Statistical Analysis of Relationship between daytime Lidar-Derived Planetary Boundary Layer Height and Relevant Atmospheric Variables in the Semi-Arid Region in Northwest China

4	Ruijun Dang ¹ , Hong Li ¹ , Zhiguo Liu ² , and Yi Yang ^{1*}
5 6 7	¹ Key Laboratory of Arid Climatic Changing and Reducing Disaster of Gansu Province, College of Atmospheric Sciences, Lanzhou University, Lanzhou, Gansu 730000, China ² The Central Meteorological Observatory of Lanzhou , Lanzhou, 730020, China
8	
9	Nov 9th, 2015
10	Submitted to Advances in Meteorology
11 12 13 14 15	Corresponding author's address: *Yi Yang (Email: yangyi@lzu.edu.cn, Cell phone: +86-13893256933) College of Atmospheric Sciences, Lanzhou University, No.222 TianShui South Road, Lanzhou City, Gansu Province 730000, China
16	
17	
18	
19	
20 21	
21	
23	
24	
25	
26	
27	
28	
29	
30	
31 22	
22 22	
34	
35	
36	
37	
38	
39	
40	
41	

42 Abstract

43 Accurate identification of key parameters for data assimilation is important to simulate the 44 planetary boundary layer height (PBLH) and structure evolution in numerical weather prediction 45 models. In this study, surface observational data and lidar-derived PBLH on 42 cloudless days 46 from June 2007 to May 2008 are used to quantify the statistical relationships between surface 47 parameters and the PBLH at a semi-arid climate observational site in Northwest China. The results 48 indicate that surface upward long wave radiation, surface temperature, and surface sensible heat 49 fluxes show strong correlations with the PBLH with correlation coefficients at a range of 0.63-50 0.72. But these parameters show varying correlation response time to the different stages of PBL 51 development. Furthermore, the air temperature shows the highest correlation with the PBLH near 52 the surface and the correlation decreases with increasing height.

53 1. Introduction

54 The atmospheric boundary layer, also known as the planetary boundary layer (PBL) is the 55 turbulent layer near the Earth's surface. It is directly affected by the underlying surface 56 conditions and intimately associated with human activity [1]. In this layer, the atmosphere is always 57 in a turbulent status. The turbulence causes the transfers of momentum, heat, and moisture between 58 the surface and atmosphere. So the PBL is crucial to surface-atmosphere exchanges of substances 59 and energy. PBLH is of major relevance in boundary layer research as a key parameter 60 characterizing the structure of the boundary layer [2, 3]. Observations of the PBLH are 61 significant for theory and applications. It is closely related to turbulence. Accordingly it is not 62 observed by standard measurements and there is no such device as the PBL meter. It is currently 63 determined mainly from indirect measurements. Under clear conditions when atmosphere is in 64 neutral or unstable, wind velocity and potential temperature are well mixed in the PBL. In most 65 cases, they are usually constants in this layer. So the PBL is also known as the mixing layer. 66 However, there is a sharp increase in wind speed and potential temperature caused by the abrupt 67 decrease in turbulence intensity at the top of mixing layer [4]. The characteristics of wind speed and 68 potential temperature can be used to calculate the PBLH. Also, the PBL is moist relative to the 69 upper free atmosphere, and a strong gradient in relative humidity exists at the top of PBL, which

70 can also be used to determine the PBLH [5]. So the PBLH can be determined from different 71 instruments-derived profiles of thermodynamic variables like temperature, humidity, and horizontal 72 wind speed, however, only infrequently. The difficulty in directly observing the thermodynamic 73 structures of the atmosphere makes ground-based remote sensing technique an attractive choice. 74 For instance, lidar provides vertical profiles of backscatter from aerosol particles with high 75 temporal and spatial resolution in the atmosphere. The aerosol concentration within the PBL is 76 much higher than that in the free atmosphere. Therefore, a significant difference in aerosol 77 concentration exists between the top of the PBL and the free atmosphere, which is reflected in the 78 lidar echo signals as a sudden attenuation of the signal. On the basis of this characteristic of 79 aerosols in the PBL, aerosol particles can be used as tracers to determine the PBLH. However, in 80 the presence of optically thick clouds, the resulting PBLH using lidar data is unrealistic because of 81 the high signal gradient generated by the clouds [6, 7]. Therefore, in this paper clear sky conditions 82 are chosen to calculate PBLH from lidar data.

