Extending ozone and particulate matter pollution control from New York City to Beijing

- 1 Jie Zhang^{1, *}, Junfeng Wang^{2, *}, Yele Sun³, Jingyi Li², Matthew Ninneman⁴, Jianhuai Ye⁵, Ke Li², Brian
- 2 Crandall⁶, Jingbo Mao⁷, Weiqi Xu³, Margaret J. Schwab¹, Weijun Li⁸, Xinlei Ge², Mindong Chen², Qi
- 3 Ying⁹, Qi Zhang¹⁰, James J. Schwab^{1,*}
- ¹Atmospheric Sciences Research Center, University at Albany, State University of New York, Albany,
 NY 12203, USA
- ⁶ ²Jiangsu Key Laboratory of Atmospheric Environment Monitoring and Pollution Control, School of
- 7 Environmental Science and Engineering, Nanjing University of Information Science and Technology,
- 8 Nanjing 210044, China
- ³State Key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric Chemistry, Institute of
- 10 Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029, China
- ⁴School of Science, Technology, Engineering and Mathematics, University of Washington Bothell,
- 12 18115 Campus Way NE, Bothell, WA, 98011, USA
- ⁵School of Environmental Science & Engineering, Southern University of Science and Technology,
- 14 Shenzhen 518055, China
- ⁶New York State Department of Environmental Conservation, NY 12233, USA
- ⁷Shanghai Key Laboratory of Atmospheric Particle Pollution and Prevention (LAP3), Department of
- 17 Environmental Science and Engineering, Fudan University, Shanghai 200438, China
- ⁸Department of Atmospheric Sciences, School of Earth Sciences, Zhejiang University, Hangzhou
- 19 310027, China
- ⁹Department of Civil and Environmental Engineering, Texas A&M University, College Station, TX
- 21 77843, USA
- ¹⁰Department of Environmental Toxicology, University of California, Davis, Davis, CA 95616, USA
- ^{*}To whom correspondence may be addressed. Email: <u>jschwab@albany.edu</u>,
- 24 <u>wangjunfengnuist@163.com, jzhang35@albany.edu</u>

25 ABSTRACT

26 Strict emission control policies implemented in two megacities of New York City (NYC) and Beijing 27 show impacts on the co-occurrence of their ozone (O_3) and fine particulate matter $(PM_{2.5})$ extremes. 28 Here we show the increased O_3 -PM_{2.5} slope caused by the emission-related aerosol chemical 29 composition variation in these two megacities based on the multiyear measurements, and the O₃/PM_{2.5} co-occurrence is inclining to O₃ pollutions. In contrast to NYC, the O₃-PM_{2.5} relationship in Beijing 30 31 showed an inflection point under high PM_{2.5} reflecting the O₃ formation suppression, which partially 32 mitigated the current O₃ concentration at PM_{2.5} extremes level compared to non-suppression and also contributed recent O₃ maximum enhancement in Beijing. Model simulations imply the regional equal 33 percentage emission reductions for further pollution control to avoid any worsened O₃ pollution with the 34 35 decreasing PM_{2.5} extreme value and different reduction control policies are proposed for numerous Chinese main megacity clusters. 36

37 INTRODUCTION

Co-occurrence of enhanced ozone (O_3) and fine particulate matter ($PM_{2.5}$, particles with aerodynamic diameters less than 2.5 µm) concentrations adversely affects human health¹⁻³, and has been a wide concern in densely populated megacities ⁴⁻⁶. This co-occurrence frequently happens during summer (June-August), which is due in part to stagnant meteorological conditions accompanied with high solar radiation and temperature under which high concentrations of nitrogen oxides (NO_x) and volatile organic compounds (VOCs) enhance $PM_{2.5}$ and O_3 formation^{1,4}.

44 Previous studies have demonstrated a positive relationship between the maximum daily 8-h average

45 (MDA8) O₃ and the daily 24-h average (DA24) PM_{2.5} concentrations in polluted regions during

46 summertime^{5,6}. An inflection point (IFP) in the relationship of MDA8 O₃ versus DA24 PM_{2.5} was

observed at about 50~60 μ g m⁻³ of DA24 PM_{2.5} for Chinese megacity-clusters⁶. The MDA8 O₃ was linearly and positively correlated with DA24 PM_{2.5} before the IFP while it remained relative stable despite the increasing PM_{2.5} above the IFP. Such changes were mainly attributed to the scavenging of hydroperoxyl (HO₂) and/or nitrate radicals (NO₃) by high concentrations of PM_{2.5} that inhibited the photochemical production of O₃⁷⁻⁹.

