Wang et al., 2014b; Zheng et al.
73	2015; Chen et al., 2016). Because the heating season begins earlier and lasts longer
74	in Northeast China than in most other regions in China and is combined with burning
75	of agricultural residues that are largely concentrated in the early heating season
76	haze-fog events occur frequently during winter in this region. For example, a heavy
77	and persistent haze-fog event with a maximum $PM_{2.5}$ concentration exceeding 1000
78	μ g m ⁻³ occurred during 1–8 November 2015 in Shenyang and profoundly shocked
79	both the public and the governmen

80 (http://news.sina.com.cn/c/nd/2015-11-08/doc-ifxknutf1607479.shtml). Several 81 studies have reported that haze-fog pollution in Northeast China is serious (Cui et al., 82 2015), with an increasing trend in particulate matter (PM) observed at some stations 83 in this region from 2006 to 2014 (Wang et al., 2015c). 84 Many efforts have been made to identify the meteorological causes of haze-fog 85 events involving the effects of surface meteorological conditions, synoptic systems, 86 climatic background, and local atmospheric circulation induced by the topography 87 (Chen et al., 2008; Liu et al., 2009; Zhu et al., 2012). Chen et al. (2008) indicated 88 that pollution formation is related to high-pressure conditions and successive low-pressure systems over North China. Zhu et al. (2012) demonstrated that the 89 weakened monsoon circulation of recent decades has made a significant contribution 90 91 to trapping pollutants over Eastern China. The modeling results reported by Miao et 92 al. (2015) revealed that local atmospheric circulation plays an important role in 93 surface air pollution over the Beijing-Tianjin-Hebei region. In addition, the structure 94 of the atmospheric boundary layer (ABL) is also crucial to the formation and 95 evolution of haze-fog (Wang et al., 2006; Yang et al., 2010; Lu et al., 2011; Ren et al., 96 2016; Tang et al., 2016). Wu et al. (2009) and Sun et al. (2013) found that the 97 vertical dynamic and thermal variations within the ABL influence pollutant 98 concentrations. Quan et al. (2013) compared ABL evolution during clear and haze 99 conditions in Tianjin and considered the possibility of a positive feedback cycle 100 between atmospheric aerosols and lower ABL height, which would induce heavy 101 surface-level pollution in cities. Liu et al. (2015) analyzed ABL characteristics

102	during a typical haze process in January 2014 over the Pearl River Delta region and
103	found that weak wind, low ABL height, and a thermal inversion layer under stable
104	atmospheric conditions were major reasons for haze formation. Based on field
105	measurements similar studies have also been conducted in other megacities or
106	regions, such as the Beijing-Tianjin-Hebei region (Wang et al., 2014; Liao et al.,
107	2014), Xi'an in Western China (Wang et al., 2016), and Eastern China (Li et al.,
108	2015; Peng et al., 2016), but such studies are still very rare in Northeast China
109	(Zhang et al., 2010a, 2010b; Liu et al., 2011). These previous studies have
110	contributed to our understanding of the effects of ABL evolution on the changes in
111	surface air pollution and provide a reference for studies of the interaction of ABL
112	meteorology and aerosols in haze/fog modeling and prediction (Wang et al., 2015a,
113	2015b).

114 In this study, the characteristics of ABL structure during a persistent, severe 115 regional haze-fog event over the central Liaoning City Cluster during 16-21 116 December 2016 were analyzed, using the vertical profiles of ABL meteorological 117 parameters and surface PM concentrations and combined with horizontal wind fields 118 retrieved from the European Centre for Medium Range Weather Forecasts (ECMWF) 119 reanalysis data and back trajectory analyses from the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model. In Section 2, a brief 120 121 introduction to the study area and details of the data and methods are described. The 122 results and discussion, given in Section 3, mainly address temporal variation in PM 123 concentrations and the horizontal and vertical structure of the boundary layer. Finally,

the conclusions of the study are presented in Section 4.