83 Retrieving PBLH from lidar data uses the gradient of the aerosol particle concentration, as the 84 backscatter signal generally decreases most rapidly at the top of the boundary layer. Many methods 85 have been used to obtain the PBLH from backscatter intensity, including the gradient method [8-86 10], the wavelet transform method [11-13], the standard deviation method [14], and the curve 87 fitting method [15, 16]. Each method has its advantages and limitations. The gradient method is 88 simple and easy to use, but rather sensitive to local minima in the profile either atmosphere- or 89 noise-induced which nearly always occur in a turbulent PBL [17]. The standard deviation method is 90 not suitable for the situation of weak inversion layer [18]. Although the curve fitting method is 91 relatively computationally expensive, it is barely affected by the local structure of the signal, and 92 generally get stable results. Therefore, curve fitting method is the best one for batch processing of 93 large amounts of data [16], and it is used to retrieve daytime PBLH in this paper.

The atmospheric boundary layer is largely governed by land surface processes, including the absorption of solar radiation by the land surface, transmission of heat energy to the atmosphere and soil, and mechanical processes. The surface temperature is an important external forcing factor on the thermal convection. Besides, the variation in surface temperature reflects the heating result of net radiation on the surface [19]. For net radiation, contribution of the long- and short-wave 99 components varies with atmospheric conditions. On sunny days, the upward long wave contributes 100 most to the net radiation, and contribution of upward shortwave is minimum [20]. Besides, the 101 development and maintaining of the thermal boundary layer mainly rely on the heat transmission 102 through the sensible heat flux [21]. Therefore, the radiation variables, surface temperature and 103 sensible heat flux make major contributions to the formation and development of the PBL [22-25]. 104 It may update the initial fields of these variables with PBLH assimilation into numerical model.

105 For PBLH assimilation in the numerical model with Ensemble Kalman Filter (EnKF), it need 106 to confirm which variables are well correlate with PBLH. In addition, the influence radius for 107 spatial and temporal are also should be set. So the focus of study is to find out the statistical 108 correlation between PBLH and conventional atmospheric variables using the routine observations 109 at the Semi-Arid Climate and Environment Observatory of Lanzhou University (SACOL), then 110 provide basis and support for PBLH assimilation in northwest China. By the limits of the single 111 observational point, we couldn't find out the radius of influence in horizontal direction. For the 112 vertical direction, we did the work using the vertical air temperature profile provided by a 113 Radiometrics profiling radiometer (TP/WVP-3000). The observations of variables and PBLH later 114 some hours are used to analyze the influence radius in time.

115 Considering the above, the works are as follows: 42 cloudless sunny days (non-precipitation, 116 without thunderstorm, no cloud or total-cloud covers is less than 20 percent all day and with a clear 117 structure of backscatter signals of lidar) are selected from June 2007 to May 2008, and the PBLH is 118 calculated by retrieving lidar data using the curve fitting method over the Lanzhou suburb in the 119 Yuzhong area at SACOL. The correlations between averages of variables and PBLH, as well as 120 lagged correlations between time series of variables and PBLH are calculated, to determine the 121 major variables affecting the formation and development of boundary layer, the correlation 122 coefficients of PBLH and air temperature at different heights are also calculated. Finally, taking 15 123 July 2007, 20 November 2007, 5 January 2008 and 9 April 2008 as typical examples in different 124 seasons, we investigate the temporal variations in PBLH and variables, and discuss specifically 125 how the atmospheric variables affect the development of the boundary layer and the time it takes

126 for the boundary layer to react to changes in the driving variables in different seasons.