52 New York City (NYC) and Beijing (BJ) are two megacities that have been extensively studied during the last two decades¹⁰⁻¹². Strict emission control policies have been implemented in NYC back to 1970s 53 with amendments in 1990 (https://www.epa.gov/clean-air-act-overview) and Beijing from 2013¹³, 54 leading to substantial decreases in PM_{2.5}. Compared to PM_{2.5}, the reduction in the O₃ maximum values 55 were weaker in NYC¹⁴ while with an enhancement in BJ¹⁵⁻¹⁸. This discrepancy between PM_{2.5} and O₃ 56 57 following the emission controls at these two locations requires further investigation. To explore these, we analyzed the relationship of MDA8 O₃ and DA24 PM_{2.5} by using 19 years' measurements in NYC 58 59 and 6 years' measurements in BJ and the related aerosol chemical composition measurements, compared the O₃-PM_{2.4} relationship with focusing on O₃-PM_{2.5} slope and their extremes concentrations (the 60 averaged top 5% value) among their co-occurrence between NYC versus BJ with relating to the aerosol 61 compositions variation, and explored the reasons of the current O₃ maximum enhancement in Beijing 62 based on the IFP analysis. By comparing the successful experience in synergetic control of PM_{2.5} and O₃ 63 in NYC with that in BJ, we elucidate the potential reasons for the increased O₃-PM_{2.5} slope, and 64 65 highlight the future scientific strategy for controlling PM_{2.5} and O₃ together in BJ and other Chinese megacity-clusters. 66

67 **RESULTS**

68 Dependence of the NYC O₃–PM_{2.5} relationship on aerosol composition.

Based on the variability in DA24 PM_{2.5} concentration, the 2001-2019 summertime period was separated 69 into 4 subperiods (Fig. 1a, SP_{NY}1: 2001-2003, SP_{NY}2: 2004-2008; SP_{NY}3: 2009-2013, SP_{NY}4: 2014-70 2019. Fig. 1a, see Data availability for the data sources). The relationship between MDA8 O₃ and 71 72 DA24 PM_{2.5} for each subperiod was developed (Fig. 1b) following the approach in Li et al. (6) and 73 Buysse et al. (9) As shown in Fig. 1b, the slope of MDA8 O₃ and DA24 PM_{2.5} (hereafter O₃-PM_{2.5} slope) increased from around 1.1 during $SP_{NY}1$ and $SP_{NY}2$ to approximately 1.7 during SP4. The MDA8 O₃ 74 and DA24 PM_{2.5} extreme concentrations decreased from 2001 to 2019 at a rate of 1.1 ppb yr⁻¹ and 1.9 µg 75 m⁻³ yr⁻¹, respectively (Fig. S1a), corresponding to a total reduction of 22% and 62% for O₃ and PM_{2.5}, 76 77 and tracked the reductions in SO₂, VOCs, NO_x, and PM_{2.5} emissions (Fig. S1b). The positive relationship of MDA8 O_3 and DA24 PM_{2.5} is due in part to their common precursors, e.g., NOx and 78 79 VOCs. Oxidation of O_3 precursors of NO_2 and VOCs also form nitrate and secondary organic aerosol (SOA) that are the dominant species in $PM_{2.5}$. However, the changes of other aerosol species in $PM_{2.5}$ 80 81 including sulfate from oxidation of SO₂, ammonium that exists in ammonium sulfate, and primary organic aerosol (hereafter SAP), could affect the slope of O_3 versus $PM_{2.5}$. Thus, the $PM_{2.5}$ chemical 82 compounds were divided into two groups, (1) the non-SAP compounds (e.g., SOA, nitrate and nitrate-83 84 related-ammonium) which contribute to the positive relationship of O_3 and $PM_{2.5}$, and (2) the SAP 85 compounds, which could influence the O_3 -PM_{2.5} slope. A steeper slope of O_3 and PM_{2.5} is expected as the SAP mass fraction in PM_{2.5} decreases because more nitrate and SOA in PM_{2.5} as a result of higher 86 NOx and VOCs that are capable of producing more ozone. 87

To investigate this hypothesis, the measured historical PM₁ chemical composition in the NYC
metropolitan area (Method 1) was used to track the changes in mass fractions of aerosol species. The

mass fractions of SAP decreased from 51% in 2001 to 29% in 2018 (Fig. 1c), consistent with the increased O_3 -PM_{2.5} slope (Fig. 1b). To test the effect of this change, PM_{2.5} mass concentrations for earlier periods were adjusted downward to reflect the effective mass concentration that would have resulted if SAP of each period had equaled SAP_{ref}. Using the SP_{NY}4 SAP mass fraction as a reference, the aerosol mass concentration for each subperiod was adjusted using its SAP mass fraction, based on equation (1):

96
$$M_a = M_0 \times (1 - SAP_{sp})/(1 - SAP_{ref})$$
 (1)

