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Title: Application of Air Parcel Residence Time Analysis for Air Pollution Prevention and Control Policy in the Pearl River Delta Region

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Keywords: Air Parcel Residence Time, Population Exposure to Air Parcel, HYSPLIT, air pollution prevention and control, Pearl River Delta region

Abstract: With the increase in air parcel residence time (APRT) in a certain area, the atmospheric diffusion capacity of the air parcel decreases. Therefore, in this study, the APRT was investigated to study its potential application in air pollution prevention and control in the Pearl River Delta (PRD) region. The APRT in the PRD region was defined as the total period for which an air parcel stays within the PRD region. The Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model was used to calculate the hourly APRT in 2012, 2014, and 2015 based on forward trajectories from 16,720 starting locations. The seasonal APRT results revealed that long APRT was mainly distributed in southern PRD in the summer half year, but in northeastern PRD in the winter half year. This is related to the prevailing wind directions in the summer and winter monsoons. Moreover, the comparison of APRT in different years revealed that the diffusion condition was relatively poor in fall in 2012 and throughout 2014 but was relatively favorable in 2015, which also corresponded to the pollutant concentrations. The APRT calculated from regional air pollution days indicated that the emission reduction strategy should be implemented in the key areas, namely the eastern and central Guangzhou, western Huizhou, and the border between Foshan and Jiangmen, and the construction of new factories should not be allowed in these areas. Compared to the APRT, which was investigated to trace the air pollution source, population exposure to air parcels (PEAP) was investigated to orient the influence of path-and-time-weighted sources to population. Consequently, a high PEAP was found to be distributed mainly in the central Guangzhou and Shenzhen and scattered in other urban areas.

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4 **Application of Air Parcel Residence Time Analysis for Air Pollution Prevention and**
5 **Control Policy in the Pearl River Delta Region**
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11 Yeqi Huang ^a, Teng Yao ^a, Jimmy C. H. Fung ^{a,b*}, Xingcheng Lu ^a, Alexis K. H. Lau ^{a,c}
12

13
14 ^a *Division of Environment and Sustainability, The Hong Kong University of Science and*
15 *Technology, Clear Water Bay, Kowloon, Hong Kong, China*
16

17 ^b *Division of Mathematics, The Hong Kong University of Science and Technology, Clear Water*
18 *Bay, Kowloon, Hong Kong, China*
19

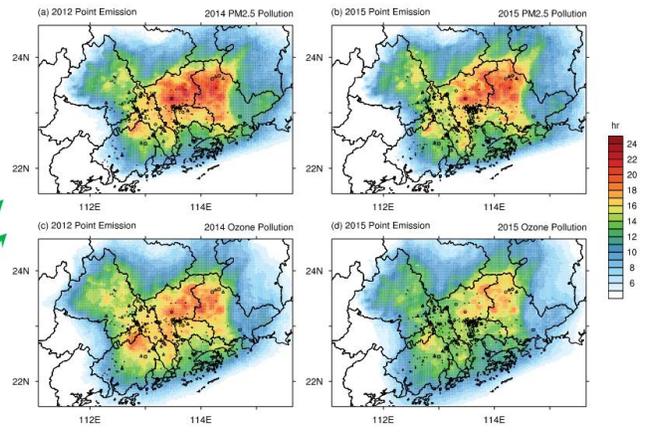
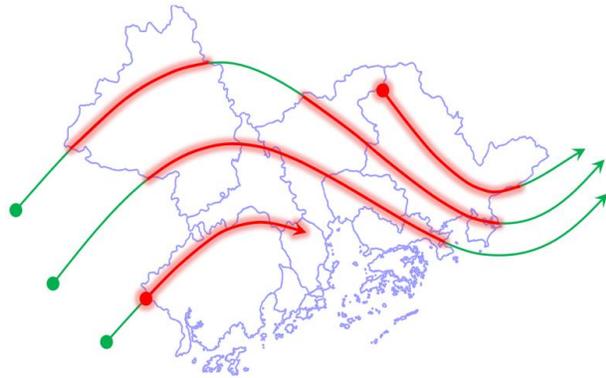
20 ^c *Department of Civil and Environmental Engineering, the Hong Kong University of Science and*
21 *Technology, Hong Kong, China*
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46 *Corresponding author & address: Jimmy C. H. Fung; majfung@ust.hk
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GRAPHICAL ABSTRACT



HIGHLIGHTS

Air Parcel Residence Time (APRT) was investigated to identify potential emission control regions.

The APRT and traditional emission control methods were compared.

The APRT in the seasonal cycle and on regional air pollution days was analyzed.

Population exposure to air parcels (PEAP) on regional air pollution days was investigated to trace the influence of path-and-time-weighted sources to population.

24 **Abstract**

25 With the increase in air parcel residence time (APRT) in a certain area, the atmospheric diffusion
26 capacity of the air parcel decreases. Therefore, in this study, the APRT was investigated to study
27 its potential application in air pollution prevention and control in the Pearl River Delta (PRD)
28 region. The APRT in the PRD region was defined as the total period for which an air parcel stays
29 within the PRD region. The Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT)
30 model was used to calculate the hourly APRT in 2012, 2014, and 2015 based on forward
31 trajectories from 16,720 starting locations. The seasonal APRT results revealed that long APRT
32 was mainly distributed in southern PRD in the summer half year, but in northeastern PRD in the
33 winter half year. This is related to the prevailing wind directions in the summer and winter
34 monsoons. Moreover, the comparison of APRT in different years revealed that the diffusion
35 condition was relatively poor in fall in 2012 and throughout 2014 but was relatively favorable in
36 2015, which also corresponded to the pollutant concentrations. The APRT calculated from
37 regional air pollution days indicated that the emission reduction strategy should be implemented
38 in the key areas, namely the eastern and central Guangzhou, western Huizhou, and the border
39 between Foshan and Jiangmen, and the construction of new factories should not be allowed in
40 these areas. Compared to the APRT, which was investigated to trace the air pollution source,
41 population exposure to air parcels (PEAP) was investigated to orient the influence of path-and-
42 time-weighted sources to population. Consequently, a high PEAP was found to be distributed
43 mainly in the central Guangzhou and Shenzhen and scattered in other urban areas.

44 **Keywords:** Air Parcel Residence Time, Population Exposure to Air Parcel, HYSPLIT, air
45 pollution prevention and control, Pearl River Delta region

46

47 **1. Introduction**

48 During the last decade, heavy haze and ozone pollution have occurred frequently in the
49 urban areas of China, especially in the Beijing–Tianjin–Hebei Region, the Yangtze River Delta,
50 and the Pearl River Delta (Li et al., 2014; Zhang et al., 2014; Brauer et al., 2015; He et al., 2017).
51 Exposure to ambient air pollution has been linked to adverse effects on human health and risks of
52 mortality (Delfino et al., 2009; Sicard et al., 2011; Lin et al., 2016). The Chinese government has
53 implemented aggressive strategies to prevent and control further deterioration of air pollution. In
54 September 2013, the State Council of China enacted the “Air Pollution Prevention and Control
55 Action Plan,” which contributed to 5.8% and 10.9% reductions in the annual emissions of SO₂
56 and NO_x, respectively, in 2015. The People’s Government of Guangdong Province issued
57 “Guangdong Province Air Pollution Prevention and Control Plan (2014–2017)” in February 2014
58 with the aim to call on the cooperation of pollution control in the PRD region. Owing to the strict
59 enforcement of these plans, the air quality has continued to improve in the recent years.

60 The air pollution prevention and control strategies include two important components:
61 emission reduction and emission structure relocation. Recent studies have paid more attention to
62 source and regional contribution diagnoses because they are straightforward and effective
63 methods to guide emission reduction in the current scenario in China. The most common
64 methods used in source and regional contribution diagnoses include the chemical mass balance
65 (CMB) analysis (Yin et al., 2015; Liu et al., 2016; Tang et al., 2018), positive matrix
66 factorization (PMF; Sun et al., 2013; Wang et al., 2015), brute-force method (Wang et al. 2013;
67 Kim et al., 2017; Huang et al., 2018; Deng et al., 2018), particulate matter source apportionment
68 technology, and ozone source apportionment technology (Li et al., 2012; Li et al. 2013; Wang et
69 al., 2014; 2015; Li et al., 2016; Lu, X. and Fung, J.C., 2016a). However, these methods have

70 some limitations in their application for policy making. CMB analysis and PMF can identify
71 potential emission sources only at the fixed observation site, which is insufficient to meet the
72 requirement of the regional emission control strategy. In the case of air quality models, such as
73 the Community Multiscale Air Quality (CMAQ) model and the Comprehensive Air Quality
74 Model with Extensions (CAMx), the uncertainty of emission inventory somewhat diminishes
75 their utility and accuracy. Moreover, it is very time-consuming to simulate emission control
76 scenarios due to the complex calculation in batch of chemical reactions. The subdomains of
77 emission control scenarios should be arranged in advance, and the results should also be limited
78 to the pre-divided subdomains.