127 2. Data and methods

128 The PBLH and statistical correlations in this paper are calculated from data collected at SACOL 129 (35.946°N, 104.137°E; 1961 m above sea level), which is near the city of Lanzhou on the southern 130 bank of the Yellow River, in a typical semi-arid region. The instruments include air temperature 131 and relative humidity (HMP45CL, Vaisalla), a Precision Infrared temperature radiometer (IRTS-P, 132 Apogee), upward and downward pyranometers (CM21, Kipp & Zonen), upward and downward 133 pyrgeometers (CG4, Kipp & Zonen), an atmospheric Pressure Sensor (RPT410F-3143, Druck), a 134 Radiometrics Profiling Radiometer (TP/WVP-3000, Radiometrics), and a Micro-Pulse Lidar 135 system (MPL-4, Sigma Space). The vertical spatial resolution of the radiometer providing air 136 temperature profiles is 100 m below 1 km and 250 m above 1 km. The MPL-4 has one 137 measurement channel at 527 nm, which records backscatter signals up to a height of 30 km with a 138 vertical resolution of 75 m. All the conventional atmospheric observations are subjected to basic 139 quality control (QC). Only observations with a relatively high accuracy are selected. The SACOL 140 MPL-4 has joined the MPLNET (Micro-Pulse Lidar Network) [26], and the observation follows the 141 relevant uniform rules. Meanwhile, a series of corrections such as background correction, overlap 142 correction and range correction have been done to lidar data [27].

143 The curve fitting method first proposed by Steyn [17] is used to retrieve PBLH from the lidar 144 data. The technique uses the gradient of the lidar backscatter signal and fits an idealized backscatter 145 profile B(z) to the observed backscatter profile b(z) by minimizing the measure of agreement 146 between the two profiles. The form of the idealized backscatter profile B(z) is

147
$$B(z) = \frac{(B_m + B_u)}{2} - \frac{(B_m - B_u)}{2} \operatorname{erf}\left(\frac{z - Z_m}{S}\right)$$
(1)

148 The error function (erf) defined as:

149
$$\operatorname{erf}(a) = \frac{2}{\sqrt{\pi}} \int_0^a e^{x p \cdot (z - z^2)} dz$$
 (2)

150 Where B_m and B_u are the mean backscatter in the mixed layer and in air immediately above the 151 mixed layer, respectively; Z_m is the depth of the mixed layer; S is related to the thickness of the 152 entrainment layer [28]. The four parameters are determined by minimizing the root-mean-square 153 deviation between B(z) and b(z). When the root-mean-square deviation gets the minimum, Z_m 154 represents PBLH.

155 3. Statistical correlations between PBLH and variables

156 3.1. Statistical correlations between averages. The dates chosen for PBLH retrieval and correlation 157 analysis are listed in Table 1. On these 42 cloudless sunny days, conventional observations are 158 complete; the lidar backscatter signals also have clear structure. To ensure representativeness, the 159 selected days are from all four seasons. Because some data are unavailable for 8–30 September 160 2007, the cases in autumn are relatively less. But the representativeness of the statistical 161 correlations aren't be affected.

162 163

 TABLE 1: Selected days for retrieving PBLH and for correlation analysis between PBLH and

 atmospheric variables from June 2007 to May 2008

June.	July	Aug.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May.
1	15	14	20	19	5	20	1	2	4
9	16	16	22	20	9	21	3	4	5
	22	19	28	22	15		13	6	11
		31	30				25	9	14
							26	17	26
								24	28
								25	31
								27	
								28	

164 Table 2 lists the Pearson correlation coefficients of the averages of different variables and PBLH 165 during 10:00 and 18:00 (Local standard time). It shows strong correlations between individual 166 thermal variables (e.g., surface air temperature, surface temperature, sensible heat flux and upward 167 and downward long wave and shortwave radiation) and PBLH, with correlation coefficients all 168 around 0.6 (significant at the 0.01 level). Surface relative humidity and atmospheric pressure are 169 negatively correlated with PBLH but their relevance is relatively low (r = -0.339 and -0.247, 170 respectively). The weakest correlation is between net radiation and PBLH. Figure 1 shows the 171 distribution of averages of variables and PBLH. It can be seen that the daily average of net