97 where M_0 is the averaged aerosol mass concentration in each subperiod, M_a is the adjusted mass 98 concentration, SAP_{sp} is the averaged SAP mass fraction of each subperiod (SP_{NY}1, SP_{NY}2, or SP_{NY}3), 99 and SAP_{ref} is the averaged SAP mass fraction of SP_{NY}4. By excluding the influence of variability in SAP 100 mass fraction, the differences of O₃-PM_{2.5} slopes among the four subperiods were much smaller (Fig. 1d 101 vs. 1b). This clearly demonstrates a fact that the reduced SAP mass fraction contributed to the increased 102 O₃-PM_{2.5} slope in NYC during the last two decades. Meanwhile, the similarity of the O₃-PM_{2.5} slopes with excluded SAP fractions matched the near constant VOC/NOx emission ratio of NYC and 103 104 surrounding regions (NY state as example, 1.1~1.2) in the past years as shown in following section by model simulation. While NYC experienced a reduction in MDA8 O₃ and DA24 PM_{2.5} extreme value 105 over the study period, the emissions reductions resulted relative fraction of $NO_x + VOC$ emissions from 106 69% in 2001 to 85% in 2017 with more efficient ozone production per ton of total precursor emissions. 107 The relative emission fractions dominate the O₃-PM_{2.5} slopes (with higher VOC+NOx emission fraction 108 producing a higher slope), and the overall emissions intensity controls their extremes value. The 109 110 increased O₃-PM_{2.5} slope highlighted the O₃/PM_{2.5} co-occurrence is inclining to O₃ pollutions, and more actions for NOx and VOCs emission controls are needed for NYC regions. However, it was notable that 111

the 22% of the MDA8 O₃ extreme concentration was lower than the reduction of VOC emission (65%
reduction) or NOx emission (66% reduction), reflecting the non-linear response of O₃ reduction to its
precursors.

115 Increased O₃–PM_{2.5} relationship in BJ.

116 Air quality in Beijing has been significantly improved since the clean air action in 2013, with strict 117 emission controls mainly for SO₂ and primary particle emissions in the Beijing-Tianjin-Hebei (BTH) region (Fig. 2a)¹⁹⁻²⁰. These emission control policies may also result in a summertime increased O₃-118 119 PM_{2.5} slope as observed in NYC. To verify this, we separated the time period for BJ with existing 120 MDA8 O₃ and DA24 PM_{2.5} data (2014-2019) into two subperiods based on the PM_{2.5} concentration -121 subperiod 1 (hereafter SP1, 2014-2016) and subperiod 2 (hereafter SP2, 2017-2019) (Fig. 2b). The DA24 PM_{2.5} mass extreme concentration decreased from about 159 μ g m⁻³ (SP1) to 90 μ g m⁻³ (SP2) 122 (Fig. 3), while its co-occurred O₃ extreme concentration was elevated about 3 ppb (94 ppb vs. 97 ppb), 123 124 which is quite different from NYC with a 22% reduction or 20 ppb. Proposes explanations include i) the increase in the global O₃ background concentration^{21,22}, ii) meteorological variability^{15,17,23}, iii) 125 anthropogenic emissions variation based on complex model simulations^{16-19,24}, iv) higher HO₂ 126 concentrations due to the reduction of particle concentration⁷, and v) the reduction of nitrogen oxides 127 (NOx) emissions 25 . 128

For the O₃-PM_{2.5} relationship during SP1 (or SP2), in contrast to NYC, there was a lower O₃-PM_{2.5} slope
under high PM_{2.5} concentration. By using the Community Multiscale Air Quality (CMAQ) model
simulations with different scenarios, the enhanced uptake of NO_x and HO₂ by high concentrations of
PM_{2.5} (Method 2) is hypothesized to be the major cause (Fig. 2c). As the PM_{2.5} concentration gets
higher, greater loss of O₃ precursors (NO_x and HO₂) on aerosol surface slows down O₃ production and

reduce the O_3 -PM_{2.5} slope. Following the approach in Li et al.⁶, an inflection point (IFP; PM_{2.5} ~ 50 µg m⁻³) was identified to separate the O_3 -PM_{2.5} relationship into two parts, with a linear and positive slope before the IFP (named "clean region"; Fig. 3) and relatively slower ozone increasing followed PM_{2.5} enhancement after the IFP (named "polluted region"). Clearly, the O_3 /PM_{2.5} co-occurrence of NYC located in the clean regions, with a simple linear O_3 -PM_{2.5} relationship.

Comparing the O₃-PM_{2.5} relationship of Beijing between SP1 vs. SP2, consistent with the NYC pattern, 139 the O₃-PM_{2.5} slope before the IFP increased from SP1 to SP2, and the O₃-PM_{2.5} slopes in SP1 and SP2 140 141 were similar after adjusting for the variability of SAP mass fraction (Fig. 2d, Fig. S2 and Method 1 for 142 the aerosol composition mass fraction). The enhanced (VOCs+NOx) emission fraction due to the control policies of SP2 are the dominant reason for the increased O₃-PM_{2.5} slopes, in spite of the increase in 143 temperature from SP1 to SP2 (Fig. S3)^{15,26}. Meanwhile, the near-constant VOC/NOx emission ratio of 144 BJ (about 1.0, Fig. 2a) in the six years also promotes the similarity of the modified O₃-PM_{2.5} slopes as 145 146 shown in following section by model simulation. Considering BJ extreme air pollution episodes being influenced by regional transport from BTH²⁷, the increased O₃-PM_{2.5} slope in BJ represents a regional 147 phenomenon in the BTH region (Fig. 2e) and reflects variability in the current regional emission 148 149 abatements with greater SO₂ and PM_{2.5} reduction.