79 The emission structure relocation method is another promising way to control the air
80 pollution, as the emission reduction space in China is relatively restricted at present. However,
81 few studies have focused on this subject. To develop timely recommendations for pollution
82 prevention and control policies, the result should reflect the general situation and the major
83 characteristic of the source contributions. In this study, the concept of air parcel residence time
84 (APRT) was established to identify the regional emission sources. If the same emission reduction
85 strategy is implemented in two regions with different atmospheric diffusion capacity, the
86 contributions of the strategy to the air quality, i.e., its emission control efficiency, would be
87 different in the two regions. Indeed, this emission control efficiency will be better in regions with
88 poor diffusion conditions than in those with favorable diffusion conditions. This concept led to
89 the idea of APRT. Further, the Hybrid Single-Particle Lagrangian Integrated Trajectory
90 (HYSPLIT) model with forward trajectories (Draxler and Hess, 1997, 1998) was used in this
91 study. Without the complex chemistry calculation, the HYSPLIT model can rapidly capture the
92 principal diffusion characteristics and trace the potential emission sources. Previous studies have

93 also used the HYSPLIT model to perform emission control analyses (Makra et al., 2013; Huang
94 et al., 2015; Ni'Am et al., 2017; Yang et al., 2017). For example, Wang et al. (2010) applied this
95 model with a k-means clustering algorithm to classify the transport patterns and indicated that
96 the southwestern transport pathway was associated with the increasing phase of $<10\text{-}\mu\text{m}$
97 particulate matter (PM_{10}). Ding et al. (2017) used backward trajectory and potential source
98 contribution function of the HYSPLIT model to identify the potential source distributions.
99 However, most of the studies set the receptor as a fixed position instead of considering the
100 regional properties because of the limitation of backward trajectory.

101 Therefore, considering the regional properties, we introduced forward trajectory to calculate
102 the APRT. Sharing the same objective with the previous studies, this study aimed to provide
103 references for policy makers to build the regional air pollution control strategies, considering
104 both source contribution and emission structure relocation. The HYSPLIT configuration and
105 statistical methods are described in Section 2. The results and analysis are presented in Section 3.
106 The summary and discussion are presented in Section 4.

107 **2. Model, data, and analysis methods**

108 **2.1 Forward Trajectory of HYSPLIT**

109 The HYSPLIT version 4 updated in February 2016 was used to calculate the 120-h forward
110 trajectories. The HYSPLIT model was driven by meteorological data output from the Weather
111 Research and Forecasting (WRF) model version 3.7.1, which assimilated wind field observation
112 data in the third nested domain. The WRF grid resolutions were 27 km, 9 km, and 3 km, with
113 domain 3 (3 km) covering the entire PRD region with 172×130 horizontal grids and 39 vertical
114 layers. In this study, 152×110 , i.e., 16720, starting locations were chosen to investigate the
115 effect of air parcels from these points, and all air parcels were initiated at each hour in 2012,

116 2014 and 2015. The starting locations were distributed at every 3 km along the grids within the
117 WRF domain. To investigate the movement of air parcels in the planetary boundary layer, the
118 initial air parcels were released at 100-m height. Two similar experiments were also performed in
119 January 2015 but at 20- and 50-m height. The patterns of the three experiments were quite
120 similar (figure not shown). Therefore, the experiment at 100-m height was selected as the
121 representative in this study.

122 **2.2 APRT**

123 In total, 16,720 air parcels were uniformly released at 100-m height from the starting
124 locations, and the total period for which an air parcel stayed within the PRD region was defined
125 as the APRT. For example, as the four forward trajectories shown in Fig. 1, no matter where the
126 starting locations were (within or out of the PRD region) or how the trajectories passed through
127 the PRD region (pass directly or go back and forth), the APRT was defined as the total period for
128 which each trajectory stayed in the PRD region (bold red streamlines in Fig. 1). A shorter
129 transport time is associated with a higher wind speed and better atmospheric diffusion capacity,
130 indicating the dilution of air pollutants. By contrast, a long residence time of an air parcel in a
131 certain area (the PRD region in this study) indicates a lower wind speed and poor atmospheric
132 diffusion capacity. Therefore, compared with the regions with short APRT, those with longer
133 APRT can be associated with higher contribution to air pollution in the PRD region. Thus, the
134 spatial gridded APRT can be obtained to identify the potential air pollution prevention and
135 control areas.

136 **2.3 PM_{2.5} and O₃ regional pollution days**

137 The hourly PM_{2.5} and O₃ concentrations in 2014 and 2015 declared by China National
138 Environmental Monitoring Center and Hong Kong Environmental Protection Department were

139 used to indicate the air pollution situation in the PRD region. Because the nationwide regulatory
140 air quality monitoring network was not paved until the end of 2012, the data of 2012 were not
141 considered in this study. The data of 79 (83) stations involving 5 Hong Kong stations in 2014
142 (2015) were chosen to calculate the daily mean PM_{2.5} and O₃ concentrations. The calculation of
143 daily mean eliminated the missing data in strict accordance with the “Ambient Air Quality
144 Standards of the PRC” (MEP & AQSIQ, 2012).

145 A PM_{2.5} regional pollution day was defined as a daily PM_{2.5} concentration of >75 μg/m³
146 in >10% stations (the second PM_{2.5} standard of “Ambient Air Quality Standards of the PRC”).
147 Similarly, an ozone regional pollution day was defined as a maximum daily mean 8-h ozone
148 concentration of >160 μg/m³ in >10% stations.

149 **2.4 Population Exposure to Air Parcel (PEAP)**

150 PEAP was defined as the integration of population density along the trajectory. Namely,
151 along the trajectory, the population density and APRT in a certain grid are the functions of time t;
152 thus,

$$PEAP = \int_L de(s) = \int_{ts}^{te} p(t) dt$$

153 where L is the trajectory, e(s) is the population exposure on a short section of the trajectory, p is
154 the population density, ts is the start time of the air parcel trajectory, and te is the end time.

155 The 3 × 3-km population density from High Resolution Global Population Data Set
156 developed by Oak Ridge National Laboratory (Bright et al., 2014) was used in this study, and its
157 distribution is shown in Fig. 2. The figure shows that most of the population in the PRD region is
158 concentrated in the urban areas, especially in Guangzhou, Foshan, Dongguan, and Shenzhen,
159 which are highly urbanized. Over a certain site, the effect of an air parcel on population is
160 determined by the product of local population density and the APRT. For example, if an air
161

162 parcel has a long APRT and passes through highly populated regions, it will highly affect the
163 population. By contrast, if the trajectory passes through the regions with low population density,
164 a long APRT can have a potentially high contribution to air pollution but little effect on the
165 population.

166 **3. Results**

167 **3.1 Seasonal cycle of APRT**

168 Louie et al. (2005) determined seasons that were more applicable to the PRD region, which
169 is located in the Asian monsoon region. Accordingly, in this study, we defined a prolonged
170 summer (May 16 to September 15), winter (January 1 to March 15 and November 16 to
171 December 31), brief spring (March 16 to May 15), and fall (September 16 to November 15). The
172 seasonal cycle of APRT in 2012, 2014, and 2015 and the 200-m terrain height are shown in Fig.
173 3 to help identify the potential air pollution prevention and control regions. The distribution
174 patterns of the APRT in the same season in different years were quite similar, implying the yearly
175 periodic variations in meteorological conditions, i.e., the patterns of the four seasons show
176 obvious seasonal characteristics related to the summer and winter monsoons. In the summer half
177 year, southerly and southeasterly winds with moderate wind speed predominate in the PRD
178 region. Therefore, air parcels in the southern PRD region travel long time within the PRD region
179 (Fig. 3a-b, e-f, and i-j). The APRT is long in Zhuhai, central Jiangmen, Zhongshan, Shenzhen,
180 Hongkong, the southern Dongguan, and the border between Shenzhen and Huizhou in spring.
181 Meanwhile, in summer, the APRT pattern expands more to the north including the central and
182 southern Zhaoqing and southern Guangzhou. By contrast, during the northeasterly controlled
183 winter half year, air parcels with long residence time are concentrated mainly in the northeastern
184 part of the PRD region (Fig. 3c-d, g-h, and k-l), especially in northeastern and central

185 Guangzhou, western Huizhou, northern Dongguan, and the border between Foshan and Jiangmen.
186 A relatively long APRT is also distributed in the mountainous regions and along the coastline
187 because the APRT patterns are affected by land–sea breeze circulation and mountain–valley
188 breeze circulation. After the sunset, the updraft of sea breeze circulation returns ashore and
189 results in a longer APRT along the coastline. At the same time, the updraft of mountain–valley
190 breeze circulation moves to the valley, leading to a patchy APRT pattern over the mountainous
191 regions. Due to the relatively low atmospheric diffusion capacity in the nighttime, the mean
192 APRT in the nighttime is longer than that in the daytime. Therefore, the APRT around the
193 coastline and mountainous regions is longer, indicating a greater effect on air quality in the
194 nighttime. This phenomenon can be observed in the diurnal circle of APRT (figure not shown).
195 In the winter half year, the PRD region is dominated by the cold front and high-pressure ridge
196 with a deep thermal inversion layer. Low wind speed and low planetary boundary height in that
197 situation contribute to the low atmospheric diffusion capacity. Therefore, the mean APRT in fall
198 is much longer than that in the summer half year. However, the mean APRT in winter is
199 relatively shorter because some cold air surge with high wind speed decreases the mean
200 wintertime APRT.