125

126 **2. Data and methods**

127 2.1 Description of the study area

128 Liaoning province is an industrial region that has made a substantial 129 contribution to the economic development of Northeast China (Che et al., 2015). Our 130 study focused on the central Liaoning City Cluster, which comprises Shenyang, 131 Anshan, Fushun, and Benxi (Fig. 1a). These four urban cities are located in a heavily 132 populated industrial region of Northeast China (118.53–125.46°E, 38.43–43.26°N), a 133 major source area for aerosol pollution originating from a variety of human activity, 134 industrial, and transportation sources (Ma et al., 2012; Zhao et al., 2013a; Che et al., 135 2015). Particularly in winter, emissions from coal burning for domestic heating are a 136 well-established source of pollution (Zhao et al., 2013b; Che et al., 2015).



Fig. 1. (a) Geographical locations of the four study cities in the central Liaoning City

139 Cluster of Northeast China, and (b) positions of eleven environmental monitoring

- stations (yellow circle), LiDAR (black square), and the boundary layer experiment
- 141 (red triangle) in Shenyang.

142 **2.2 PM concentrations and surface meteorological parameters**

143 Large-scale regional haze-fog pollution in recent years has been characterized 144 by high concentrations of $PM_{2.5}$ (Wang et al., 2014a). In this study, the hourly mass 145 concentrations of PM₁₀ and PM_{2.5} in Shenyang, Anshan, Fushun, and Benxi during a 146 typical haze-fog event during 16-22 December 2016 were obtained from the 147 Liaoning real-time air quality monitoring system (http://211.137.19.74:8089/). There 148 are eleven monitoring stations located in Shenyang, seven in Anshan, and six each in 149 Fushun and Benxi. The ambient real-time particulate monitor is used to measure the 150 concentrations of PM_{2.5} (Model 5030i, Thermo. Sci.) and PM₁₀ (Model 5014i, 151 Thermo. Sci.) at each station. The PM_{2.5} and PM₁₀ data used in this study were the 152 averages of all the stations in each city and were considered to be representative of 153 the entire city.

The simultaneous hourly mean surface meteorological parameters, i.e., wind speed (*U*), wind direction (*WD*), air temperature (T_a), relative humidity (*RH*), and atmospheric visibility (*Vis*), from the national weather stations in the four cities were used to identify haze and fog episodes and to analyze the surface meteorological conditions during the haze-fog event.

159 2.3 Boundary layer structure

160 The ECMWF reanalysis data, with a spatial resolution of $0.125^{\circ} \times 0.125^{\circ}$, 161 were used to retrieve the horizontal flow fields at 10 m above ground level (AGL)

162	over Liaonii	ng from 16 to	22 December	2016. The ECN	AWF data were	obtained four
163	times a day,	at 00:00, 06	:00, 12:00, an	d 18:00 Coordir	nated Universal	Time (UTC),
164	and	can	be	freely	downloaded	from
165	http://apps.e	cmwf.int/data	usets/data/inter	rim-full-daily/lev	/type=sfc/.	
166	To stud	ly the vertical	structure of t	he boundary lay	er during a haz	e-fog event, a
167	boundary la	ayer observat	tional campai	gn was conduc	ted from 11:0	0 LT on 17
168	December t	to 14:00 LT	on 23 Dece	mber 2016 in	Baitapu Town	(123.4160°E,
169	41.6841°N),	, about 8 km f	rom the south	ern edge of the 1	nain urban zone	e of Shenyang
170	(Fig. 1b). 7	The Model C	ZTK-1 sound	ding system, de	eveloped by the	e Institute of
171	Atmospheric	c Physics of t	he Chinese A	cademy of Scien	ces, was used to	o measure the
172	vertical dist	ributions of U	J, WD, T _a , an	d RH, with dete	ctive resolution	of 0.1 m s ⁻¹ ,
173	0.1°, 0.1□,	and 0.1%, re	espectively. S	ounding balloon	is were release	d at an open
174	balcony of a	ι low building	; (height < 3 n	n) eight times pe	er day, at 02:00,	05:00, 08:00,
175	11:00, 14:00), 17:00, 20:0	0, and 23:00	local time (LT)	, and a total of	50 groups of
176	profile data	were obtaine	ed. The ascen	ding velocity o	f the sounding	balloons was
177	about 150 r	n min ⁻¹ , and	sounding dat	ta were recorded	d at an interval	l of 1 s. The
178	detection du	ration was ab	out 20–40 mi	n, and the detect	ion height usual	lly reached up
179	to 1500-450	00 m. It shou	ild be noted t	hat no precipita	tion occurred f	rom 17 to 21
180	December	during the h	aze-fog ever	it but snow w	eather lasted of	during 22–23
181	December 2	016 after the	haze-fog eve	nt. Following th	e methods used	1 in Liu et al.
182	(2015), vect	or winds at di	fferent observ	vational heights	were first calcul	ated based on
183	the vertical	profiles of U	and WD, and	then interpolate	d at each 50-m	AGL. The T_a