same PM_{2.5} level in SP2 comparing to SP1 after IFP. However, the O₃ suppression by the high PM_{2.5}

mass concentration shallowed the O_3 -PM_{2.5} slope after SPF, which partly mitigated the current potential

153 O_3 increase at the SP2 PM_{2.5} extremes level (90 μ g m⁻³). Based on the O₃-PM_{2.5} relationship before IFP

of SP2, the O₃ concentration at 90 μ g m⁻³ was estimated to be as high as 146 ppb, which roughly implied

a 34% benefit from the O_3 suppression under this $PM_{2.5}$ level considering the current O_3 extreme of 97

ppb. It is expected that if the VOC and NOx emissions of BTH keep constant in further, lower PM_{2.5} 156 157 extremes level caused by the SO₂/or primary PM_{2.5} reduction would decline the O₃ production suppression and result more severe O_3 extremes. This kind of O_3 enhancement will also happen if the 158 VOC and NOx emission reduction is not enough to make up the O_3 enhancement due to the declined O_3 159 production suppression, which matched the enhanced O_3 concentration (3 ppb) under the PM_{2.5} extreme 160 value dropped from higher one of SP1 (159 µg m⁻³) to lower one of SP2 (90 µg m⁻³) with the slightly 161 change of VOC and NOx emission from SP1 to SP2 (Fig. 2a). Moreover, Fig. 3 demonstrates that if 162 SAP concentrations decrease to near zero in BJ as a limiting case, O₃ concentrations (purple arrow) and 163 the O₃-PM_{2.5} slope (blue shaded region) will likely increase, as shown in the CMAQ simulation with 164 165 only SO₂ emission abatement (Fig. 2c).

166 Regional equal percentage emission reductions.

To avoid further worsened O_3 pollution with the decreasing $PM_{2.5}$ extremes, regional equal percentage 167 emission reductions in VOCs, NO_x, SO₂ and PM_{2.5} is suggested by the CMAQ simulations (Fig. 2f and 168 Fig. S4) as an achievable way. However, the effect of such emission policies on ozone extremes will not 169 be significant until PM_{2.5} below to the IFP and Beijing stepping into the "clean region" where current 170 NYC locates. Under regional equal percentage emission reductions, the O_3 -PM_{2.5} relationship of Beijing 171 172 before IFP would keep constant (Fig. 2f). Furthermore, the required BTH regional emission reductions based on the 2019 annual emissions were about 29%, 42%, 53% and 70% (Method 3), respectively, in 173 order to reach the BJ PM_{2.5} concentration equal to the IFP (50 μ g m⁻³, hereafter "Goal 1"), the 2001 174 NYC top5% DA24 PM_{2.5} concentration level (39 µg m⁻³, hereafter "Goal 2"), the MDA8 O₃ 175 concentration below China's O3 standards (75 ppb, which was related to DA24 PM2.5 about 29 µg m⁻³ 176

for Beijing, hereafter "Goal 3") and the 2019 NYC DA24 PM_{2.5} concentration extreme concentration (15 μg m⁻³, hereafter "Goal 4") (Fig. 3).

The emission related O_3 -PM_{2.5} slope variations were also observed in other megacity clusters, such as 179 Yangtze River Delta (YRD) and Pearl River Delta (PRD) (Fig. 4 and S5). The O₃-PM_{2.5} slope of YRD 180 181 increased in recent years (Fig. 4a), consistent with the larger reductions in SO_2 and primary $PM_{2.5}$ than 182 non-SAP species (Fig. 4b). For example, to reach the above four goals in Shanghai, the emissions in YRD need to be reduced by 13%, 28%, 32% and 59%, respectively on the basis of 2019 annual 183 184 emissions (Method 3). Comparatively, the O₃-PM_{2.5} slope of PRD was only slightly increased (Fig. 4c), 185 due to the small changes in emission ratios of SO₂ and PM_{2.5} (Fig. 4d). Meanwhile, the maximum DA24 186 PM_{2.5} concentration of PRD in SP2 was below IFP, and further emission reductions would cause 187 effective reductions in the co-occurrence of O3 and PM2.5. The DA24 PM2.5 extreme concentration in Guangzhou (the representative of PRD) in 2019 was 36.2 µg m⁻³, which was already below IFP and 188 2001 NYC top5% DA24 PM_{2.5} concentration level. However, additional regional and synchronous 189 emission reductions in PRD by 14% and 69% (Method 3), respectively are needed to meet the other two 190 191 goals ("Goal 3 and 4").

