# Extending ozone and particulate matter pollution control from New York City to Beijing

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#### 25 ABSTRACT

26 Strict emission control policies implemented in two megacities of New York City (NYC) and Beijing 27 show impacts on the co-occurrence of their ozone  $(O_3)$  and fine particulate matter  $(PM_{2.5})$  extremes. 28 Here we show the increased  $O_3$ -PM<sub>2.5</sub> slope caused by the emission-related aerosol chemical 29 composition variation in these two megacities based on the multiyear measurements, and the O<sub>3</sub>/PM<sub>2.5</sub> co-occurrence is inclining to O<sub>3</sub> pollutions. In contrast to NYC, the O<sub>3</sub>-PM<sub>2.5</sub> relationship in Beijing 30 31 showed an inflection point under high PM<sub>2.5</sub> reflecting the O<sub>3</sub> formation suppression, which partially 32 mitigated the current O<sub>3</sub> concentration at PM<sub>2.5</sub> extremes level compared to non-suppression and also contributed recent O<sub>3</sub> maximum enhancement in Beijing. Model simulations imply the regional equal 33 percentage emission reductions for further pollution control to avoid any worsened O<sub>3</sub> pollution with the 34 35 decreasing PM<sub>2.5</sub> extreme value and different reduction control policies are proposed for numerous Chinese main megacity clusters. 36

#### 37 INTRODUCTION

Co-occurrence of enhanced ozone ( $O_3$ ) and fine particulate matter ( $PM_{2.5}$ , particles with aerodynamic diameters less than 2.5 µm) concentrations adversely affects human health<sup>1-3</sup>, and has been a wide concern in densely populated megacities <sup>4-6</sup>. This co-occurrence frequently happens during summer (June-August), which is due in part to stagnant meteorological conditions accompanied with high solar radiation and temperature under which high concentrations of nitrogen oxides ( $NO_x$ ) and volatile organic compounds (VOCs) enhance  $PM_{2.5}$  and  $O_3$  formation<sup>1,4</sup>.

44 Previous studies have demonstrated a positive relationship between the maximum daily 8-h average

45 (MDA8) O<sub>3</sub> and the daily 24-h average (DA24) PM<sub>2.5</sub> concentrations in polluted regions during

46 summertime<sup>5,6</sup>. An inflection point (IFP) in the relationship of MDA8 O<sub>3</sub> versus DA24 PM<sub>2.5</sub> was

observed at about 50~60  $\mu$ g m<sup>-3</sup> of DA24 PM<sub>2.5</sub> for Chinese megacity-clusters<sup>6</sup>. The MDA8 O<sub>3</sub> was linearly and positively correlated with DA24 PM<sub>2.5</sub> before the IFP while it remained relative stable despite the increasing PM<sub>2.5</sub> above the IFP. Such changes were mainly attributed to the scavenging of hydroperoxyl (HO<sub>2</sub>) and/or nitrate radicals (NO<sub>3</sub>) by high concentrations of PM<sub>2.5</sub> that inhibited the photochemical production of O<sub>3</sub><sup>7-9</sup>.

52 New York City (NYC) and Beijing (BJ) are two megacities that have been extensively studied during the last two decades<sup>10-12</sup>. Strict emission control policies have been implemented in NYC back to 1970s 53 with amendments in 1990 (https://www.epa.gov/clean-air-act-overview) and Beijing from 2013<sup>13</sup>, 54 leading to substantial decreases in PM<sub>2.5</sub>. Compared to PM<sub>2.5</sub>, the reduction in the O<sub>3</sub> maximum values 55 were weaker in NYC<sup>14</sup> while with an enhancement in BJ<sup>15-18</sup>. This discrepancy between PM<sub>2.5</sub> and O<sub>3</sub> 56 57 following the emission controls at these two locations requires further investigation. To explore these, we analyzed the relationship of MDA8 O<sub>3</sub> and DA24 PM<sub>2.5</sub> by using 19 years' measurements in NYC 58 59 and 6 years' measurements in BJ and the related aerosol chemical composition measurements, compared the O<sub>3</sub>-PM<sub>2.4</sub> relationship with focusing on O<sub>3</sub>-PM<sub>2.5</sub> slope and their extremes concentrations (the 60 averaged top 5% value) among their co-occurrence between NYC versus BJ with relating to the aerosol 61 compositions variation, and explored the reasons of the current O<sub>3</sub> maximum enhancement in Beijing 62 based on the IFP analysis. By comparing the successful experience in synergetic control of PM<sub>2.5</sub> and O<sub>3</sub> 63 in NYC with that in BJ, we elucidate the potential reasons for the increased O<sub>3</sub>-PM<sub>2.5</sub> slope, and 64 65 highlight the future scientific strategy for controlling PM<sub>2.5</sub> and O<sub>3</sub> together in BJ and other Chinese megacity-clusters. 66