and *RH* profiles were averaged every 10 m after data quality control.

185 **2.4 Estimation of boundary layer height**

186 We used two methods to estimate the atmospheric boundary layer height 187 (ABLH). One method used the vertical T_a profiles from sounding data based on the theory of boundary layer evolution (Stull, 1988), with ABLH estimated at the 188 189 altitude where the temperature was coldest (Wilczak et al., 1996). The other method 190 was based on vertical measurements of the extinction coefficient of aerosols by a 191 (LiDAR) ground-based instrument of Light Detection And Ranging 192 (AGHJ-I-LIDAR), and ABLH was automatically determined at an altitude where a 193 sudden decrease in the scattering coefficient occurred (Cohn and Angevine, 2000; 194 Brooks, 2003). The LiDAR was installed on the roof of the Northeast Regional 195 Meteorological Observation Center (123.4186°E, 41.7344°N) in Shenyang (Fig. 1b); 196 therefore, the building height (60 m) need to be added to the ABLH retrieved by 197 LiDAR. To compare the values of ABHL estimated from the two approaches, the 198 original 1-min LiDAR-measured ABHL were averaged over the first half of every 199 hour.

200 2.5 Back trajectory analyses

The Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model from the National Oceanic and Atmospheric Administration (NOAA) was used to analyze the transport path of atmospheric pollutants during the haze-fog event (Draxler and Polph, 2003). Two-day back trajectory analyses were conducted for Shenyang (123.50°E, 41.77°N), Anshan (123.00°E, 41.08°N), Fushun (123.95°E, 41.88°N), and Benxi (123.78°E, 41.32°N), and the target altitudes were set at 200,
500, and 1000 m AGL.

208

3. Results and discussion

210 3.1 Description of the haze-fog event in the central Liaoning City Cluster

211 A heavy haze-fog pollution covered the central Liaoning City Cluster during 212 16–21 December 2016. The air quality in most cities of the central Liaoning City 213 Cluster reached Level 5 (daily air quality index > 200) according to the ambient air 214 quality standards in China. Figure 2 showed the temporal variation of hourly PM 215 concentrations in Shenyang, Anshan, Fushun and Benxi during 16–21 December 216 2016. In Shenyang the mean PM_{10} and $PM_{2.5}$ concentrations during the haze-fog events were 209.5 and 157.8 μ g m⁻³, respectively, with a mean ratio PM_{2.5}/PM₁₀ of 217 218 75.3% and with a mean visibility of 1.94 km (Fig. 2a), which is consistent with the 219 results from previous literatures that low visibility is influenced markedly by fine 220 particles in Northeast China (Ma et al., 2011; Zhao et al., 2013a, 2013b). Like in 221 Shenyang, PM in the near-surface atmosphere were accumulating mainly from 19 to 222 21 December in all cities. The $PM_{2.5}$ concentration during the haze-fog event was larger in Anshan (158.3 \pm 22.2 µg m⁻³) and Shenyang (157.9 \pm 31.0 µg m⁻³) and 223 smaller in Fushun (121.3 \pm 48.9 µg m⁻³) and Benxi (135.6 \pm 60.8 µg m⁻³). It is 224 225 interesting to find that the first PM peak occurred in Shenyang at first, followed by 226 Anshan, Fushun, and then Benxi, which was likely related to the regional transport 227 of air pollutants.





Fig. 2. Temporal variations of hourly mass concentrations of $PM_{2.5}$ and PM_{10} in (a) Shenyang, (b) Anshan, (c) Fushun, and (d) Benxi from 16 to 21 December 2016. The arrows mark out the first PM peaks.