192 **DISCUSSION**

Under current emission policies for NYC and BJ with larger reductions in the emissions of SO₂ and PM_{2.5} than those of VOCs and NO_x, we obtained an increased O₃-PM_{2.5} slope ("worsened O₃ at same PM_{2.5} level") and expected the continually inclining of the O₃/PM_{2.5} co-occurrence to O₃ pollutions in the further if the same policies lasting. However, Beijing will suffer more complicated conditions than NYC, as the O₃ production suppression under the high PM_{2.5} level which shallowed the O₃-PM_{2.5} slope after the IFP. This ozone formation suppression in Beijing partly mitigated the current O₃ enhancement at the SP2 PM_{2.5} extremes level comparing to the originally O₃ concentration without any suppression. If
the VOCs and NOx emissions in BTH did not decrease enough to make up the enhanced O₃ from the
declined O₃ production suppression under the decreased PM_{2.5} concentration, more worsen O₃ extremes
pollutions may occur. To avoid further worsened O₃ pollution at the same PM_{2.5} level, we suggest
reducing PM_{2.5} to the IFP and below following an average regional equal percentage emission reduction
of 29% in BTH, with other different emission controls are needed for other regions.

205 It is notable that this study simply assumed different linear relationships before and after IFP to 206 predigest the O₃-PM_{2.5} relationship, while there may be more complex non-linear relationships (Fig. S6 as the example), and IFP could represent one approximate and its location could be related with NOx 207 208 and HO₂ uptake parameters, such as aerosol surface, uptake efficiencies, etc. Notably, the observations 209 at YRD and PRD showed a negative correlation between O_3 and $PM_{2.5}$ at $PM_{2.5}$ > about 70 µg m⁻³ (Fig. 4a and 4c), matching the enhanced O₃ suppression and implying the complex non-linear O₃-PM_{2.5} 210 211 relationship. Though further studies are warranted to verify the non-linear relationships, this study provided a straightforward prospective to understanding the co-occurrences of O_3 and $PM_{2.5}$ in densely 212 populated megacities during the summer heat days and their responds to the emission policies. We used 213 NYC and Beijing as the examples for the comparison as they represent different emission stages with 214 NYC (current at "clean region", Fig. 3) more likely being the further of Beijing (current at "polluted 215 region", Fig. 3). As the heat days occurring more often, the emission policy related O₃/PM_{2.5} co-216 217 occurrences worth to be studied in a worldwide, with focusing on their further variation trends (O_3 -PM_{2.5} slope and extreme concentrations) and the interplay between O₃ and PM_{2.5} in order to avoid the ozone 218 worsen under emission controls, especially for the cities with PM_{2.5} extreme concentrations above the 219 220 inflection point.

221 METHODS

222 M1. Aerosol chemical composition measurements.

The Aerodyne Aerosol Mass Spectrometer (AMS) was deployed during the field campaign to obtain the 223 chemical composition of non-refractory particulate matter smaller than 1 µm in diameter (NR-PM₁). In 224 this study, we assumed that the aerosol composition of PM_1 is similar to $PM_{2.5}$ based on (1) the current 225 application of AMS for PM₁ and PM_{2.5} chemical composition measurements in Beijing with a similar 226 mass fraction²⁸ and (2) the domination contribution (>70%) of PM₁ to PM₂₅ in NYC^{29,30} with similar 227 contribution (around 0.7) from each main composition (e.g. sulfate, nitrate, ammonium) of PM₁ to PM_{2.5} 228 229 comparing the measurements from an AMS to by a Particle-into-Liquid Sampler (PILS) coupled with two Metrohm Compact 761 Ion Chromatography (IC) systems (PILS-IC)²⁹. Also, we ignored primary 230 black carbon due to the limitation of AMS. For NYC, AMS field measurements were made during the 231 summers of 2001³¹, 2009^{27,32}, 2011³³, and 2018^{10,30} in NYC or the surrounding area. The aerosol mass 232 fractions of (1) 2001 measurements were used for the subperiod of 2001-2003, (2) the average of 2001 233 and 2009 for subperiod of 2004-2008, (3) 2011 for subperiod of 2009-2013, and (4) 2018 for subperiod 234 of 2014-2019. For BJ, AMS measurements were made at the tower branch of the Institute of 235 Atmospheric Physics, a typical urban site located between the north 3rd and 4th ring road in Beijing³⁴ 236 237 during 06/07-07/08 in 2014 and 06/01-06/29 in 2017, which were used for subperiod of 2014-2016 and 2017-2019, respectively. The measured OA organic mass spectra have been applied by the Positive 238 Matrix Factorization (PMF) analysis to separate into different OA factors/subtypes³⁵, such as the 239 oxidized organic aerosols (OOAs) as a surrogate of SOA, and the hydrocarbon-like OA (HOA) as a 240 surrogate of POA^{36,37}. 241