#### 67 **RESULTS**

#### 68 Dependence of the NYC O<sub>3</sub>–PM<sub>2.5</sub> relationship on aerosol composition.

Based on the variability in DA24 PM<sub>2.5</sub> concentration, the 2001-2019 summertime period was separated 69 into 4 subperiods (Fig. 1a, SP<sub>NY</sub>1: 2001-2003, SP<sub>NY</sub>2: 2004-2008; SP<sub>NY</sub>3: 2009-2013, SP<sub>NY</sub>4: 2014-70 2019. Fig. 1a, see Data availability for the data sources). The relationship between MDA8 O<sub>3</sub> and 71 72 DA24 PM<sub>2.5</sub> for each subperiod was developed (Fig. 1b) following the approach in Li et al. (6) and 73 Buysse et al. (9) As shown in Fig. 1b, the slope of MDA8 O<sub>3</sub> and DA24 PM<sub>2.5</sub> (hereafter O<sub>3</sub>-PM<sub>2.5</sub> slope) increased from around 1.1 during  $SP_{NY}1$  and  $SP_{NY}2$  to approximately 1.7 during SP4. The MDA8 O<sub>3</sub> 74 and DA24 PM<sub>2.5</sub> extreme concentrations decreased from 2001 to 2019 at a rate of 1.1 ppb yr<sup>-1</sup> and 1.9 µg 75 m<sup>-3</sup> yr<sup>-1</sup>, respectively (Fig. S1a), corresponding to a total reduction of 22% and 62% for O<sub>3</sub> and PM<sub>2.5</sub>, 76 77 and tracked the reductions in SO<sub>2</sub>, VOCs, NO<sub>x</sub>, and PM<sub>2.5</sub> emissions (Fig. S1b). The positive relationship of MDA8  $O_3$  and DA24 PM<sub>2.5</sub> is due in part to their common precursors, e.g., NOx and 78 79 VOCs. Oxidation of  $O_3$  precursors of  $NO_2$  and VOCs also form nitrate and secondary organic aerosol (SOA) that are the dominant species in  $PM_{2.5}$ . However, the changes of other aerosol species in  $PM_{2.5}$ 80 81 including sulfate from oxidation of SO<sub>2</sub>, ammonium that exists in ammonium sulfate, and primary organic aerosol (hereafter SAP), could affect the slope of  $O_3$  versus  $PM_{2.5}$ . Thus, the  $PM_{2.5}$  chemical 82 compounds were divided into two groups, (1) the non-SAP compounds (e.g., SOA, nitrate and nitrate-83 84 related-ammonium) which contribute to the positive relationship of  $O_3$  and  $PM_{2.5}$ , and (2) the SAP 85 compounds, which could influence the  $O_3$ -PM<sub>2.5</sub> slope. A steeper slope of  $O_3$  and PM<sub>2.5</sub> is expected as the SAP mass fraction in PM<sub>2.5</sub> decreases because more nitrate and SOA in PM<sub>2.5</sub> as a result of higher 86 NOx and VOCs that are capable of producing more ozone. 87

To investigate this hypothesis, the measured historical PM<sub>1</sub> chemical composition in the NYC
metropolitan area (Method 1) was used to track the changes in mass fractions of aerosol species. The

mass fractions of SAP decreased from 51% in 2001 to 29% in 2018 (Fig. 1c), consistent with the increased  $O_3$ -PM<sub>2.5</sub> slope (Fig. 1b). To test the effect of this change, PM<sub>2.5</sub> mass concentrations for earlier periods were adjusted downward to reflect the effective mass concentration that would have resulted if SAP of each period had equaled SAP<sub>ref</sub>. Using the SP<sub>NY</sub>4 SAP mass fraction as a reference, the aerosol mass concentration for each subperiod was adjusted using its SAP mass fraction, based on equation (1):

96 
$$M_a = M_0 \times (1 - SAP_{sp})/(1 - SAP_{ref})$$
(1)

97 where  $M_0$  is the averaged aerosol mass concentration in each subperiod,  $M_a$  is the adjusted mass 98 concentration, SAP<sub>sp</sub> is the averaged SAP mass fraction of each subperiod (SP<sub>NY</sub>1, SP<sub>NY</sub>2, or SP<sub>NY</sub>3), 99 and SAP<sub>ref</sub> is the averaged SAP mass fraction of SP<sub>NY</sub>4. By excluding the influence of variability in SAP 100 mass fraction, the differences of O<sub>3</sub>-PM<sub>2.5</sub> slopes among the four subperiods were much smaller (Fig. 1d 101 vs. 1b). This clearly demonstrates a fact that the reduced SAP mass fraction contributed to the increased 102 O<sub>3</sub>-PM<sub>2.5</sub> slope in NYC during the last two decades. Meanwhile, the similarity of the O<sub>3</sub>-PM<sub>2.5</sub> slopes with excluded SAP fractions matched the near constant VOC/NOx emission ratio of NYC and 103 104 surrounding regions (NY state as example, 1.1~1.2) in the past years as shown in following section by model simulation. While NYC experienced a reduction in MDA8 O<sub>3</sub> and DA24 PM<sub>2.5</sub> extreme value 105 over the study period, the emissions reductions resulted relative fraction of  $NO_x + VOC$  emissions from 106 69% in 2001 to 85% in 2017 with more efficient ozone production per ton of total precursor emissions. 107 The relative emission fractions dominate the O<sub>3</sub>-PM<sub>2.5</sub> slopes (with higher VOC+NOx emission fraction 108 producing a higher slope), and the overall emissions intensity controls their extremes value. The 109 110 increased O<sub>3</sub>-PM<sub>2.5</sub> slope highlighted the O<sub>3</sub>/PM<sub>2.5</sub> co-occurrence is inclining to O<sub>3</sub> pollutions, and more actions for NOx and VOCs emission controls are needed for NYC regions. However, it was notable that 111

the 22% of the MDA8 O<sub>3</sub> extreme concentration was lower than the reduction of VOC emission (65%
reduction) or NOx emission (66% reduction), reflecting the non-linear response of O<sub>3</sub> reduction to its
precursors.