A haze period can be identified by the criteria of $Vis \le 10$ km and $RH \le 90\%$ (Wu 233 234 et al., 2007), and same as a fog period, including slight fog characterized by 1 km < 1 km235 $Vis \le 10$ km and RH > 90% and fog characterized by $Vis \le 1$ km and RH > 90%. The 236 duration of different haze and fog periods as well as the mean and standard deviation 237 of PM concentrations and some surface meteorological parameters are statistically 238 summarized in Table 1. The transformation from haze to fog usually occurred in the 239 afternoon, probably due to the increasing RH under cold air conditions after sunset. The Vis in fog episodes was lower than that in haze episodes. In Shenyang, the mean 240

Vis during all fog periods (0.83 km) was 70% lower than that during haze periods
(2.77 km). Some previous studies have indicated that an increase in *RH* within a
certain range can cause significant *Vis* degradation (Stock et al., 2011; Liu et al.,
2012).

245

Table 1. Statistical summary (mean ± standard deviation) of particulate matter (PM) concentrations and selected surface meteorological parameters during haze and fog periods in the four cities. The letter "H" and "F" represents haze and fog,

249 respectively.

City		Period	PM ₁₀	PM _{2.5}	Vis	RH	U	T_a
			(µg m ⁻³)	(µg m ⁻³)	(km)	(%)	(m s ⁻¹)	(□)
SY	Н	12-16 07:00-12-17 15:00	123.2±49.9	86.7±39.4	4.69±2.31	72.6±7.7	1.58±0.80	-3.8±4.4
	F	12-17 16:00-12-18 01:00	171.0±5.8	129.3±6.2	1.28±0.45	94.7±2.0	0.59±0.46	-3.2±1.7
	Н	12-18 02:00-12-18 08:00	171.1±12.0	125.9±8.7	1.58±0.61	71.0±10.0	0.44±0.23	4.4±2.3
	F	12-18 09:00-12-19 01:00	273.2±66.5	208.2±45.1	0.62±0.23	96.2±1.6	0.44±0.33	-1.5±2.0
	Н	12-19 02:00-12-20 07:00	259.8±64.3	207.2±49.7	1.56±0.54	82.8±5.1	1.21±0.49	1.5±0.7
	F	12-20 08:00-12-21 01:00	265.8±50.4	197.7±31.1	0.59±0.29	96.1±2.3	1.21±0.62	-2.2±0.6
	Н	12-21 02:00-12-22 00:00	201.9±63.8	150.4±36.5	3.26±0.98	78.3±3.5	3.80±0.97	-6.0±4.1
AS	Н	12-18 10:00-12-18 21:00	132.8±33.1	88.0±22.4	5.68±2.97	78.8±4.3	1.11±0.36	3.6±0.6
	F	12-18 22:00-12-19 01:00	162.8±12.8	111.5±10.5	2.36±0.41	92.0±1.2	1.3±0.20	3.0±0.1
	Н	12-19 02:00-12-20 15:00	320.6±51.9	201.9±24.4	4.05±0.97	79.9±5.3	1.26±0.43	1.7±1.2
	F	12-20 16:00-12-21 09:00	389.4±26.2	231.8±31.7	3.74±3.61	91.8±5.5	2.0±0.60	-1.3±0.4
FS	Н	12-16 21:00-12-17 11:00	118.3±281.2	76.4±21.9	3.84±2.51	72.8±11.7	1.64±0.59	-1.2±3.6
	F	12-17 12:00-12-19 01:00	184.1±29.9	137.7±23.9	1.11±0.40	93.7±1.0	1.43±0.67	-3.1±1.9
	Н	12-19 02:00-12-20 12:00	214.2±61.1	150.4±38.3	1.05±0.37	83.4±7.4	1.18±0.50	1.3±1.4
	F	12-20 13:00-12-21 00:00	269.4±36.6	186.9±23.9	0.97±0.31	91.9±1.2	1.81±0.73	-4.0±0.5
	Н	12-21 01:00-12:22 00:00	211.5±75.7	145.2±40.2	2.24±0.87	79.6±3.1	3.57±1.82	-3.6±3.9
BX	Н	12-16 18:00-12-17 14:00	128.0±46.0	89.3±35.0	4.20±2.17	75.4±10.5	1.62±0.56	-0.8±4.4
	F	12-17 15:00-12-18 23:00	112.9±35.9	79.1±25.1	1.76±0.16	92.3±1.3	1.84±0.14	-1.5±0.5
	Н	12-19 00:00-12-20 16:00	240.8±72.0	170.5±45.2	1.57±0.53	80.1±10.8	1.23±0.46	1.6±2.3
	F	12-20 17:00-12-21 00:00	261.5±24.4	183.4±11.1	1.30±0.39	92.1±1.8	1.40±0.28	-2.8±0.5
	Н	12-21 01:00-12:22 00:00	257.6±78.0	193.2±55.2	2.06±0.78	81.7±3.2	1.91±0.63	-4.7±4.4