201 The spatial distribution patterns among the APRT of 2012, 2014, and 2015 are similar, while
202 the magnitudes are quite different.. The comparison of the APRT distribution patterns in the same
203 seasons in 2012, 2014 and 2015 clearly showed that the APRT in 2014 was much longer than
204 that in 2012 (except fall) and 2015 (Fig. 3). This feature represents relatively adverse dispersion
205 situations in 2012 fall and throughout 2014, whereas a favorable atmospheric diffusion capacity
206 in 2015.

207 To learn more about the relation between the regional air pollution and the APRT, we

208 calculated the regional maxima of the APRT, seasonal mean of the APRT, and regional means of
209 $PM_{2.5}$ and O_3 concentrations (see Table 1). The regional mean of the APRT was calculated after
210 removing the values lower than 5 h, which have little effect on the PRD air pollution. During the
211 same season in different years, the maximum and mean APRTs corresponded to the pollutant
212 concentrations, i.e., a long APRT was always accompanied with severe regional air pollution. In
213 general, unfavorable diffusion condition leads to not only the increase in $PM_{2.5}$ and O_3
214 concentrations but also the accumulation of their precursors. However, the variations in pollutant
215 concentrations were not accompanied by changes in the APRT in different seasons of the same
216 year because the magnitude and direction of horizontal transport, vertical diffusion capacity, and
217 chemical reaction rate were significantly distinct in different seasons. As shown in Table 1, the
218 maximum and mean APRTs in spring 2014 were 19.2 and 10.1 h and 2.2 and 0.8 h longer than
219 those in 2012 and 2.9 and 1.2 h longer than those in 2015, respectively. The regional mean $PM_{2.5}$
220 concentration in 2014 was $40.5 \mu\text{g}/\text{m}^3$, which was much larger than $29.4 \mu\text{g}/\text{m}^3$ in 2015. However,
221 the O_3 concentration in 2014 was a little lower than that in 2015 which may be related to the
222 chemical reaction. In summer, the maximum and mean APRTs in 2014 were 20.0 and 10.7 h and
223 1.8 and 0.9 h longer than those in 2012 and 3.6 and 1.3 h longer than those in 2015, respectively.
224 When the wind direction became southerly, clean air from the sea decreased the $PM_{2.5}$
225 concentration. At the same time, as temperature increased, the regional mean O_3 concentration
226 increased to 84.0 and $76.6 \mu\text{g}/\text{m}^3$ in 2014 and 2015, respectively. In a similar meteorological
227 condition, the favorable diffusion condition in 2015 led to an air quality superior to that in 2014.
228 The longest APRT in fall occurred in 2012, with the maximum value reaching 24.1 h, indicating
229 that some air parcels in the PRD region stayed for >1 day. The longest APRT in fall 2012 was 1.7
230 h longer than the longest APRT in fall 2014. However, their mean APRT values were close to

231 each other, indicating that areas with long APRT were more concentrated in 2012 than that in
232 2014 (Fig. 3c and g). The maximum and mean APRTs in fall 2015 were shorter than those in the
233 other seasons of 2012 and 2014. The difference between the air pollutant concentrations in 2014
234 and 2015 can also explain the severe air pollution in 2014. The APRTs in winter were not
235 significantly different between the 3 years: the maximum values were 17.4, 17.9, and 17.0 h and
236 the mean values were 8.9, 9.2, and 9.0 h in 2012, 2014, and 2015, respectively. One main reason
237 may be that the cold air surge with high wind speed predominantly affected the overall APRT. As
238 shown in Fig. 3h and i, the locations with long APRT in 2014 were more scattered than those in
239 2015, which may have led to the more severe air pollution in 2014. Although we did not have
240 sufficient air pollution data of 2012, the information in some other reports and studies supported
241 our conclusion. For example, according to the Report on the State of Guangdong Provincial
242 Environment, the annual mean PM_{2.5} concentration in 2011, 2012, 2013, 2014, and 2015 were 54,
243 50, 60, 60, and 51 $\mu\text{g}/\text{m}^3$, respectively, demonstrating the rapid improvement in air quality in
244 2012. Such a phenomenon was also observed by Lin et al. (2016) in the annual mean satellite-
245 retrieved PM_{2.5} concentration. Therefore, the improvement in air quality in 2012 and 2015 may
246 be attributable to not only the emission reduction policies but also better atmospheric diffusion
247 capacities.

248 **3.2 Features of APRT on regional air pollution days**

249 In actual application, policy makers are mostly concerned about the emission control on air
250 pollution days. In response to the inter-regional air pollution prevention and control policy, we
251 calculated the mean APRTs on regional pollution days to assess the pollutant dispersion
252 condition. As the observation data of 2012 were insufficient to reflect the regional pollution, we
253 considered the pollutant dispersion conditions of only 2014 and 2015. Figure 4 shows the mean

254 APRT on pollution days, and the locations of point emissions in 2012 and 2015 were overlapped
255 onto the APRT maps of 2014 and 2015, respectively. The change in the emission locations served
256 as the reference in the transformation of point emissions. Different levels of emission loads were
257 indicated by a series of markers to assess the tangible effect of the air parcel from the locations.
258 Point sources were found to contribute a large percentage of pollutant emissions, such as SO₂
259 (>90%), NO_x (>50%), and PM_{2.5} and PM₁₀ (>30%), which were also easy to be managed and
260 controlled.

261 According to the calculation method in Sec. 2.3, 74 PM_{2.5} and 92 O₃ regional pollution days
262 were selected in 2014, and 50 PM_{2.5} and 109 O₃ regional pollution days were selected in 2015.
263 The PM_{2.5} regional pollution days mainly occurred in fall and winter, and O₃ regional pollution
264 days mostly occurred in summer and fall. Therefore, the APRT pattern during PM_{2.5} regional
265 pollution days was similar to that during the winter half year (Fig 4a-b). The APRT pattern
266 during O₃ regional pollution days was also similar to that in the winter half year (Fig 4c-d)
267 because the APRT was longer in fall than in summer. Most studies had set the pre-divided areas
268 according to administrative divisions. However, as shown in Fig. 4, the potential sources are not
269 distributed as per the administrative divisions but as the natural property of the air trajectories
270 with irregular shapes; such a distribution performs better than that based on the administrative
271 divisions. For example, Wu et al. (2013) divided 11 source regions and Li et al. (2012) divided
272 12 source regions as administrative divisions within and around the PRD region and used the
273 source apportionment technology in CAMx to identify the potential emission control sources.
274 Both groups found a high contribution of non-local emissions to ambient pollutants. However,
275 only part of the potential sources they found were the main contributors. Thus, such a pre-
276 divided method leads to some extra considerations regarding the main contributing regions and

277 some overlooks in other regions. Moreover, it is difficult to make cross-regional pollution control
278 policies, as the contributions are relatively independent.

279 The similarity of the APRT patterns in different years and pollution situations makes long-
280 term policy making convenient. As mentioned above, the grids with warmer colors represent
281 longer APRTs, indicating the key areas in which to implement the air pollution prevention and
282 control policy. Therefore, the main control areas on regional pollution days include eastern and
283 central Guangzhou, especially Zengcheng, eastern Conghua, Luogang, and eastern Baiyun
284 districts; western Huizhou, such as Longmen, Boluo, and western Huicheng districts; and the
285 border between Foshan and Jiangmen, including the southern Gaoming and Heshan districts.
286 Notably, most of the high emission locations and the potential source regions did not overlap,
287 mostly because most of the potential source regions were mountainous regions, where the air
288 parcels are easily trapped. However, some point emissions were located in severe potential areas
289 (red color codes), such as the central Guangzhou and northern Huizhou, whereas most point
290 emissions occurred in mild potential areas (orange and yellow color codes). If the emission
291 control policy is implemented in the PRD region, these point emissions should be prioritized.
292 Notably, when the diffusion condition is relatively favorable, the air parcels with high residence
293 time mainly come from the mountainous regions with few anthropogenic sources (Fig. 4d).
294 However, when the atmospheric diffusion capacity decreases, the long APRT areas extend to the
295 manufacturing districts (Fig. 4b) and even urban regions with large mobile and resident
296 emissions (Fig. 4a and c). Therefore, the effect of the emissions may increase rapidly when the
297 meteorological situation worsens, which also explains why the regional air pollution occurs
298 within a short time in an adverse dispersion situation.