#### 115 Increased O<sub>3</sub>–PM<sub>2.5</sub> relationship in BJ.

116 Air quality in Beijing has been significantly improved since the clean air action in 2013, with strict 117 emission controls mainly for SO<sub>2</sub> and primary particle emissions in the Beijing-Tianjin-Hebei (BTH) region (Fig. 2a)<sup>19-20</sup>. These emission control policies may also result in a summertime increased O<sub>3</sub>-118 119 PM<sub>2.5</sub> slope as observed in NYC. To verify this, we separated the time period for BJ with existing 120 MDA8 O<sub>3</sub> and DA24 PM<sub>2.5</sub> data (2014-2019) into two subperiods based on the PM<sub>2.5</sub> concentration -121 subperiod 1 (hereafter SP1, 2014-2016) and subperiod 2 (hereafter SP2, 2017-2019) (Fig. 2b). The DA24 PM<sub>2.5</sub> mass extreme concentration decreased from about 159  $\mu$ g m<sup>-3</sup> (SP1) to 90  $\mu$ g m<sup>-3</sup> (SP2) 122 (Fig. 3), while its co-occurred O<sub>3</sub> extreme concentration was elevated about 3 ppb (94 ppb vs. 97 ppb), 123 124 which is quite different from NYC with a 22% reduction or 20 ppb. Proposes explanations include i) the increase in the global O<sub>3</sub> background concentration<sup>21,22</sup>, ii) meteorological variability<sup>15,17,23</sup>, iii) 125 anthropogenic emissions variation based on complex model simulations<sup>16-19,24</sup>, iv) higher HO<sub>2</sub> 126 concentrations due to the reduction of particle concentration<sup>7</sup>, and v) the reduction of nitrogen oxides 127 (NOx) emissions $^{25}$ . 128

For the O<sub>3</sub>-PM<sub>2.5</sub> relationship during SP1 (or SP2), in contrast to NYC, there was a lower O<sub>3</sub>-PM<sub>2.5</sub> slope
under high PM<sub>2.5</sub> concentration. By using the Community Multiscale Air Quality (CMAQ) model
simulations with different scenarios, the enhanced uptake of NO<sub>x</sub> and HO<sub>2</sub> by high concentrations of
PM<sub>2.5</sub> (Method 2) is hypothesized to be the major cause (Fig. 2c). As the PM<sub>2.5</sub> concentration gets
higher, greater loss of O<sub>3</sub> precursors (NO<sub>x</sub> and HO<sub>2</sub>) on aerosol surface slows down O<sub>3</sub> production and

reduce the  $O_3$ -PM<sub>2.5</sub> slope. Following the approach in Li et al.<sup>6</sup>, an inflection point (IFP; PM<sub>2.5</sub> ~ 50 µg m<sup>-3</sup>) was identified to separate the  $O_3$ -PM<sub>2.5</sub> relationship into two parts, with a linear and positive slope before the IFP (named "clean region"; Fig. 3) and relatively slower ozone increasing followed PM<sub>2.5</sub> enhancement after the IFP (named "polluted region"). Clearly, the  $O_3$ /PM<sub>2.5</sub> co-occurrence of NYC located in the clean regions, with a simple linear  $O_3$ -PM<sub>2.5</sub> relationship.

Comparing the O<sub>3</sub>-PM<sub>2.5</sub> relationship of Beijing between SP1 vs. SP2, consistent with the NYC pattern, 139 the O<sub>3</sub>-PM<sub>2.5</sub> slope before the IFP increased from SP1 to SP2, and the O<sub>3</sub>-PM<sub>2.5</sub> slopes in SP1 and SP2 140 141 were similar after adjusting for the variability of SAP mass fraction (Fig. 2d, Fig. S2 and Method 1 for 142 the aerosol composition mass fraction). The enhanced (VOCs+NOx) emission fraction due to the control policies of SP2 are the dominant reason for the increased O<sub>3</sub>-PM<sub>2.5</sub> slopes, in spite of the increase in 143 temperature from SP1 to SP2 (Fig. S3)<sup>15,26</sup>. Meanwhile, the near-constant VOC/NOx emission ratio of 144 BJ (about 1.0, Fig. 2a) in the six years also promotes the similarity of the modified O<sub>3</sub>-PM<sub>2.5</sub> slopes as 145 146 shown in following section by model simulation. Considering BJ extreme air pollution episodes being influenced by regional transport from BTH<sup>27</sup>, the increased O<sub>3</sub>-PM<sub>2.5</sub> slope in BJ represents a regional 147 phenomenon in the BTH region (Fig. 2e) and reflects variability in the current regional emission 148 149 abatements with greater SO<sub>2</sub> and PM<sub>2.5</sub> reduction.



same PM<sub>2.5</sub> level in SP2 comparing to SP1 after IFP. However, the O<sub>3</sub> suppression by the high PM<sub>2.5</sub>

mass concentration shallowed the  $O_3$ -PM<sub>2.5</sub> slope after SPF, which partly mitigated the current potential