242 M2. Community Multiscale Air Quality Model (CMAQ). The Community Multiscale Air Quality

Model (CMAO) version 5.2 was applied in the current study, coupled with SAPRC-07 mechanism and 243 244 updated AERO6 aerosol module. The updates including the heterogeneous loss of NO₂, SO₂, glyoxal, and methylglyoxal to form nitrate, sulfate, and SOA. The reactive surface uptake coefficient of NO₂, 245 glyoxal, and methylglyoxal followed Ying et al. $(2014)^{38}$ and Ying et al. $(2015)^{39}$, respectively and the 246 247 heterogeneous formation of sulfate from surface-controlled reactive uptake of SO₂ followed Hu et al $(2016)^{40}$. In addition, the uptake of HO₂ onto aerosol surfaces was considered by following Xue et al. 248 $(2014)^{41}$ with an uptake coefficient (γ_{HO2}) of 0.2⁷. The model was applied to simulate O₃ and PM_{2.5} 249 formation during June-August 2017 using a 36 km \times 36 km horizontal domain that covers China and 250 surrounding countries in East Asia. The meteorological fields were generated by the Weather Research 251 252 and Forecasting WRF (v4.0.) with a 3D nudging of winds, temperature, and water vapor above the PBL based on the NCEP ADP Global Upper Air Observational Weather Data 253 (https://rda.ucar.edu/datasets/ds351.0/#!access). For anthropogenic emissions, the monthly Multi-254 resolution Emission Inventory for China (MEICv1.3, http://www.meicmodel.org) and the Regional 255 256 Emission inventory in Asia (REASv3.1, https://www.nies.go.jp/REAS/) were applied to China and the 257 rest part of the domain, respectively. The resolution of both inventories was $0.25^{\circ} \times 0.25^{\circ}$. Biogenic emissions were generated by the Model for Emissions of Gases and Aerosols from Nature (MEGAN) 258 v2.1, with the leaf area index (LAI) from the 8-day Moderate Resolution Imaging Spectroradiometer 259 260 (MODIS) LAI product (MOD15A2) and the plant function types (PFTs) from the Global Community Land Model (CLM 3.0). Open burning emissions were based on the Fire Inventory from NCAR (FINN). 261 Dust and sea salt emissions were generated via inline processing during CMAQ simulations. Lightning-262 induced NO_x production was not included in the current study. Initial and boundary conditions were 263 based on the default vertical distribution of concentrations provided by CMAQ that represent a clean 264 continental condition with a fixed background O₃ concentration ranges from 30 to 70 ppb. The first three 265

days were taken as spin-up days and results were excluded from the analysis. The comparison between 266 267 the CMAQ simulation with the observations for the 24 cities in the BTH and its nearby region (Fig. S6) was shown in Fig. S7-S9. In general, the model can well capture the temporal variations of both O_3 and 268 PM_{2.5} in all the cities, with the performance statistics conformed to the recommend benchmarks by 269 Emery et al. $(2017)^{42}$ as shown in Table S1. Besides the base case with the default emissions of 2016, 270 other cases were designed to represent the possible changes of anthropogenic emissions in the future, 271 including (1) proportional reductions in all the anthropogenic sectors by 25%, 50%, and 75%, 272 respectively, and (2) reductions in SO_2 and primary particulate matter by 50% and 100%, respectively. 273 274 The CMAQ simulation results are available from the corresponding authors on request.

275 M3. Synchronous emission reductions estimation. The relationship of top5% DA24 PM_{2.5}

concentration with an emission reduction ratio of Beijing (71.6 μ g m⁻³/100% MEIC reduction, Fig. 276 S10a) and other cities (75.4 μ g m⁻³/100% MEIC reduction for Shanghai and 34.3 μ g m⁻³/100% MEIC 277 reduction for Guangzhou, Fig. S10b and S10c) from the model simulation was used to estimate the 278 synchronous emission abatements. Meanwhile, the ratios of observed top5% DA24 PM_{2.5} to the 279 simulated one (1.2 for Beijing, 1.0 for Shanghai, and 0.9 for Guangzhou) were used to correct the above 280 relations, assuming they were the simulation uncertainty. The corrected relations were 85.9 µg m⁻ 281 $^{3}/100\%$ MEIC reduction for Beijing, 75.4 µg m⁻³/100% MEIC reduction for Shanghai, and 30.9 µg m⁻³/100% 282 ³/100% MEIC reduction for Guangzhou, respectively. The observed 2019 top5% DA24 PM_{2.5} 283 concentration of Beijing (74.8 µg m⁻³), Shanghai (59.8 µg m⁻³) and Guangzhou (36.2 µg m⁻³) were used 284 as the references. The MDA8 O₃ concentration below China's O₃ standards (about 75ppb) related DA 285 PM_{2.5} concentration for Beijing (about 29 µg m⁻³), Shanghai (about 36 µg m⁻³), and Guangzhou (about 286 $32 \mu g m^{-3}$) were estimated based on the O₃-PM_{2.5} relations from Fig. 3 and 4a and 4c. 287