251 3.2 Effects of boundary layer structure on the haze-fog event

252 *3.2.1 Characteristics of horizontal wind fields*

253 Vector wind field reflects the regional flow spatial characteristics; a larger 254 vector wind indicates better dispersion conditions for air pollutants, and vice versa 255 (Wu et al., 2008). Figure 3 depicts the average daily 10-m wind fields during 16–21 256 December 2016 over Liaoning. Southwesterly and westerly flows dominated in 257 Liaoning on 16 December, and U was the largest in the coastal area of the Bohai Sea. 258 A belt of high-value U covered the Liaoning central area, extending from the 259 southwest to the northeast. Shenyang and Anshan were located at the east side of the 260 belt of high U, and Fushun and Benxi were located on the edge of an area of low U 261 in the eastern region of Liaoning (Fig. 3a). On 17 December, the southwesterly flow 262 turned to a southeasterly flow over the Liaoning central area, and U decreased over 263 large parts of Liaoning, except the eastern region (Fig. 3b). The southerly flows 264 favored the transport of water vapor from the Bohai Sea to Liaoning. Wind speed 265 continually decreased during 18-20 December (Fig. 3c-e), and a belt of low U 266 formed along the Anshan–Benxi line on 20 December (Fig. 3e), which was favorable 267 for the accumulation of air pollutants in this region. With the arrival of cold and dry 268 air masses brought by the strong northeasterly flows on 21 December, the heavy 269 haze-fog event ultimately ended (Fig. 3f).



270 Fig. 3. Spatial variations of the daily mean wind field over Liaoning province from

- 271 16 to 21 December 2016.
- 272 *3.2.2 Characteristics of the vertical wind distribution*
- The vertical distribution of vector wind derived from sounding data during the haze-fog event in Shenyang is shown in Fig. 4. The haze-fog event could be divided into five different periods, according to the wind shear characteristics. During Period

276	1 (11:00 LT on 17 December to 08:00 LT on 18 December), the WD rotated with
277	increasing height in a clockwise direction, varying from the southeast to the
278	southwest, and the WD shear height reduced gradually from 600 m to 200 m with
279	time, which was probably related to the strengthening downdraft (Liu et al., 2015).
280	Meanwhile, there exists a strong wind shear in the lower layer (<600 m), and U
281	increased rapidly with increasing height. During Period 2 (11:00 LT on 18 December
282	to 11:00 LT on 19 December), stable southerly and southwesterly flows prevailed
283	within the ABL in Shenyang, and U at all heights was very low, especially at low
284	altitudes, which favored the accumulation of air pollutants (Fig. 2a). Period 3 (14:00
285	LT on 19 December to 05:00 LT on 20 December) was characterized by frequent
286	changes in WD and persistently weak U . During Period 4, U remained low at low
287	altitudes, whereas at high altitudes (>700 m), U initially increased and then declined
288	sharply again. After 14:00 LT on 21 December, in Period 5, U at middle altitudes
289	began to increase, and a change in WD occurred at low altitudes. Combined with the
290	evolution of the PM concentration shown in Fig. 2a, weak U and wind shear within
291	the ABL hindered the vertical dispersion of pollutants, whereas with the arrival of
292	cold air, the dynamic stability of the ABL collapsed, leading to a rapid reduction in
293	the surface PM concentration.