153  $O_3$  increase at the SP2 PM<sub>2.5</sub> extremes level (90  $\mu$ g m<sup>-3</sup>). Based on the O<sub>3</sub>-PM<sub>2.5</sub> relationship before IFP

of SP2, the O<sub>3</sub> concentration at 90  $\mu$ g m<sup>-3</sup> was estimated to be as high as 146 ppb, which roughly implied

a 34% benefit from the  $O_3$  suppression under this  $PM_{2.5}$  level considering the current  $O_3$  extreme of 97

ppb. It is expected that if the VOC and NOx emissions of BTH keep constant in further, lower PM<sub>2.5</sub> 156 157 extremes level caused by the SO<sub>2</sub>/or primary PM<sub>2.5</sub> reduction would decline the O<sub>3</sub> production suppression and result more severe  $O_3$  extremes. This kind of  $O_3$  enhancement will also happen if the 158 VOC and NOx emission reduction is not enough to make up the  $O_3$  enhancement due to the declined  $O_3$ 159 production suppression, which matched the enhanced  $O_3$  concentration (3 ppb) under the PM<sub>2.5</sub> extreme 160 value dropped from higher one of SP1 (159 µg m<sup>-3</sup>) to lower one of SP2 (90 µg m<sup>-3</sup>) with the slightly 161 change of VOC and NOx emission from SP1 to SP2 (Fig. 2a). Moreover, Fig. 3 demonstrates that if 162 SAP concentrations decrease to near zero in BJ as a limiting case, O<sub>3</sub> concentrations (purple arrow) and 163 the O<sub>3</sub>-PM<sub>2.5</sub> slope (blue shaded region) will likely increase, as shown in the CMAQ simulation with 164 165 only SO<sub>2</sub> emission abatement (Fig. 2c).

#### 166 Regional equal percentage emission reductions.

To avoid further worsened  $O_3$  pollution with the decreasing  $PM_{2.5}$  extremes, regional equal percentage 167 emission reductions in VOCs, NO<sub>x</sub>, SO<sub>2</sub> and PM<sub>2.5</sub> is suggested by the CMAQ simulations (Fig. 2f and 168 Fig. S4) as an achievable way. However, the effect of such emission policies on ozone extremes will not 169 be significant until PM<sub>2.5</sub> below to the IFP and Beijing stepping into the "clean region" where current 170 NYC locates. Under regional equal percentage emission reductions, the  $O_3$ -PM<sub>2.5</sub> relationship of Beijing 171 172 before IFP would keep constant (Fig. 2f). Furthermore, the required BTH regional emission reductions based on the 2019 annual emissions were about 29%, 42%, 53% and 70% (Method 3), respectively, in 173 order to reach the BJ PM<sub>2.5</sub> concentration equal to the IFP (50  $\mu$ g m<sup>-3</sup>, hereafter "Goal 1"), the 2001 174 NYC top5% DA24 PM<sub>2.5</sub> concentration level (39 µg m<sup>-3</sup>, hereafter "Goal 2"), the MDA8 O<sub>3</sub> 175 concentration below China's O3 standards (75 ppb, which was related to DA24 PM2.5 about 29 µg m<sup>-3</sup> 176

for Beijing, hereafter "Goal 3") and the 2019 NYC DA24 PM<sub>2.5</sub> concentration extreme concentration (15 μg m<sup>-3</sup>, hereafter "Goal 4") (Fig. 3).

The emission related  $O_3$ -PM<sub>2.5</sub> slope variations were also observed in other megacity clusters, such as 179 Yangtze River Delta (YRD) and Pearl River Delta (PRD) (Fig. 4 and S5). The O<sub>3</sub>-PM<sub>2.5</sub> slope of YRD 180 181 increased in recent years (Fig. 4a), consistent with the larger reductions in  $SO_2$  and primary  $PM_{2.5}$  than 182 non-SAP species (Fig. 4b). For example, to reach the above four goals in Shanghai, the emissions in YRD need to be reduced by 13%, 28%, 32% and 59%, respectively on the basis of 2019 annual 183 184 emissions (Method 3). Comparatively, the O<sub>3</sub>-PM<sub>2.5</sub> slope of PRD was only slightly increased (Fig. 4c), 185 due to the small changes in emission ratios of SO<sub>2</sub> and PM<sub>2.5</sub> (Fig. 4d). Meanwhile, the maximum DA24 186 PM<sub>2.5</sub> concentration of PRD in SP2 was below IFP, and further emission reductions would cause 187 effective reductions in the co-occurrence of O3 and PM2.5. The DA24 PM2.5 extreme concentration in Guangzhou (the representative of PRD) in 2019 was 36.2 µg m<sup>-3</sup>, which was already below IFP and 188 2001 NYC top5% DA24 PM<sub>2.5</sub> concentration level. However, additional regional and synchronous 189 emission reductions in PRD by 14% and 69% (Method 3), respectively are needed to meet the other two 190 191 goals ("Goal 3 and 4").

#### 192 **DISCUSSION**

Under current emission policies for NYC and BJ with larger reductions in the emissions of SO<sub>2</sub> and PM<sub>2.5</sub> than those of VOCs and NO<sub>x</sub>, we obtained an increased O<sub>3</sub>-PM<sub>2.5</sub> slope ("worsened O<sub>3</sub> at same PM<sub>2.5</sub> level") and expected the continually inclining of the O<sub>3</sub>/PM<sub>2.5</sub> co-occurrence to O<sub>3</sub> pollutions in the further if the same policies lasting. However, Beijing will suffer more complicated conditions than NYC, as the O<sub>3</sub> production suppression under the high PM<sub>2.5</sub> level which shallowed the O<sub>3</sub>-PM<sub>2.5</sub> slope after the IFP. This ozone formation suppression in Beijing partly mitigated the current O<sub>3</sub> enhancement at the SP2 PM<sub>2.5</sub> extremes level comparing to the originally O<sub>3</sub> concentration without any suppression. If
the VOCs and NOx emissions in BTH did not decrease enough to make up the enhanced O<sub>3</sub> from the
declined O<sub>3</sub> production suppression under the decreased PM<sub>2.5</sub> concentration, more worsen O<sub>3</sub> extremes
pollutions may occur. To avoid further worsened O<sub>3</sub> pollution at the same PM<sub>2.5</sub> level, we suggest
reducing PM<sub>2.5</sub> to the IFP and below following an average regional equal percentage emission reduction
of 29% in BTH, with other different emission controls are needed for other regions.