299 Emission relocation is another important task in the pollution prevention and control policy

300 of China. In “the Atmospheric Pollution Prevention and Control Law of the People’s Republic of
301 China” (Committee of the NPC, 2015), one approach to prevent and control air pollution is
302 “optimizing industrial structure and distribution and adjusting energy structures.” The policy
303 “Vacating the Cage and Change Birds” (in Chinese Teng Long Huan Niao) proposed by the
304 Guangdong government to relocate traditional manufacturing from PRD to the western and
305 eastern Guangdong province has been thought to have improved the air quality in the PRD
306 region (Yang C., 2012; Yin et al., 2017). In addition, new construction of industries is always
307 considered in future development. The policy makers proposed that “when planning industrial
308 parks, development zones and regional industrial development are likely to increase air pollution
309 in the key regions” (Committee of the NPC, 2015), indicating the importance of location
310 selection for new factories. Based on the conclusion of this study, the relocation or new
311 construction of emission sources from 2012 to 2015 (Fig. 4a–d) led to some improvements and
312 some unfavorable changes in the air quality of PRD as some emission sources have been moved
313 to areas with short APRTs, whereas others have been moved to areas with long APRTs. For
314 example, the increase in emission sources built in Zhaoqing, Jiangmen, central and southern
315 Foshan, Shenzhen, central Dongguan, and eastern Huizhou will decrease air pollution in the PRD
316 region compared with sources built in other areas with longer APRTs, whereas the new
317 settlement on the border between Foshan and Guangzhou, the border between Zhongshan and
318 Guangzhou, northern Guangzhou, northern and central Huizhou, and western Dongguan will
319 deteriorate the air quality of the PRD region because the APRTs in these areas are long. Notably,
320 the local air quality is always most affected by the local emissions. Therefore, in this study, we
321 defined the contribution of an emission location as its total effect on the air quality of the entire
322 PRD region and not on the local air quality alone. The guiding significance of this study was an

323 emission control strategy based on a comprehensive outlook instead of regional consideration
324 alone as reported in traditional studies.

325 **3.3 Features of PEAP on regional air pollution days**

326 One of the most important aims of air pollution prevention and control is to protect
327 population health. As described by World Health Organization (2016), “outdoor air pollution is a
328 major environmental health problem affecting everyone in developed and developing countries
329 alike,” and population exposure assessment has been a topic of interest in air pollution studies.
330 Because an overview of control regions is required for policy making, evaluation of the
331 population exposure should be preferred over that of the individual health burden. “Atmospheric
332 Pollution Prevention and Control Law of the People’s Republic of China” (2015) proposes the
333 following: “take necessary steps to manage public health risks, according to the air pollutant’s
334 potential damage and impact on human health and the environment.” One of the traditional
335 methods to estimate spatial population exposure is to calculate the product of spatial distribution
336 of ambient pollutant concentrations and population density (Hystad et al., 2011; Lin et al., 2016;
337 Lu et al., 2016b). Studies that used this method could reveal the health burden caused by the
338 ambient pollutants but could not identify the potential emission control regions.

339 Based on the concept of APRT and its features on air pollution days stated above, we further
340 investigated PEAP to identify the potential pollution control areas for population on regional
341 pollution days. According to the definition in Sec. 2.4, the concept of PEAP is different from that
342 of traditional population exposure. PEAP can identify the potential influential sources instead of
343 the simple population exposure to the ambient air pollution.

344 The peak of PEAP was mainly distributed in the central and urban areas of Guangzhou and
345 central Shenzhen and was scattered in the urban areas of other cities. As displayed in Fig. 5, the

346 population density strongly affects the APRT distribution, although PEAP is the product of APRT
347 and population density. Therefore, PEAP basically follows the population density distribution in
348 the PRD region. PEAP is sensitive to the air parcel's starting location; if the starting location is
349 present in a highly populated region, the air parcel has higher probability to affect more
350 population. The warmer colors indicate where the air parcel will affect more population in its
351 trajectory life. The Luogang district and urban Guangzhou were the regions with the greatest
352 effect on the PRD region because of a long APRT and a high population density, respectively.
353 Limited point emissions were found in Guangzhou; however, a few large emissions were located
354 in the high PEAP regions, likely to affect large population in the PRD region. The APRT in
355 Shenzhen and Hong Kong was short (Fig. 4), but the high population density in these regions led
356 to the second highest PEAP. Dongguan and Foshan urban areas have both large population and
357 long APRT; therefore, high PEAP values were also concentrated in these regions. Meanwhile,
358 many high point emissions were located in Dongguan, especially on the border between
359 Dongguan and Guangzhou. These point emissions require attention because they may
360 considerably affect the population health. By contrast, although many point emissions were
361 located in Foshan, they were in areas with low PEAP. Notably, the urban areas mentioned above
362 harbor large mobile and resident emissions, which may cause greater effects than point emissions.
363 Although western Huizhou had long APRT, the high PEAP was limited to the highly populated
364 region and the border between Dongguan and Shenzhen. In addition, the high PEAP in Zhuhai,
365 Jiangmen, and Zhongshan were due to high population density, whereas air parcels from
366 Zhaoqing had little effect on the population of the PRD region.

367 **4. Summary and Discussion**

368 A series of air pollution prevention and control policies have been issued by the government

369 to deal with severe air pollution in the PRD region. However, the precise sources of air pollution
370 in this region remain unknown. In this study, the hourly forward trajectories from 16,720 starting
371 locations were applied to calculate the gridded APRT in 2012, 2014, and 2015. The seasonal
372 cycle of the APRT distribution patterns were found to be related to the summer and winter
373 monsoon, as the long APRT was distributed mainly in southern PRD in the summer half year,
374 whereas in northeastern PRD in the winter half year. The mean APRT in fall was longer than that
375 in the summer half year as the poor atmospheric diffusion condition in fall favors heavy air
376 pollution. However, the APRT in winter was shorter because cold surge with very high wind
377 speed decreased the mean wintertime APRT. The APRT was short in 2015, indicating the
378 favorable diffusion condition, which corresponded to the low $PM_{2.5}$ and O_3 concentrations. By
379 contrast, the long APRT in fall 2012 and throughout 2014 implies the unfavorable atmospheric
380 diffusion capacity and severe air pollution. The APRT patterns on regional air pollution days
381 revealed the potential emission control regions, including eastern and central Guangzhou,
382 western Huizhou, and the border between Foshan and Jiangmen. PEAP on regional air pollution
383 days was investigated to orient the potential influential sources on population. Emission control
384 areas associated with a high PEAP were mainly distributed in the central and urban areas of
385 Guangzhou and central Shenzhen. According to the PEAP pattern, mobile and resident emissions
386 in urban areas may have contributed more than point emissions to population.

387 The application of APRT can effectively solve some problems in the diagnosis of regional
388 air pollution contribution. The potential sources identified by the APRT and PEAP were not
389 distributed by artificial segregation such as administrative divisions but by the natural property
390 of air trajectories. In addition, the emission inventory keeps changing, but APRT estimation does
391 not require emission inventory and can clearly distinguish the meteorological influence from the

392 total contribution. Moreover, the research object in this study was set as the PRD region to
393 reflect the requirement of inter-regional cooperation in air pollution prevention and control
394 policies. Therefore, the APRT is a simple and suitable concept for providing suggestions and
395 rapid responses as well as for long-term policy making. The APRT analysis can not only be used
396 in emission source orientation but also be applied in emission structure relocation, which is a
397 potential main task in the future. However, the APRT analysis has several limitations because it
398 is a simple concept that lacks the consideration of chemical reactions/pollutants. On the one hand,
399 the lack of chemical reaction calculation may cause inaccuracies when the formation of
400 secondary pollutants predominates. This drawback is reflected in the nonconformity between
401 APRT and O₃ concentration. On the other hand, the weight of the trajectory transport distance
402 may also be an important factor that may have led to some underestimation in the beginning
403 period and overestimation in the ending period of the trajectory life. Nevertheless, the concept of
404 APRT could present an overall picture of potential emission control regions and compensate for
405 some deficiencies in the traditional emission control methods to a certain extent. It is promising
406 tool for application in the making of future prevention and control policies in the PRD region,
407 especially after the two abovementioned limitations are managed.