172 radiation changes very little, while the PBLH shows a clear change (see Figure 1 b). The overall 173 trends of atmospheric pressure and surface relative humidity are generally opposite to that of PBLH 174 although they change in tandem with PBLH sometimes (see Figure 1 c). Apart from these three 175 variables, Figure 1 shows that the overall trends are fairly consistent with the trend of PBLH. 176 TABLE 2: Statistical correlations between the averages of atmospheric variables and boundary layer 177 height from 10:00 to 18:00 (T0: surface air temperature; Ts: surface temperature; H: sensible heat 178 flux; RH: surface relative humidity; P: atmospheric pressure; R_{1u}, R_{su}, R_{ld}, R_{sd}: upward long wave 179 and shortwave radiation, and downward long wave and shortwave radiation, respectively; Rn: net 180 radiation; *r*: Pearson correlation coefficient)

variables	r
T0	0.707**
Ts	0.711**
Н	0.629**
RH	-0.339*
Р	-0.247
$ m R_{lu}$	0.753**
R_{ld}	0.545^{**}
R_{sd}	0.764^{**}
R_{su}	0.599**
R _n	-0.043

181 *Significant correlation at the 0.05 significance level

182 **Significant correlation at the 0.01 significance level





184 FIGURE 1: Distribution of averages of atmospheric variables and boundary layer height (see Table185 2 for abbreviations).

186 3.2. Statistical correlations between time series. In general, the atmospheric boundary layer appears 187 as a daytime convective layer and a stable boundary layer at night. As discussed by Ding [29] that 188 the boundary layer with a thick mixed layer is relatively stable before sunrise in northwest China. 189 After 08:00, the nighttime stable boundary layer is broken; the mixed layer starts to deepen. Around 190 10:00, because of thermally driven vertical mixing, the residual layer starts to disappear and the 191 mixed layer begins to develop rapidly. By noon, the convective boundary layer is established. Zhao 192 [30] estimated the PBLH in summer over the SACOL using lidar measurements and a numerical 193 model, and showed that the deepest boundary layer over SACOL occurs at around 17:00 and can 194 last until 18:00. Therefore, PBLH is selected every 30 minutes during 10:00-18:00, and 195 atmospheric variables are selected during 06:00-14:00, 07:00-15:00, 08:00-16:00, 09:00-17:00,

and 10:00–18:00 as time series to analyze the lagged statistical correlations between variables and
PBLH, as the boundary layer often develops after changes in these variables.

198 Statistical correlations between different atmospheric variables and PBLH with a lag of 1, 2, 3, 199 and 4 hours are listed in Table 3. There are significant correlations between thermal variables 200 (except net radiation) and PBLH, with Pearson correlation coefficients all above 0.6 (significant at 201 the 0.01 level), which is highly consistent with the results shown in Table 2. It is also clear that 202 stronger significant correlations exist between variables and the PBLH 2-3 hours later. The Pearson 203 correlation coefficients between surface temperature, surface air temperature and PBLH 2 hours 204 later are 0.7 ml 0.677, respectively. Upward long wave radiation, upward shortwave radiation, 205 and downward shortwave radiation are more highly correlated with PBLH 3 hours later. The 206 correlation coefficients are 0.723, 0.687, and 0.608, respectively. Downward long wave radiation is 207 different from the others. It is more highly correlated with PBLH at the same time. Besides, with 208 the correlation coefficient of 0.629, sensible heat flux highly correlates with PBLH at the same time 209 or later about 1 hour. The weakest correlation of the radiation variables is between net radiation and 210 PBLH, the strongest correlation is with PBLH 3 hours later. Atmospheric pressure and surface 211 relative humidity are both negatively correlated with PBLH, although the correlation is not as 212 significant as the case of the thermal variables. For lagging effect, the PBLH changes about 2 hours 213 after a change in relative humidity.