205 It is notable that this study simply assumed different linear relationships before and after IFP to 206 predigest the O<sub>3</sub>-PM<sub>2.5</sub> relationship, while there may be more complex non-linear relationships (Fig. S6 as the example), and IFP could represent one approximate and its location could be related with NOx 207 208 and HO<sub>2</sub> uptake parameters, such as aerosol surface, uptake efficiencies, etc. Notably, the observations 209 at YRD and PRD showed a negative correlation between  $O_3$  and  $PM_{2.5}$  at  $PM_{2.5}$  > about 70 µg m<sup>-3</sup> (Fig. 4a and 4c), matching the enhanced O<sub>3</sub> suppression and implying the complex non-linear O<sub>3</sub>-PM<sub>2.5</sub> 210 211 relationship. Though further studies are warranted to verify the non-linear relationships, this study provided a straightforward prospective to understanding the co-occurrences of  $O_3$  and  $PM_{2.5}$  in densely 212 populated megacities during the summer heat days and their responds to the emission policies. We used 213 NYC and Beijing as the examples for the comparison as they represent different emission stages with 214 NYC (current at "clean region", Fig. 3) more likely being the further of Beijing (current at "polluted 215 region", Fig. 3). As the heat days occurring more often, the emission policy related O<sub>3</sub>/PM<sub>2.5</sub> co-216 217 occurrences worth to be studied in a worldwide, with focusing on their further variation trends ( $O_3$ -PM<sub>2.5</sub> slope and extreme concentrations) and the interplay between O<sub>3</sub> and PM<sub>2.5</sub> in order to avoid the ozone 218 worsen under emission controls, especially for the cities with PM<sub>2.5</sub> extreme concentrations above the 219 220 inflection point.

#### 221 METHODS

#### 222 M1. Aerosol chemical composition measurements.

The Aerodyne Aerosol Mass Spectrometer (AMS) was deployed during the field campaign to obtain the 223 chemical composition of non-refractory particulate matter smaller than 1 µm in diameter (NR-PM<sub>1</sub>). In 224 this study, we assumed that the aerosol composition of  $PM_1$  is similar to  $PM_{2.5}$  based on (1) the current 225 application of AMS for PM<sub>1</sub> and PM<sub>2.5</sub> chemical composition measurements in Beijing with a similar 226 mass fraction<sup>28</sup> and (2) the domination contribution (>70%) of PM<sub>1</sub> to PM<sub>25</sub> in NYC<sup>29,30</sup> with similar 227 contribution (around 0.7) from each main composition (e.g. sulfate, nitrate, ammonium) of PM<sub>1</sub> to PM<sub>2.5</sub> 228 229 comparing the measurements from an AMS to by a Particle-into-Liquid Sampler (PILS) coupled with two Metrohm Compact 761 Ion Chromatography (IC) systems (PILS-IC)<sup>29</sup>. Also, we ignored primary 230 black carbon due to the limitation of AMS. For NYC, AMS field measurements were made during the 231 summers of 2001<sup>31</sup>, 2009<sup>27,32</sup>, 2011<sup>33</sup>, and 2018<sup>10,30</sup> in NYC or the surrounding area. The aerosol mass 232 fractions of (1) 2001 measurements were used for the subperiod of 2001-2003, (2) the average of 2001 233 and 2009 for subperiod of 2004-2008, (3) 2011 for subperiod of 2009-2013, and (4) 2018 for subperiod 234 of 2014-2019. For BJ, AMS measurements were made at the tower branch of the Institute of 235 Atmospheric Physics, a typical urban site located between the north 3rd and 4th ring road in Beijing<sup>34</sup> 236 237 during 06/07-07/08 in 2014 and 06/01-06/29 in 2017, which were used for subperiod of 2014-2016 and 2017-2019, respectively. The measured OA organic mass spectra have been applied by the Positive 238 Matrix Factorization (PMF) analysis to separate into different OA factors/subtypes<sup>35</sup>, such as the 239 oxidized organic aerosols (OOAs) as a surrogate of SOA, and the hydrocarbon-like OA (HOA) as a 240 surrogate of POA<sup>36,37</sup>. 241