Fig. 4. Vertical distribution of vector wind in Shenyang from 11:00 on 17 December

to 05:00 on 22 December 2016.

297 3.2.3 Characteristics of vertical temperature distributions

The vertical distribution of T_a during the haze-fog event in Shenyang is shown in Fig. 5. Convective mixing layer between the surface and 1000 m AGL developed during the early haze-fog period before 19 December, but the depth of the warm layer declined due to cold air that descended over time from high to low altitudes, resulting in a strong and deep thermal inversion layer above the ground on 20 December. These stable and upper-layer warm atmospheric conditions were very favorable for the maintenance of the haze-fog pollution event.



Fig. 5. Vertical distribution of air temperature in Shenyang from 11:00 on 17
December to 05:00 on 22 December 2016.

308

309 To clearly outline the evolution of the vertical distribution of T_a , Fig. 6 shows 310 temperature profiles in Shenyang at 11:00 and 23:00 LT from 17 to 22 December 311 2016. Two weak inversion layers occurred at 11:00 LT on 17 December. One 312 occurred at 170–350 m AGL, with an inversion intensity of 2.6 □/km, and the other 313 occurred at 770–910 m AGL, with an intensity of 1.3 \Box /km. However, the two 314 inversion layers disappeared at 23:00 LT on 17 December, and a new ground 315 inversion layer formed (Fig. 6a). During 18 and 19 December (Fig. 6b, 6c) there was 316 little variation of T_a with height, and many thermal inversion layers existed within 317 the ABL. A strong inversion layer then formed and was maintained in the 318 near-surface layer; the bottom of this inversion layer varied from 200 to 350 m 319 during 11:00 LT on 20 December to 11:00 LT on 21 December (Fig. 6d, 6e). Such 18

320 stable atmospheric stratification at low altitudes was very helpful for the 321 accumulation of PM concentrations. Due to the invasion of cold air at low altitudes, 322 the bottom of this inversion layer was lifted up to 900 m at 11:00 LT, and the 323 inversion layer disappeared at 23:00 LT on 22 December (Fig. 6f), which 324 strengthened the vertical mixing of air pollutants.



325

Fig. 6. Temperature profiles for Shenyang at 11:00 LT and 23:00 LT on (a) 17, (b) 18,

327 (c) 19, (d) 20, (e) 21, and (f) 22 December 2016.

The ABLH plays an important role in the evolution of haze-fog episodes. The ABLH values measured by LiDAR and those estimated using sounding data displayed similar variation (Fig. 7), and both had a negative correlation with the PM concentration, with correlation coefficient R = -0.36 for LiDAR and R = -0.21 for

^{328 3.2.4} Evolution of ABHL

333 sounding data, respectively. The regular diurnal variation of ABLH, i.e., highest in 334 the middle of the day and lowest at nighttime, was not observed during the haze-fog 335 event. The ABLH measured by LiDAR was <700 m during the haze-fog event, with 336 the lowest value being 100 m at 02:00 LT on 21 December, and then gradually 337 increased to 800 m as the haze-fog event ended. The ABLH measured by balloon 338 sounding was higher than that determined by LiDAR, particularly when the ABLH 339 was high, which corresponded to low PM concentrations. The ABLH measured by 340 ball sounding is typically below 400 m during heavy pollution episodes. Such low 341 ABLH levels led to high PM concentration in the near-surface layer. It turned out 342 that the ABLH determined by LiDAR was comparable to that estimated using 343 sounding data in Shenyang, and the LiDAR measurements can be used to obtain 344 real-time ABLH for further study.



345

Fig. 7. Evolution of atmospheric boundary layer height (ABLH) measured by ball

³⁴⁷ sounding and LiDAR in Shenyang.