408 **Acknowledgement**

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410 meteorological data. This work was supported by the MOST grant 2018YFC0213903, NSFC
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544 **Tables and Figures**

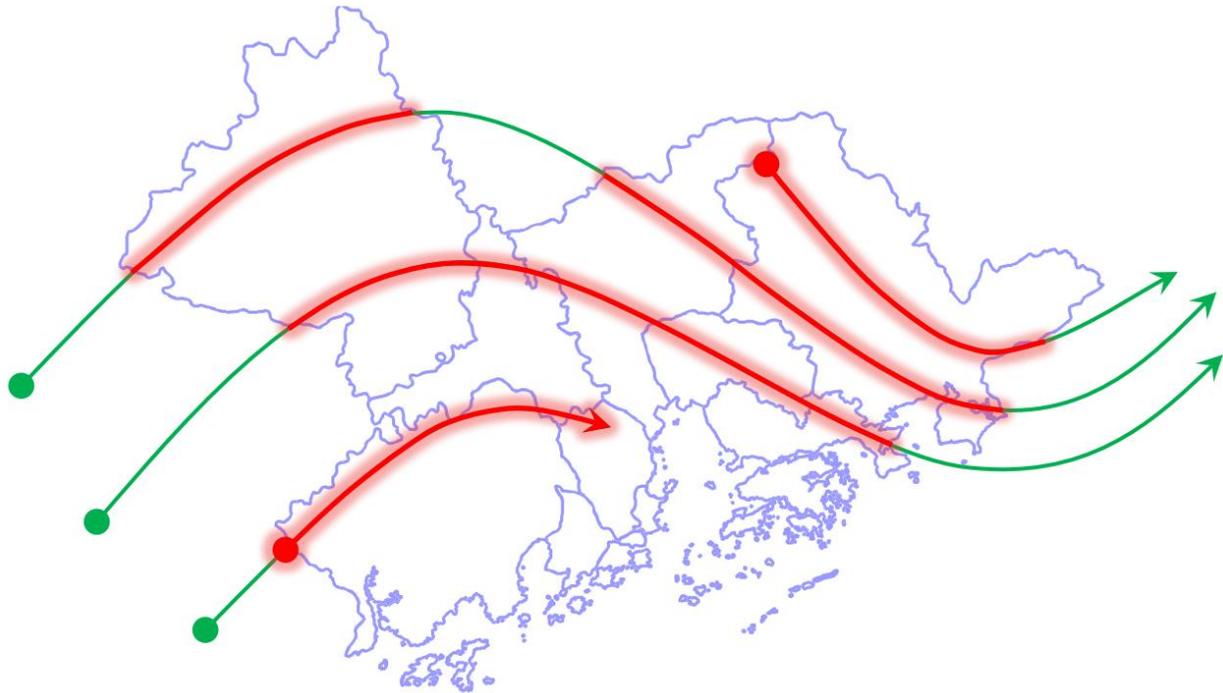
545 **Table 1** Seasonal maximum APRT, seasonal mean APRT, PM_{2.5} concentration, and O₃
 546 concentration in 2012, 2014, and 2015

Seasons	Variables	2012	2014	2015
Spring	APRT_MAX (h)	17.0	19.2	16.3
	APRT_AVG (h)	9.3	10.1	8.9
	PM _{2.5} (µg/m ³)	/	40.5	29.4
	O ₃ (µg/m ³)	/	70.4	71.7
Summer	APRT_MAX (h)	18.2	20.0	16.4
	APRT_AVG (h)	9.6	10.7	9.4
	PM _{2.5} (µg/m ³)	/	24.8	23.9
	O ₃ (µg/m ³)	/	84.0	76.6
Fall	APRT_MAX (h)	24.1	22.4	19.2
	APRT_AVG (h)	11.3	11.0	9.8
	PM _{2.5} (µg/m ³)	/	47.6	38.4
	O ₃ (µg/m ³)	/	103.3	85.6
Winter	APRT_MAX (h)	17.4	17.9	17.0
	APRT_AVG (h)	8.9	9.2	9.0
	PM _{2.5} (µg/m ³)	/	55	43.2
	O ₃ (µg/m ³)	/	66.0	55.2

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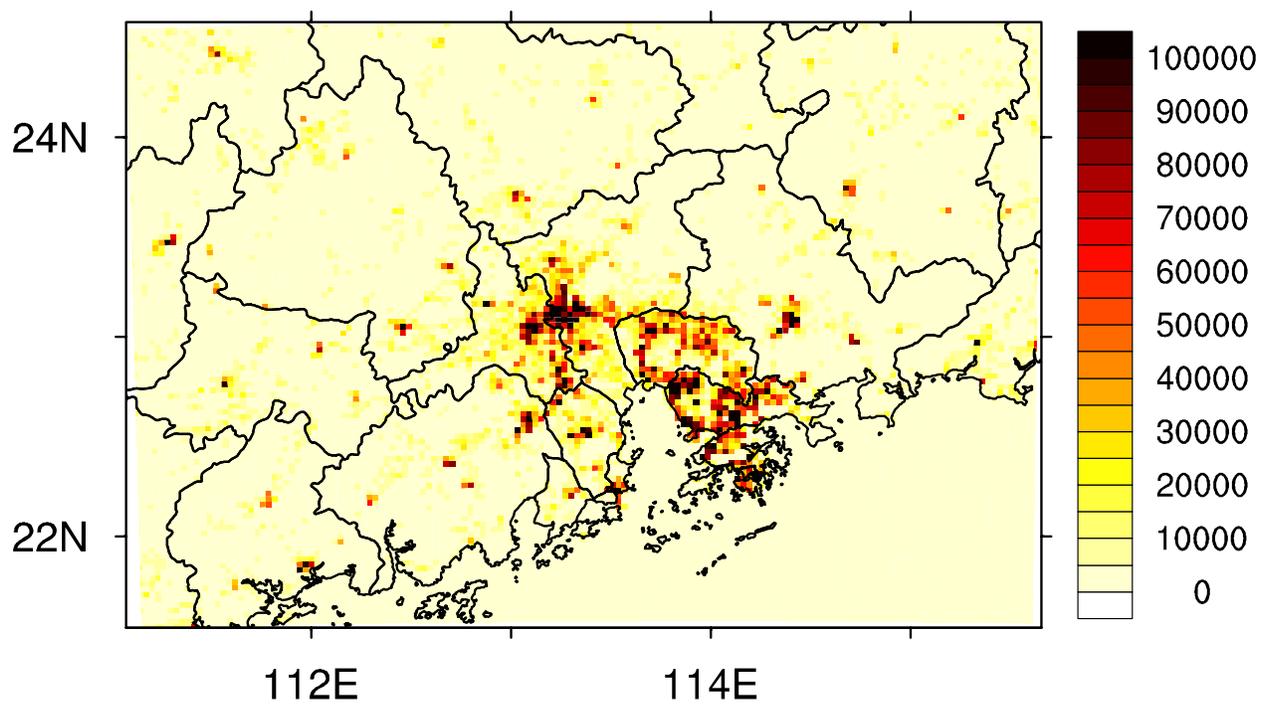


550

551 **Fig. 1** Conceptual map of the APRT calculation. Thick red curves indicate the trajectories in the
552 PRD region in which the APRT was calculated.

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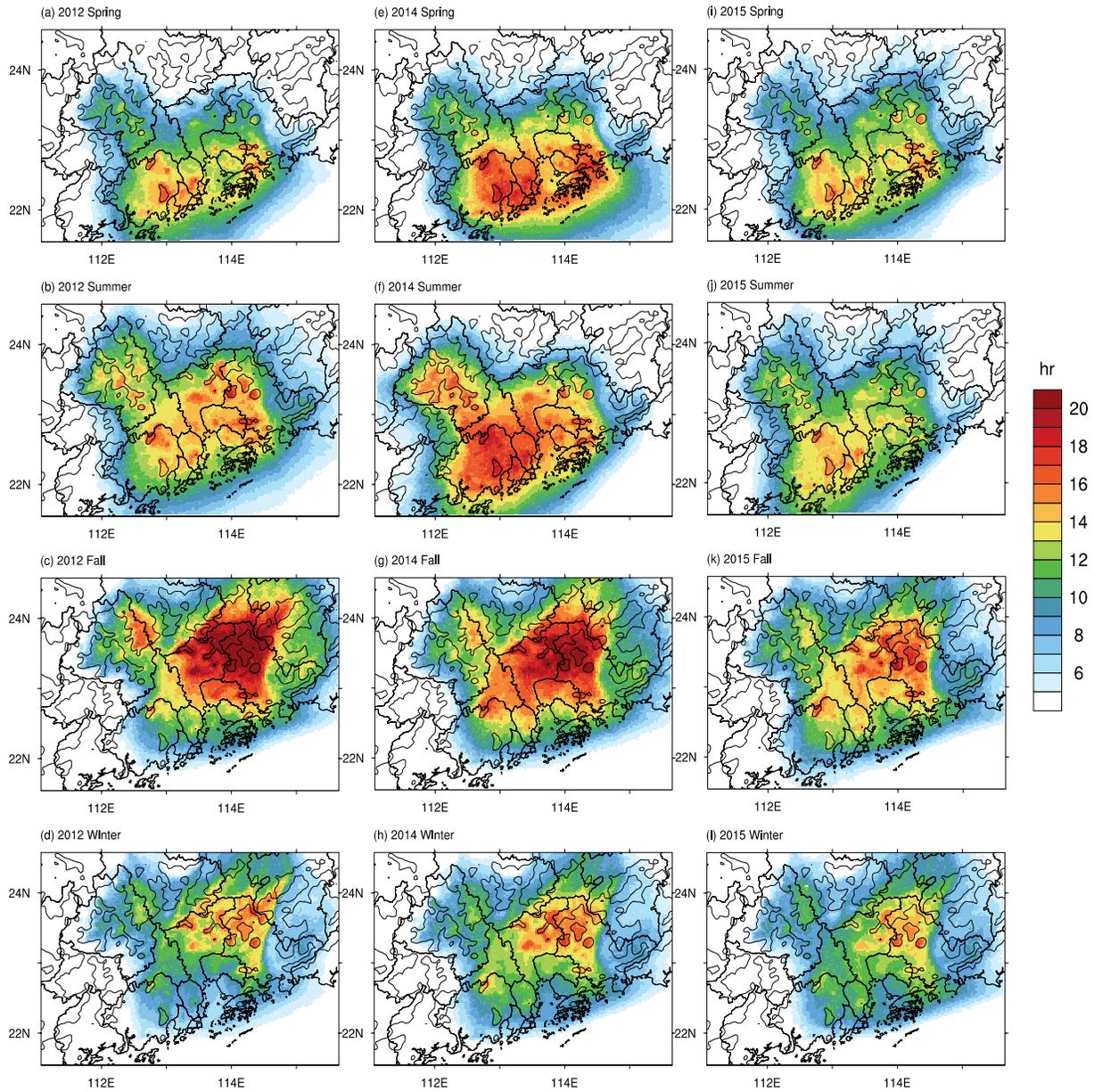
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555

556 **Fig. 2** Spatial distribution of the population density in 2014 at a resolution of $3 \times 3 \text{ km}^2$.