242 M2. Community Multiscale Air Quality Model (CMAQ). The Community Multiscale Air Quality

Model (CMAO) version 5.2 was applied in the current study, coupled with SAPRC-07 mechanism and 243 244 updated AERO6 aerosol module. The updates including the heterogeneous loss of NO<sub>2</sub>, SO<sub>2</sub>, glyoxal, and methylglyoxal to form nitrate, sulfate, and SOA. The reactive surface uptake coefficient of NO<sub>2</sub>, 245 glyoxal, and methylglyoxal followed Ying et al.  $(2014)^{38}$  and Ying et al.  $(2015)^{39}$ , respectively and the 246 247 heterogeneous formation of sulfate from surface-controlled reactive uptake of SO<sub>2</sub> followed Hu et al  $(2016)^{40}$ . In addition, the uptake of HO<sub>2</sub> onto aerosol surfaces was considered by following Xue et al. 248  $(2014)^{41}$  with an uptake coefficient ( $\gamma_{HO2}$ ) of 0.2<sup>7</sup>. The model was applied to simulate O<sub>3</sub> and PM<sub>2.5</sub> 249 formation during June-August 2017 using a 36 km  $\times$  36 km horizontal domain that covers China and 250 surrounding countries in East Asia. The meteorological fields were generated by the Weather Research 251 252 and Forecasting WRF (v4.0.) with a 3D nudging of winds, temperature, and water vapor above the PBL based on the NCEP ADP Global Upper Air Observational Weather Data 253 (https://rda.ucar.edu/datasets/ds351.0/#!access). For anthropogenic emissions, the monthly Multi-254 resolution Emission Inventory for China (MEICv1.3, http://www.meicmodel.org) and the Regional 255 256 Emission inventory in Asia (REASv3.1, https://www.nies.go.jp/REAS/) were applied to China and the 257 rest part of the domain, respectively. The resolution of both inventories was  $0.25^{\circ} \times 0.25^{\circ}$ . Biogenic emissions were generated by the Model for Emissions of Gases and Aerosols from Nature (MEGAN) 258 v2.1, with the leaf area index (LAI) from the 8-day Moderate Resolution Imaging Spectroradiometer 259 260 (MODIS) LAI product (MOD15A2) and the plant function types (PFTs) from the Global Community Land Model (CLM 3.0). Open burning emissions were based on the Fire Inventory from NCAR (FINN). 261 Dust and sea salt emissions were generated via inline processing during CMAQ simulations. Lightning-262 induced NO<sub>x</sub> production was not included in the current study. Initial and boundary conditions were 263 based on the default vertical distribution of concentrations provided by CMAQ that represent a clean 264 continental condition with a fixed background O<sub>3</sub> concentration ranges from 30 to 70 ppb. The first three 265

days were taken as spin-up days and results were excluded from the analysis. The comparison between 266 267 the CMAQ simulation with the observations for the 24 cities in the BTH and its nearby region (Fig. S6) was shown in Fig. S7-S9. In general, the model can well capture the temporal variations of both  $O_3$  and 268 PM<sub>2.5</sub> in all the cities, with the performance statistics conformed to the recommend benchmarks by 269 Emery et al.  $(2017)^{42}$  as shown in Table S1. Besides the base case with the default emissions of 2016, 270 other cases were designed to represent the possible changes of anthropogenic emissions in the future, 271 including (1) proportional reductions in all the anthropogenic sectors by 25%, 50%, and 75%, 272 respectively, and (2) reductions in  $SO_2$  and primary particulate matter by 50% and 100%, respectively. 273 274 The CMAQ simulation results are available from the corresponding authors on request.

#### 275 M3. Synchronous emission reductions estimation. The relationship of top5% DA24 PM<sub>2.5</sub>

concentration with an emission reduction ratio of Beijing (71.6  $\mu$ g m<sup>-3</sup>/100% MEIC reduction, Fig. 276 S10a) and other cities (75.4  $\mu$ g m<sup>-3</sup>/100% MEIC reduction for Shanghai and 34.3  $\mu$ g m<sup>-3</sup>/100% MEIC 277 reduction for Guangzhou, Fig. S10b and S10c) from the model simulation was used to estimate the 278 synchronous emission abatements. Meanwhile, the ratios of observed top5% DA24 PM<sub>2.5</sub> to the 279 simulated one (1.2 for Beijing, 1.0 for Shanghai, and 0.9 for Guangzhou) were used to correct the above 280 relations, assuming they were the simulation uncertainty. The corrected relations were 85.9 µg m<sup>-</sup> 281  $^{3}/100\%$  MEIC reduction for Beijing, 75.4 µg m<sup>-3</sup>/100% MEIC reduction for Shanghai, and 30.9 µg m<sup>-3</sup>/100% 282 <sup>3</sup>/100% MEIC reduction for Guangzhou, respectively. The observed 2019 top5% DA24 PM<sub>2.5</sub> 283 concentration of Beijing (74.8 µg m<sup>-3</sup>), Shanghai (59.8 µg m<sup>-3</sup>) and Guangzhou (36.2 µg m<sup>-3</sup>) were used 284 as the references. The MDA8 O<sub>3</sub> concentration below China's O<sub>3</sub> standards (about 75ppb) related DA 285 PM<sub>2.5</sub> concentration for Beijing (about 29 µg m<sup>-3</sup>), Shanghai (about 36 µg m<sup>-3</sup>), and Guangzhou (about 286  $32 \mu g m^{-3}$ ) were estimated based on the O<sub>3</sub>-PM<sub>2.5</sub> relations from Fig. 3 and 4a and 4c. 287