288 DATA AVAILABILITY

- 289 MDA8 O₃, DA24 PM_{2.5} concentration, and pollutants emission inventory sources. Summertime
- 290 (June-August) 2001-2019, MDA8 O₃ concentrations, and DA24 PM_{2.5} concentrations for the Queens
- 291 College site were obtained from <u>https://www.epa.gov/outdoor-air-quality-data/download-daily-data.</u> The
- MDA8 O₃ and daily average PM_{2.5} concentration for summer (June-August) 2014-2019 for BJ were
- 293 obtained from the Chinese Ministry of Ecology and Environment (MEE) website
- 294 (<u>http://english.mee.gov.cn/</u>). The VOC, NO_X, SO₂ and PM_{2.5} annual emission rates for NYC and NY
- state were obtained from the EPA National Emissions Inventory website (https://www.epa.gov/air-
- 296 <u>emissions-inventories/national-emissions-inventory-nei</u>). The AMS measured aerosol chemical
- composition data for (1) 2001, 2009, and 2011 NYC field campaigns can be found at
- 298 <u>https://sites.google.com/site/amsglobaldatabase/</u>, (2) the 2018 NYC field campaign data can be found at
- 299 <u>https://www-air.larc.nasa.gov/missions/listos/index.html</u>.

300 ACKNOWLEDGEMENT

- 301 The authors acknowledge support from the New York State Energy Research and Development
- Authority (NYSERDA, no. 48971), the National Natural Science Foundation of China (no. 42021004),
- the National Key Research and Development Program of China (no. 2018YFC0213802). We
- acknowledge the free use of ozone and PM_{2.5} data from the US EPA and China's Ministry of Ecology
- and Environment. Special thanks to Prof. Daniel J. Jacob from Harvard University for providing
- 306 valuable comments for this study.

307 AUTHOR CONTRIBUTIONS

J.Z., J.W. and J.S. designed the research and led the writing, J.Z., Y.S., and W.X. performed

- 309 experiments, J.L. performed the CMAQ model simulation, J.Z., J.W., Y.S. and J.L. analyzed the data,
- 310 M.N., J.Y., K.L., B.C., J. M., M.S., W.L., X.G., M.C., Y.Q., and Q.Z. contributed significant comments
- 311 and editing of the paper.

312 COMPETING INTERESTS

313 The authors declare no competing interests.

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Figure legends

Figure 1. O₃ and PM_{2.5} in NYC. a, The time series of the average DA24 PM_{2.5} and top5% DA24 PM_{2.5} 414 mass concentrations in NYC for each subperiod specified in the main text (the dashed boxes indicate the 415 four subperiods with subperiod 1 (SP_{NY}1): 2001-2003, subperiod 2 (SP_{NY}2): 2004-2008, subperiod 3 416 $(SP_{NY}3)$: 2009-2013, subperiod 4 $(SP_{NY}4)$: 2014-2019); **b**, NYC O₃-PM_{2.5} relationship for the different 417 subperiods (Colored by SAP mass fraction. The DA24 PM_{2.5} data were binned in increments of 5 µg 418 m^{-3} . The shaded area indicates 25%-75% data range of each bin. The O₃ concentration of each point was 419 obtained by averaging the 25%-75% data. All p-value < 0.01); c, The aerosol mass fraction for each 420 subperiod in NYC based on the AMS measurement from the representative year (2001 for SP_{NY}1, the 421 422 average of 2001 and 2009 for SP_{NY}2, 2011 for SP_{NY}3 and 2018 for SP_{NY}4. Black dash box indicates non-SAP compounds, including SOA, nitrate and nitrate-related-ammonia); d, Adjusted O₃-PM_{2.5} 423 relationship when removing the influence of variability in SAP (* means adjusted value. All p-value < 424 425 0.01).

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426 Figure 2. O₃ and PM_{2.5}, and related emissions in BJ and BTH. a, The 2014 and 2016 MEIC 427 anthropogenic emissions in BTH (including provinces of Beijing, Tianjin and Hebei) (Note: 2016 MEIC anthropogenic emissions was used for 2017 summertime simulation and also for showing the emission 428 variation trend); b, The time series of the average DA24 PM_{2.5} and top5% DA24 PM_{2.5} mass 429 concentrations (the dash boxes indicate the two subperiods with subperiod 1 (SP1): 2014-2016, 430 431 subperiod 2 (SP2): 2017-2019); c, The O₃ vs PM_{2.5} relationship for observations, CMAQ simulations with only NOx uptake, CMAO simulations with NOx and HO₂ uptake, and 50% abatements of SO₂ 432 emissions for 2017; d, The O₃-PM_{2.5} relationship of BJ for SP1 and SP2, and the adjusted O₃-PM_{2.5} 433

relationship based on the mass fraction in (c) (denoted by * in the legend, the PM_{2.5} data were binned in increments of 5 μ g m⁻³); e, The O₃ vs PM_{2.5} relationship of main urban cities in BTH for subperiod 1 and subperiod 2 (including Beijing, Tianjin, Shijiazhuang, Tangshan and Baoding, and the locations were shown in Figure S6. The error bar indicates 25-75% data range); f, The O₃ vs PM_{2.5} relationship for different simultaneous emission abatement scenarios based on CMAQ simulations with NOx and HO₂ uptake for 2017.