557



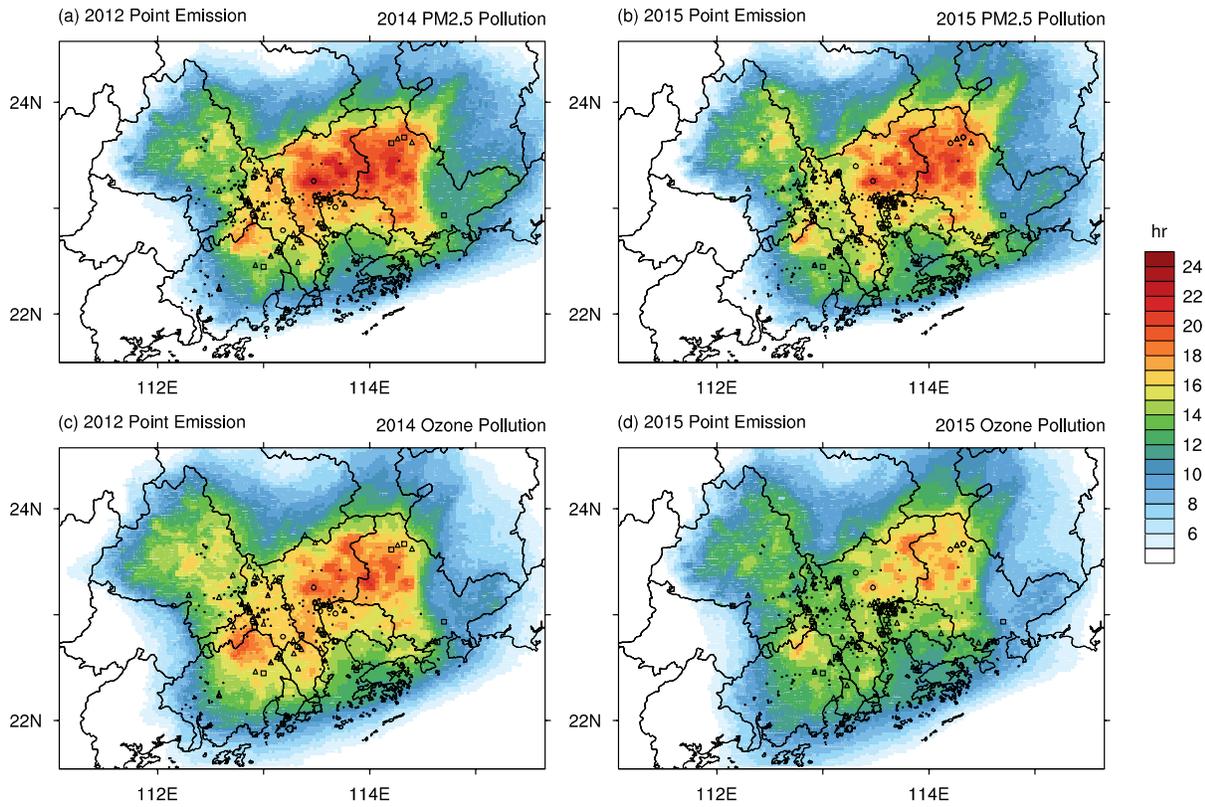
559

560 **Fig. 3** The shading indicates the seasonal cycle of the APRT, and the grey contours indicate the

561 topographic elevations of >200 m. (a)–(d) APRT from spring to winter in 2012; (e)–(h) APRT

562 from spring to winter in 2014; (i)–(l) APRT from spring to winter in 2015.

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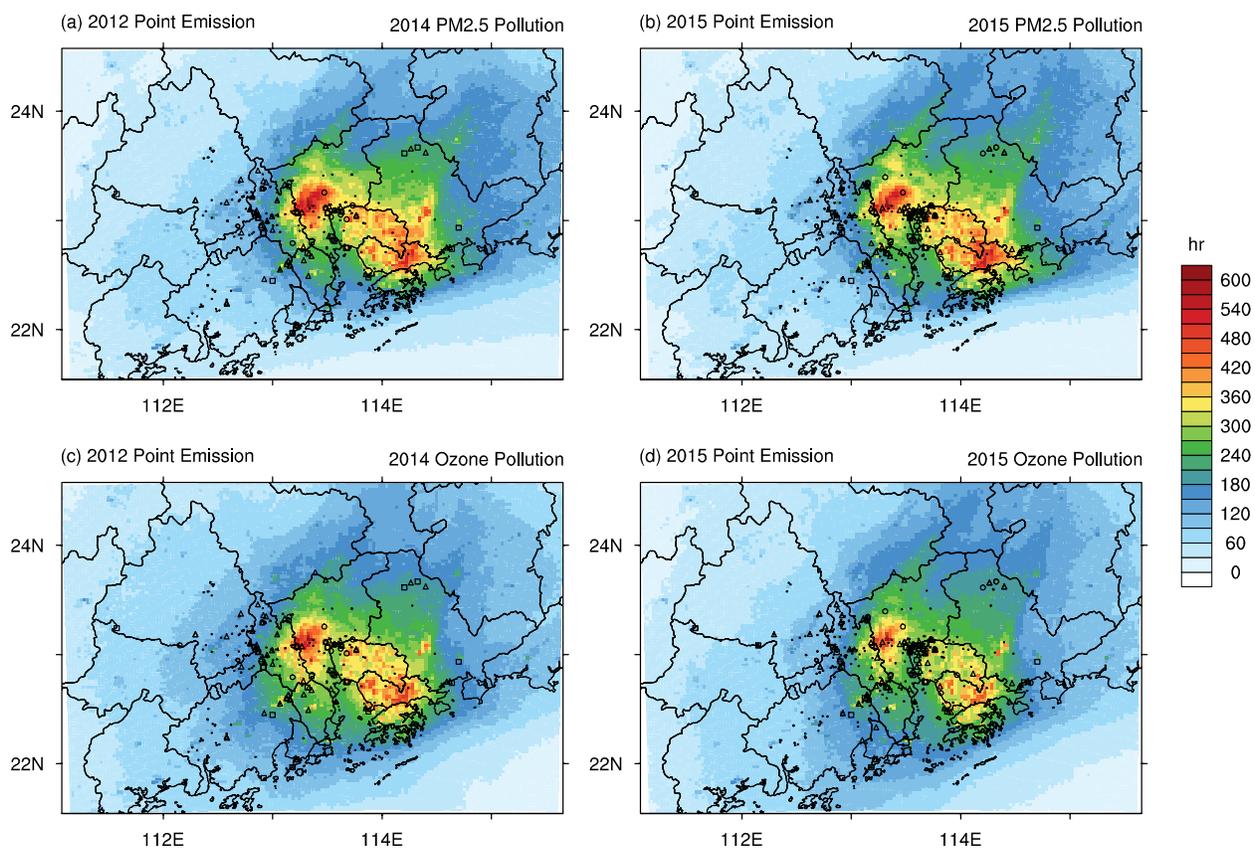


565

566 **Fig. 4** Spatial distribution of the APRT on regional air pollution days with point emission data in
 567 the PRD region. (a) APRT in 2014 on PM_{2.5} regional air pollution days with 2012 point emission
 568 distribution; (b) APRT in 2015 on PM_{2.5} regional air pollution days with 2015 point emission
 569 distribution; (c) APRT in 2014 on O₃ regional air pollution days with 2012 point emission
 570 distribution; (d) APRT in 2015 on O₃ regional air pollution days with 2015 point emission
 571 distribution. Square represents SO₂, NO_x, or PM_{2.5} emissions of >= 4000 short ton/year; circle
 572 represents emissions of >= 2000 short ton/year but <4000 short ton/year; triangle represents
 573 emissions >= 500 short ton/year but <2000 short ton/year; dot represents emissions >= 100
 574 short ton/year but <500 short ton/year.

575

576



577

578 **Fig. 5** Spatial distribution of PEAP in regional air pollution days with point emission data in the
579 PRD region.