#### 288 DATA AVAILABILITY

- 289 MDA8 O<sub>3</sub>, DA24 PM<sub>2.5</sub> concentration, and pollutants emission inventory sources. Summertime
- 290 (June-August) 2001-2019, MDA8 O<sub>3</sub> concentrations, and DA24 PM<sub>2.5</sub> concentrations for the Queens
- 291 College site were obtained from <u>https://www.epa.gov/outdoor-air-quality-data/download-daily-data.</u> The
- MDA8 O<sub>3</sub> and daily average PM<sub>2.5</sub> concentration for summer (June-August) 2014-2019 for BJ were
- 293 obtained from the Chinese Ministry of Ecology and Environment (MEE) website
- 294 (<u>http://english.mee.gov.cn/</u>). The VOC, NO<sub>X</sub>, SO<sub>2</sub> and PM<sub>2.5</sub> annual emission rates for NYC and NY
- state were obtained from the EPA National Emissions Inventory website (https://www.epa.gov/air-
- 296 <u>emissions-inventories/national-emissions-inventory-nei</u>). The AMS measured aerosol chemical
- composition data for (1) 2001, 2009, and 2011 NYC field campaigns can be found at
- 298 <u>https://sites.google.com/site/amsglobaldatabase/</u>, (2) the 2018 NYC field campaign data can be found at
- 299 <u>https://www-air.larc.nasa.gov/missions/listos/index.html</u>.

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#### 307 AUTHOR CONTRIBUTIONS

J.Z., J.W. and J.S. designed the research and led the writing, J.Z., Y.S., and W.X. performed

- 309 experiments, J.L. performed the CMAQ model simulation, J.Z., J.W., Y.S. and J.L. analyzed the data,
- 310 M.N., J.Y., K.L., B.C., J. M., M.S., W.L., X.G., M.C., Y.Q., and Q.Z. contributed significant comments
- 311 and editing of the paper.

### 312 COMPETING INTERESTS

313 The authors declare no competing interests.

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# **Figure legends**

Figure 1. O<sub>3</sub> and PM<sub>2.5</sub> in NYC. a, The time series of the average DA24 PM<sub>2.5</sub> and top5% DA24 PM<sub>2.5</sub> 414 mass concentrations in NYC for each subperiod specified in the main text (the dashed boxes indicate the 415 four subperiods with subperiod 1 (SP<sub>NY</sub>1): 2001-2003, subperiod 2 (SP<sub>NY</sub>2): 2004-2008, subperiod 3 416  $(SP_{NY}3)$ : 2009-2013, subperiod 4  $(SP_{NY}4)$ : 2014-2019); **b**, NYC O<sub>3</sub>-PM<sub>2.5</sub> relationship for the different 417 subperiods (Colored by SAP mass fraction. The DA24 PM<sub>2.5</sub> data were binned in increments of 5 µg 418  $m^{-3}$ . The shaded area indicates 25%-75% data range of each bin. The O<sub>3</sub> concentration of each point was 419 obtained by averaging the 25%-75% data. All p-value < 0.01); c, The aerosol mass fraction for each 420 subperiod in NYC based on the AMS measurement from the representative year (2001 for SP<sub>NY</sub>1, the 421 422 average of 2001 and 2009 for SP<sub>NY</sub>2, 2011 for SP<sub>NY</sub>3 and 2018 for SP<sub>NY</sub>4. Black dash box indicates non-SAP compounds, including SOA, nitrate and nitrate-related-ammonia); d, Adjusted O<sub>3</sub>-PM<sub>2.5</sub> 423 relationship when removing the influence of variability in SAP (\* means adjusted value. All p-value < 424 425 0.01).

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426 Figure 2. O<sub>3</sub> and PM<sub>2.5</sub>, and related emissions in BJ and BTH. a, The 2014 and 2016 MEIC 427 anthropogenic emissions in BTH (including provinces of Beijing, Tianjin and Hebei) (Note: 2016 MEIC anthropogenic emissions was used for 2017 summertime simulation and also for showing the emission 428 variation trend); b, The time series of the average DA24 PM<sub>2.5</sub> and top5% DA24 PM<sub>2.5</sub> mass 429 concentrations (the dash boxes indicate the two subperiods with subperiod 1 (SP1): 2014-2016, 430 431 subperiod 2 (SP2): 2017-2019); c, The O<sub>3</sub> vs PM<sub>2.5</sub> relationship for observations, CMAQ simulations with only NOx uptake, CMAO simulations with NOx and HO<sub>2</sub> uptake, and 50% abatements of SO<sub>2</sub> 432 emissions for 2017; d, The O<sub>3</sub>-PM<sub>2.5</sub> relationship of BJ for SP1 and SP2, and the adjusted O<sub>3</sub>-PM<sub>2.5</sub> 433

relationship based on the mass fraction in (c) (denoted by \* in the legend, the PM<sub>2.5</sub> data were binned in increments of 5  $\mu$ g m<sup>-3</sup>); e, The O<sub>3</sub> vs PM<sub>2.5</sub> relationship of main urban cities in BTH for subperiod 1 and subperiod 2 (including Beijing, Tianjin, Shijiazhuang, Tangshan and Baoding, and the locations were shown in Figure S6. The error bar indicates 25-75% data range); f, The O<sub>3</sub> vs PM<sub>2.5</sub> relationship for different simultaneous emission abatement scenarios based on CMAQ simulations with NOx and HO<sub>2</sub> uptake for 2017.

Figure 3. Diagram of the current and future O<sub>3</sub>-PM<sub>2.5</sub> relationship over NYC and BJ (The blue shaded area indicates the range in the O<sub>3</sub>-PM<sub>2.5</sub> slope, depending on the extent of SAP reductions. The DA24 PM<sub>2.5</sub> data were binned in increments of 5  $\mu$ g m<sup>-3</sup>.).