Figure 3. Diagram of the current and future O₃-PM_{2.5} relationship over NYC and BJ (The blue shaded area indicates the range in the O₃-PM_{2.5} slope, depending on the extent of SAP reductions. The DA24 PM_{2.5} data were binned in increments of 5 μ g m⁻³.).

Figure 4. O₃ and PM_{2.5}, and related emissions in YRD and PRD. a, The O₃ vs PM_{2.5} relationship of
main urban cities in YRD for SP1 (2014-2016) and SP2 (2016-2019) (The error bar indicates 25-75%
data range); b, The 2014 and 2016 MEIC anthropogenic emissions in YRD (including provinces of
Shanghai, Jiangsu and Zhejiang); c, The O₃ vs PM_{2.5} relationship of main urban cities in PRD for SP1
(2014-2016) and SP2 (2016-2019) (The error bar indicates 25-75% data range); d, The 2014 and 2016
MEIC anthropogenic emissions in PRD (including Guangzhou province).

449 FIGURES



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451 Figure 1. O₃ and PM_{2.5} in NYC. a, The time series of the average DA24 PM_{2.5} and top5% DA24 PM_{2.5} 452 mass concentrations in NYC for each subperiod specified in the main text (the dashed boxes indicate the 453 four subperiods with subperiod 1 (SP_{NY}1): 2001-2003, subperiod 2 (SP_{NY}2): 2004-2008, subperiod 3 (SP_{NY}3): 2009-2013, subperiod 4 (SP_{NY}4): 2014-2019); **b**, NYC O₃-PM_{2.5} relationship for the different 454 455 subperiods (Colored by SAP mass fraction. The DA24 PM_{2.5} data were binned in increments of 5 µg m^{-3} . The shaded area indicates 25%-75% data range of each bin. The O₃ concentration of each point was 456 457 obtained by averaging the 25%-75% data. All p-value < 0.01); c, The aerosol mass fraction for each 458 subperiod in NYC based on the AMS measurement from the representative year (2001 for SP_{NY}), the average of 2001 and 2009 for SP_{NY}2, 2011 for SP_{NY}3 and 2018 for SP_{NY}4. Black dash box indicates 459 non-SAP compounds, including SOA, nitrate and nitrate-related-ammonia); d, Adjusted O₃-PM_{2.5} 460 461 relationship when removing the influence of variability in SAP (* means adjusted value. All p-value < 0.01). 462





464 Figure 2. O3 and PM2.5, and related emissions in BJ and BTH. a, The 2014 and 2016 MEIC anthropogenic emissions in BTH (including provinces of Beijing, Tianiin and Hebei) (Note: 2016 MEIC 465 anthropogenic emissions was used for 2017 summertime simulation and also for showing the emission 466 variation trend); **b**, The time series of the average DA24 PM_{25} and top5% DA24 PM_{25} mass 467 468 concentrations (the dash boxes indicate the two subperiods with subperiod 1 (SP1): 2014-2016, subperiod 2 (SP2): 2017-2019); c, The O₃ vs PM_{2.5} relationship for observations, CMAO simulations 469 470 with only NOx uptake, CMAQ simulations with NOx and HO₂ uptake, and 50% abatements of SO₂ emissions for 2017; d, The O₃-PM_{2.5} relationship of BJ for SP1 and SP2, and the adjusted O₃-PM_{2.5} 471 relationship based on the mass fraction in (c) (denoted by * in the legend, the PM_{2.5} data were binned in 472 473 increments of 5 μ g m⁻³); e, The O₃ vs PM_{2.5} relationship of main urban cities in BTH for SP1 and SP2 474 (including Beijing, Tianjin, Shijiazhuang, Tangshan and Baoding, and the locations were shown in Fig. S5. The error bar indicates 25-75% data range); f, The O₃ vs PM_{2.5} relationship for different 475 476 simultaneous emission abatement scenarios based on CMAQ simulations with NOx and HO2 uptake for 477 2017.



- DA24 PM2.5 mass concentration (μg m⁻³)
 Figure 3. Diagram of the current and future O₃-PM_{2.5} relationship over NYC and BJ (The blue
- 480 shaded area indicates the range in the O_3 -PM_{2.5} slope, depending on the extent of SAP reductions. The
- 481 DA24 PM_{2.5} data were binned in increments of 5 μ g m⁻³.).



Figure 4. O₃ and PM_{2.5}, and related emissions in YRD and PRD. a, The O₃ vs PM_{2.5} relationship of
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Shanghai, Jiangsu and Zhejiang); c, The O₃ vs PM_{2.5} relationship of main urban cities in PRD for SP1
(2014-2016) and SP2 (2016-2019) (The error bar indicates 25-75% data range); d, The 2014 and 2016
MEIC anthropogenic emissions in PRD (including Guangdong province).