580

Table 1 Seasonal maximum APRT, seasonal mean APRT, PM_{2.5} concentration, and O₃ concentration in 2012, 2014, and 2015

Seasons	Variables	2012	2014	2015
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Winter	APRT_MAX (h)	17.4	17.9	17.0
	APRT_AVG (h)	8.9	9.2	9.0
	PM _{2.5} (µg/m ³)	/	55	43.2
	O ₃ (µg/m ³)	/	66.0	55.2

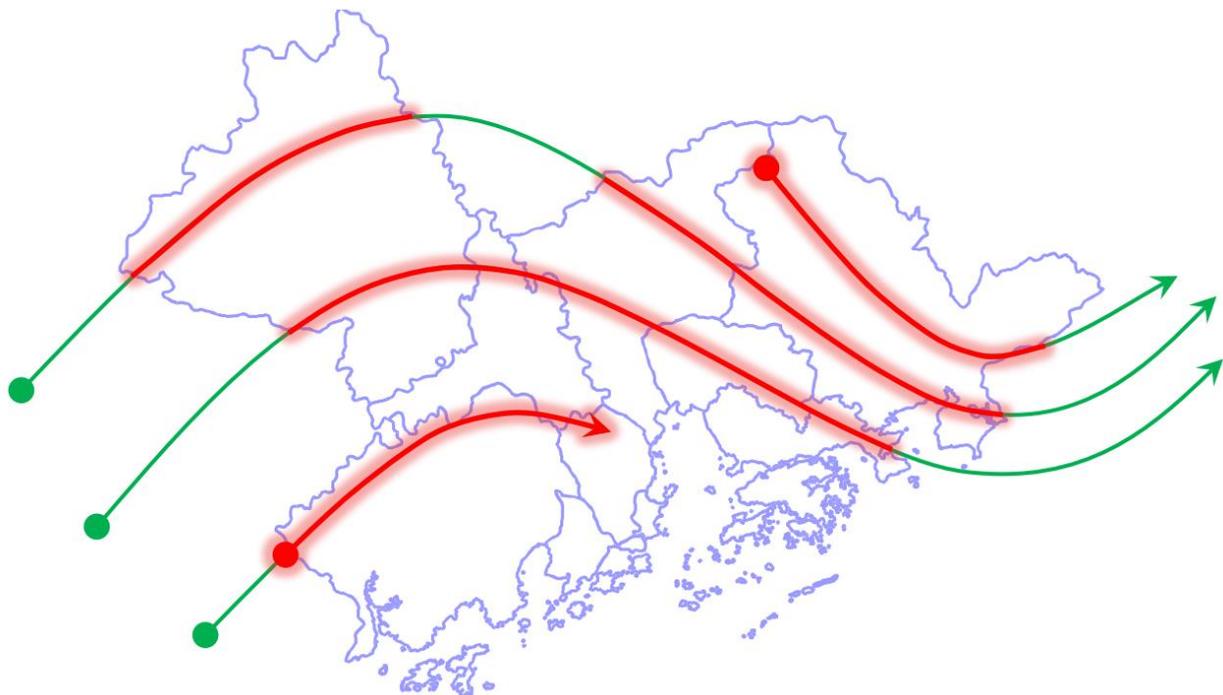


Fig. 1 Conceptual map of the APRT calculation. Thick red curves indicate the trajectories in the PRD region in which the APRT was calculated.

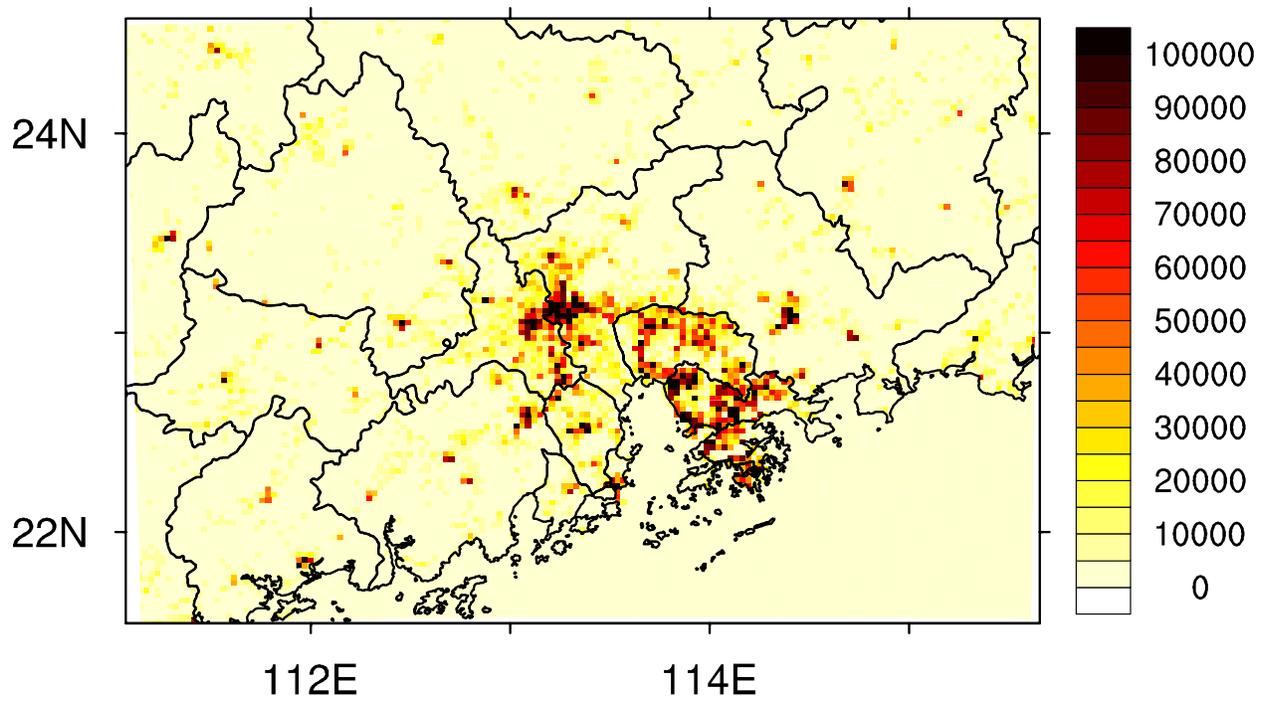


Fig. 2 Spatial distribution of the population density in 2014 at a resolution of $3 \times 3 \text{ km}^2$.