Figure 4. O<sub>3</sub> and PM<sub>2.5</sub>, and related emissions in YRD and PRD. a, The O<sub>3</sub> vs PM<sub>2.5</sub> relationship of
main urban cities in YRD for SP1 (2014-2016) and SP2 (2016-2019) (The error bar indicates 25-75%
data range); b, The 2014 and 2016 MEIC anthropogenic emissions in YRD (including provinces of
Shanghai, Jiangsu and Zhejiang); c, The O<sub>3</sub> vs PM<sub>2.5</sub> relationship of main urban cities in PRD for SP1
(2014-2016) and SP2 (2016-2019) (The error bar indicates 25-75% data range); d, The 2014 and 2016
MEIC anthropogenic emissions in PRD (including Guangzhou province).

#### 449 FIGURES



450

451 Figure 1. O<sub>3</sub> and PM<sub>2.5</sub> in NYC. a, The time series of the average DA24 PM<sub>2.5</sub> and top5% DA24 PM<sub>2.5</sub> 452 mass concentrations in NYC for each subperiod specified in the main text (the dashed boxes indicate the 453 four subperiods with subperiod 1 (SP<sub>NY</sub>1): 2001-2003, subperiod 2 (SP<sub>NY</sub>2): 2004-2008, subperiod 3 (SP<sub>NY</sub>3): 2009-2013, subperiod 4 (SP<sub>NY</sub>4): 2014-2019); **b**, NYC O<sub>3</sub>-PM<sub>2.5</sub> relationship for the different 454 455 subperiods (Colored by SAP mass fraction. The DA24 PM<sub>2.5</sub> data were binned in increments of 5 µg  $m^{-3}$ . The shaded area indicates 25%-75% data range of each bin. The O<sub>3</sub> concentration of each point was 456 457 obtained by averaging the 25%-75% data. All p-value < 0.01); c, The aerosol mass fraction for each 458 subperiod in NYC based on the AMS measurement from the representative year (2001 for  $SP_{NY}$ ), the average of 2001 and 2009 for SP<sub>NY</sub>2, 2011 for SP<sub>NY</sub>3 and 2018 for SP<sub>NY</sub>4. Black dash box indicates 459 non-SAP compounds, including SOA, nitrate and nitrate-related-ammonia); d, Adjusted O<sub>3</sub>-PM<sub>2.5</sub> 460 461 relationship when removing the influence of variability in SAP (\* means adjusted value. All p-value < 0.01). 462





464 Figure 2. O3 and PM2.5, and related emissions in BJ and BTH. a, The 2014 and 2016 MEIC anthropogenic emissions in BTH (including provinces of Beijing, Tianiin and Hebei) (Note: 2016 MEIC 465 anthropogenic emissions was used for 2017 summertime simulation and also for showing the emission 466 variation trend); **b**, The time series of the average DA24  $PM_{25}$  and top5% DA24  $PM_{25}$  mass 467 468 concentrations (the dash boxes indicate the two subperiods with subperiod 1 (SP1): 2014-2016, subperiod 2 (SP2): 2017-2019); c, The O<sub>3</sub> vs PM<sub>2.5</sub> relationship for observations, CMAO simulations 469 470 with only NOx uptake, CMAQ simulations with NOx and HO<sub>2</sub> uptake, and 50% abatements of SO<sub>2</sub> emissions for 2017; d, The O<sub>3</sub>-PM<sub>2.5</sub> relationship of BJ for SP1 and SP2, and the adjusted O<sub>3</sub>-PM<sub>2.5</sub> 471 relationship based on the mass fraction in (c) (denoted by \* in the legend, the PM<sub>2.5</sub> data were binned in 472 473 increments of 5  $\mu$ g m<sup>-3</sup>); e, The O<sub>3</sub> vs PM<sub>2.5</sub> relationship of main urban cities in BTH for SP1 and SP2 474 (including Beijing, Tianjin, Shijiazhuang, Tangshan and Baoding, and the locations were shown in Fig. S5. The error bar indicates 25-75% data range); f, The O<sub>3</sub> vs PM<sub>2.5</sub> relationship for different 475 476 simultaneous emission abatement scenarios based on CMAQ simulations with NOx and HO2 uptake for 477 2017.



- DA24 PM2.5 mass concentration (μg m<sup>-3</sup>)
   Figure 3. Diagram of the current and future O<sub>3</sub>-PM<sub>2.5</sub> relationship over NYC and BJ (The blue
- 480 shaded area indicates the range in the  $O_3$ -PM<sub>2.5</sub> slope, depending on the extent of SAP reductions. The
- 481 DA24 PM<sub>2.5</sub> data were binned in increments of 5  $\mu$ g m<sup>-3</sup>.).



Figure 4. O<sub>3</sub> and PM<sub>2.5</sub>, and related emissions in YRD and PRD. a, The O<sub>3</sub> vs PM<sub>2.5</sub> relationship of
main urban cities in YRD for SP1 (2014-2016) and SP2 (2016-2019) (The error bar indicates 25-75%
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Shanghai, Jiangsu and Zhejiang); c, The O<sub>3</sub> vs PM<sub>2.5</sub> relationship of main urban cities in PRD for SP1
(2014-2016) and SP2 (2016-2019) (The error bar indicates 25-75% data range); d, The 2014 and 2016
MEIC anthropogenic emissions in PRD (including Guangdong province).