349 3.3 Back trajectory results

350 Different aerosol transportation paths were observed in the four cities during the 351 haze-fog event according to the 2-day back trajectory analyses at 200, 500, and 1000 352 m AGL (Fig. 8), which ended with the occurrence of the first PM concentration peak 353 in Fig. 2. The air masses at 200 m AGL were generated from the Bohai Sea and 354 passed through North Korea and southeastern Liaoning before arriving at Shenyang, 355 Fushun, and Benxi. These air masses carried water vapor and led to high RH 356 conditions. However, the air masses at all three altitudes in Anshan originated from 357 western China (Fig. 8b), resulting in lower RH in Anshan than in the other three 358 cities. The transportation paths of air masses at 1000 m AGL in Fushun and Benxi 359 were similar to that of the air mass that arrived in Anshan. The air masses at the 360 middle altitude of 500 m AGL in Shenyang originated from the North China Plain, 361 where anthropogenic activities are intense and severe haze pollution is common. 362 This might explain why the haze-fog event first occurred in Shenyang.



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http://www.cmsjournal.net/qxxb_en/ch/index.aspx



Fig. 8. The 48-h backward trajectory in (a) Shenyang, (b) Anshan, (c) Fushun, and (d)
Benxi starting from the first peak of the PM concentration during the haze-fog event.

4. Conclusion

367 The characteristics of the ABL structure during a persistent, severe regional 368 haze-fog event over the central Liaoning City Cluster during 16–21 December 2016 369 were analyzed based largely on measurements from an ABL observational 370 experiment and PM concentrations at the surface. The haze-fog pollution began in 371 Shenyang at first, at 12:00 LT on 16 December, and ended at about 00:00 LT on 21 372 December in all four cities. The PM_{2.5} concentration during the haze-fog event was larger in Anshan (158.3 \pm 22.2 μ g m⁻³) and Shenyang (157.9 \pm 31.0 μ g m⁻³) and 373 smaller in Fushun (121.3 \pm 48.9 μ g m⁻³) and Benxi (135.6 \pm 60.8 μ g m⁻³). According 374 375 to the variations of *RH* and *Vis*, several haze and fog episodes were distinguished. 376 The transformation from haze to fog usually occurred in the afternoon, which is 377 probably related to the increasing RH under cold air conditions after sunset.

378	The distribution of daily horizontal wind fields at 10 m AGL that were retrieved
379	from the ECMWF reanalysis data during 16-21 December were strongly related to
380	allocation of the surface pressure field. Southwesterly and westerly flows dominated
381	in Liaoning on 16 December, and a belt of high U covered the Liaoning central area,
382	extending from the southwest to northeast. On 17 December, the U veered into the
383	southeast over the Liaoning central area, and U decreased persistently during 18–20
384	December, which was favorable for the accumulation of air pollutants and water
385	vapor in this region. With the arrival of cold and dry air masses carried by the strong
386	northeasterly flows on 21 December, the heavy persistent haze-fog event ultimately
387	ended.

According to the analyses of the vertical distribution of temperature, a thick 388 389 warm layer between the surface and 1000 m AGL developed during the early 390 haze-fog period before 19 December, but the top of the warm layer declined due to 391 cold air that descended over time from high to low altitudes. This resulted in a strong 392 and deep thermal inversion layer, with the bottom lying at 200–350 m AGL in the 393 near-ground layer on 20 December. These stable and warm atmospheric conditions 394 were very favorable for the formation and maintenance of the haze-fog pollution 395 event. The ABLH measured by ball sounding is typically less than 400 m during a 396 heavy pollution episode. Such a low ABLH would concentrate pollutants in the 397 near-surface layer. The stable atmospheric stratification finally acted on the vertical 398 distribution of the wind field in the boundary layer, further weakening the exchange 399 capacity of the vertical turbulence. The superposition of a weak wind field with

400	weak horizontal	wind resulted	in air	stagnation,	ultimately	causing	deterioration	in
401	air quality during	g the haze-fog	event.					

402 The back trajectory analyses indicated that the air masses at the lower altitude of 403 200 m AGL in all cities originated from North Korea and passed over the Bohai Sea, 404 except for the air masses arriving in Anshan, which caused lower humidity there 405 compared with that in the other cities. The air masses at the higher altitudes of 500 406 and 1000 m AGL in Shenyang were generated from the North China Plain, where 407 anthropogenic activities are intense and haze pollution is severe. The air masses 408 arriving in the other cities originated from western China or over the Bohai Sea, 409 which might explain why the haze-fog event occurred firstly in Shenyang.

410

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