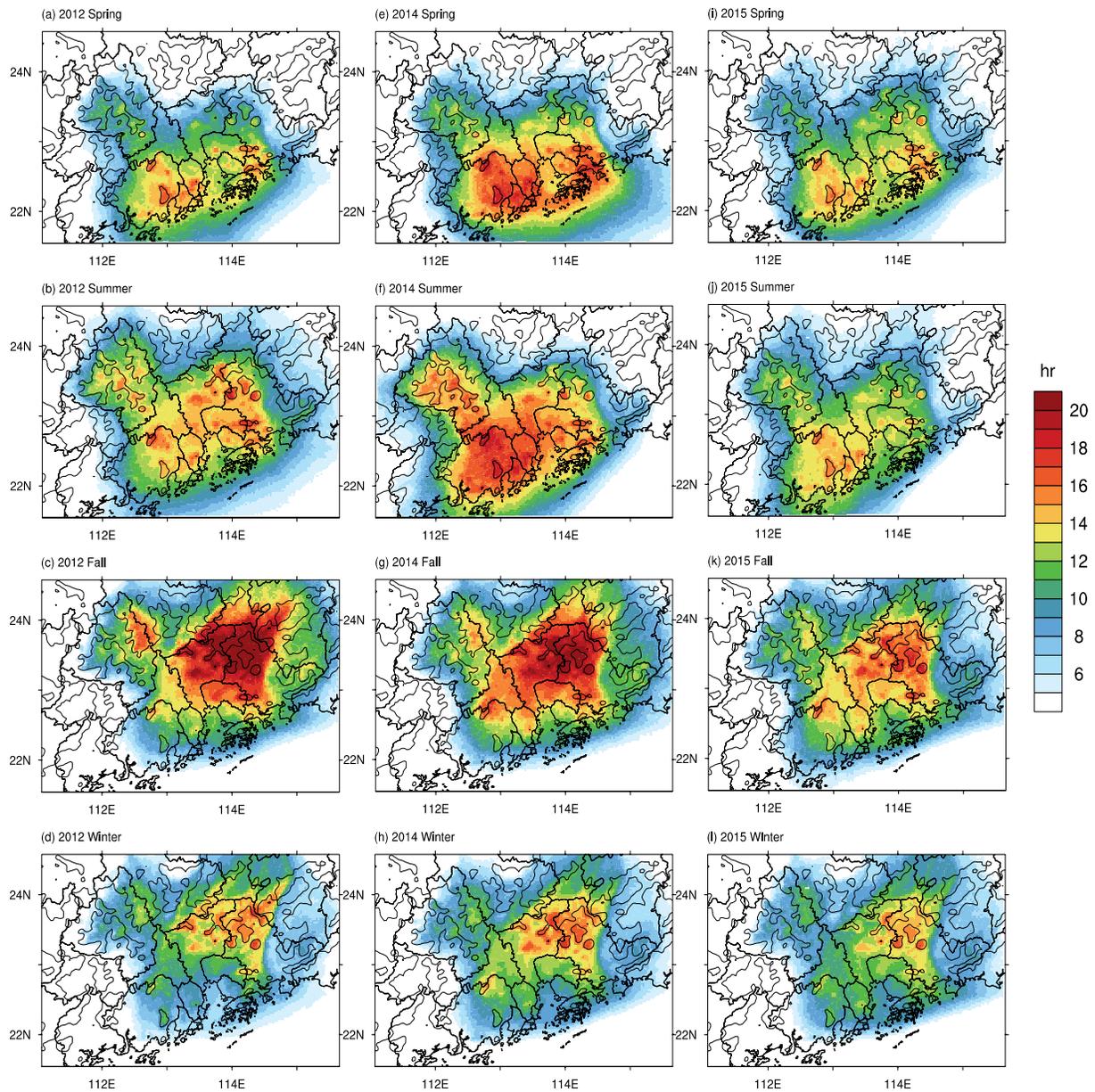


Fig. 3 The shading indicates the seasonal cycle of the APRT, and the grey contours indicate the topographic elevations of >200 m. (a)–(d) APRT from spring to winter in 2012; (e)–(h) APRT from spring to winter in 2014; (i)–(l) APRT from spring to winter in 2015.

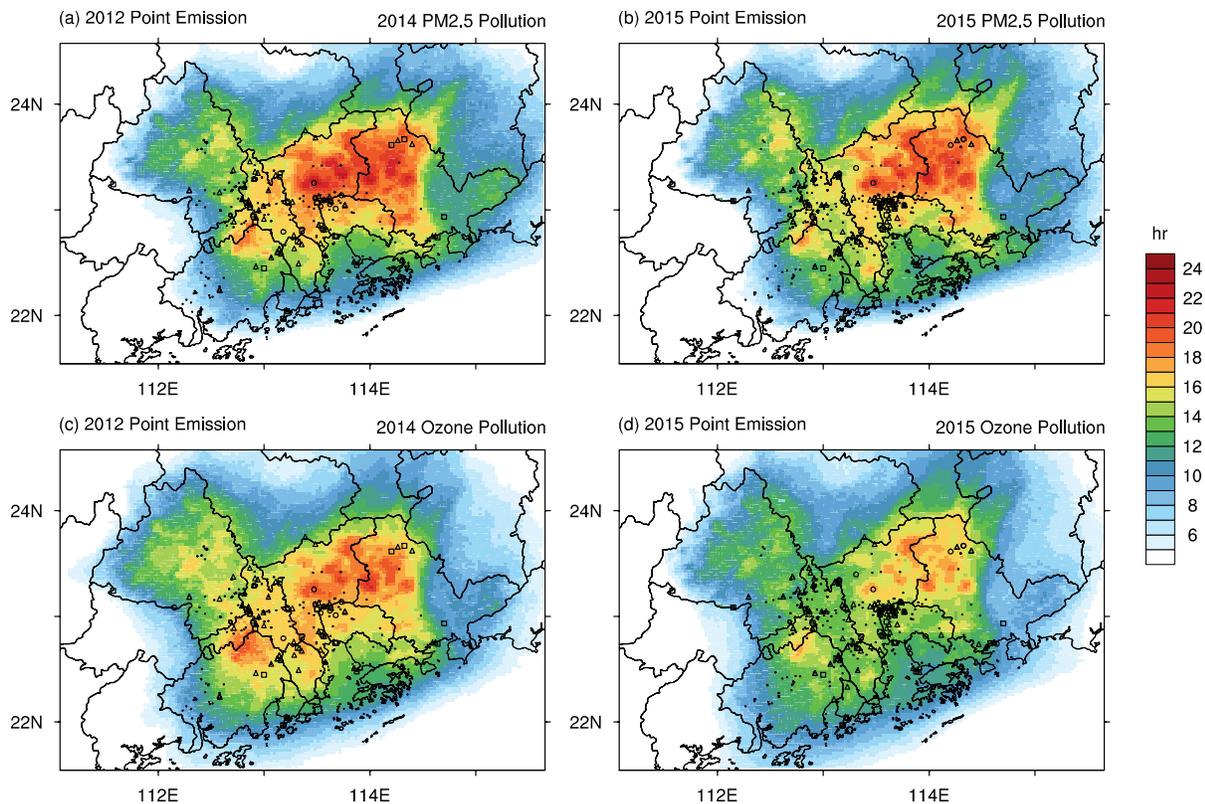


Fig. 4 Spatial distribution of the APRT on regional air pollution days with point emission data in the PRD region. (a) APRT in 2014 on $PM_{2.5}$ regional air pollution days with 2012 point emission distribution; (b) APRT in 2015 on $PM_{2.5}$ regional air pollution days with 2015 point emission distribution; (c) APRT in 2014 on O_3 regional air pollution days with 2012 point emission distribution; (d) APRT in 2015 on O_3 regional air pollution days with 2015 point emission distribution. Square represents SO_2 , NO_x , or $PM_{2.5}$ emissions of ≥ 4000 short ton/year; circle represents emissions of ≥ 2000 short ton/year but <4000 short ton/year; triangle represents emissions ≥ 500 short ton/year but <2000 short ton/year; dot represents emissions ≥ 100 short ton/year but <500 short ton/year.

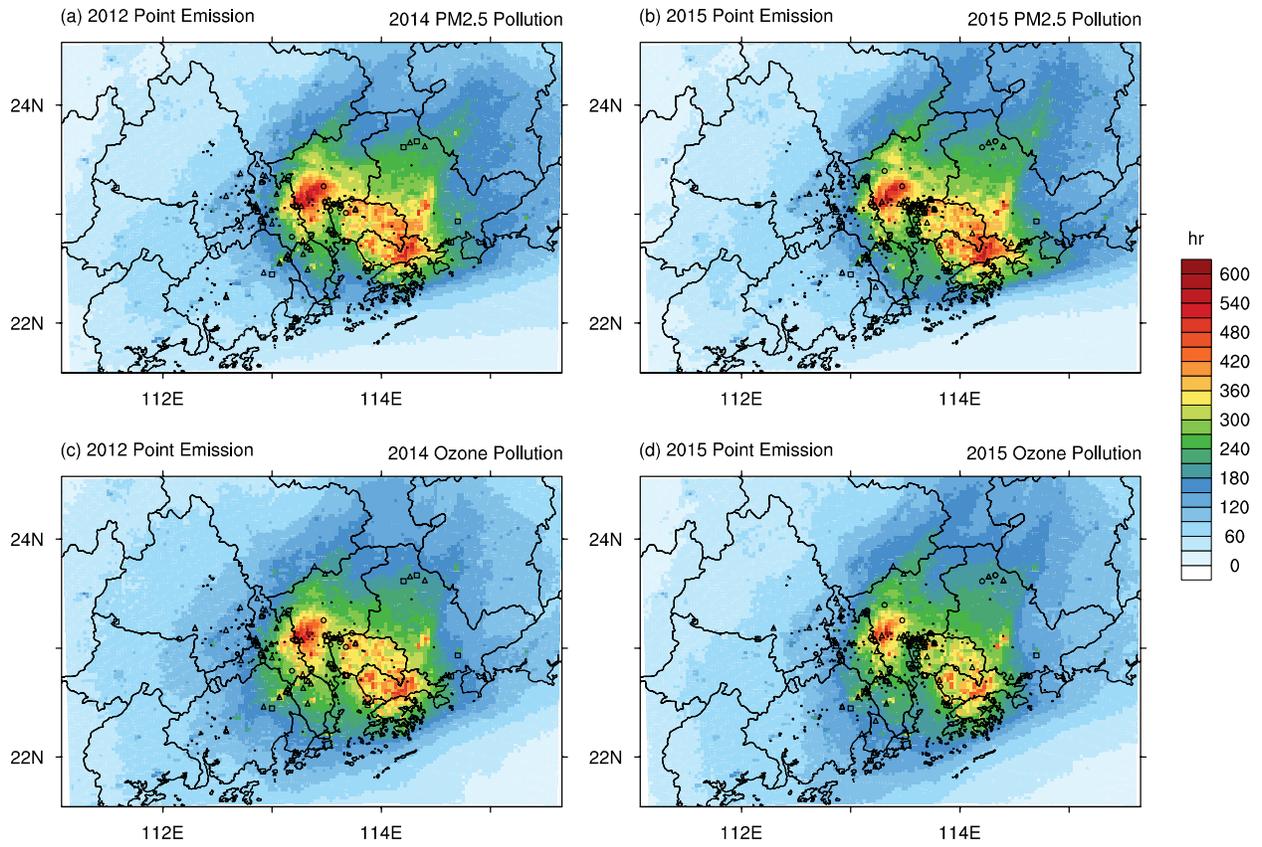


Fig. 5 Spatial distribution of PEAP in regional air pollution days with point emission data in the PRD region.