TABLE 3: Statistical correlations between different atmospheric variables and boundary layer
height at lag time of 1–4 hours (T0: surface air temperature; Ts: surface temperature; H: sensible
heat flux; RH: surface relative humidity; P: atmospheric pressure; R_{1u}, R_{su}, R_{id}, R_{sd}: upward long
wave and shortwave radiation, and downward long wave and shortwave radiation, respectively; R_n:
net radiation; *r*: Pearson correlation coefficients)

Variables	At same time	PBLH later 1 h	PBLH later 2 h	PBLH later 3 h	PBLH later 4 h
	r	r	r	r	r
Τ0	0.647**	0.667**	0.677**	0.677**	0.666**
Ts	0.677**	0.697**	0.704**	0.701**	0.684**
Н	0.629**	0.627**	0.605**	0.570**	0.518**
RH	-0.414**	-0.428**	-0.427**	-0.412**	-0.374**
Р	-0.311**	-0.290**	-0.251**	-0.202**	-0.156**

R _{lu}	0.569**	0.669**	0.716**	0.723**	0.709**
R _{ld}	0.507**	0.506**	0.499**	0.480**	0.461**
R _{sd}	0.285**	0.544**	0.676**	0.687**	0.657**
R _{su}	0.170**	0.406**	0.565**	0.608**	0.602**
R _n	-0.147**	0.179**	0.403**	0.452**	0.428**

*Significant correlation at the 0.05 significance level

**Significant correlation at the 0.01 significance level

221 To identify any relations and influence radius in the vertical direction, Table 4 shows the 222 statistical correlations between PBLH and air temperature at different heights for different times. At 223 10:00, only air temperature below 1000 m is correlated with PBLH, but after 12:00, air temperature 224 within 5000 m is significantly correlated with PBLH. Thermal forcing is the driving force for the 225 development of mixing layer in daytime (10:00-18:00). However, only small amount of solar 226 radiation is absorbed by air in the boundary layer, most (about 90%) are delivered to the surface. In 227 turn it forces PBL development through turbulent transport. In vertical direction, the forcing effect 228 of surface decreases with height, and temporal variation in air temperature at higher altitude is less 229 significant [1]. So the Pearson correlation coefficient is highest at the surface and decreases with 230 height at all times (see Table 4). Furthermore, the highest correlation between PBLH and air 231 temperature below (above) 1000 m occurs at 12:00 (14:00), the Pearson correlation coefficient is 232 0.748 (0.637). In addition, for the whole troposphere, the ground surface is the main heat source, 233 the air temperature in the free atmosphere changes with the surface variation. So at 14:00, there is 234 relative higher correlation between PBLH and air temperature at 5 km or more.

235 236

different times (r: Pearson correlation coefficient)

TABLE 4: Statistical correlations between PBLH and air temperature at different heights and at

Height	10:00	12:00	14:00	16:00	18:00
(m)	r	r	r	r	r
0	0.565**	0.748**	0.655**	0.638**	0.627**
100	0.545**	0.739**	0.655**	0.639**	0.617**
200	0.532**	0.727**	0.654**	0.632**	0.608**
300	0.519**	0.718**	0.653**	0.623**	0.601**
400	0.481**	0.708**	0.652**	0.610**	0.590**
500	0.446**	0.697**	0.647**	0.599**	0.582**
600	0.412**	0.683**	0.644**	0.585**	0.571**
700	0.385*	0.668**	0.642**	0.573**	0.558**
800	0.360*	0.654**	0.640**	0.563**	0.548**
900	0.341*	0.641**	0.639**	0.553**	0.539**
1000	0.322*	0.629**	0.637**	0.540**	0.529**

-	1250	0.275	0.592**	0.628**	0.5000**	0.496**	
	1500	0.252	0.575**	0.625**	0.477**	0.478**	
	1750	0.256	0.573**	0.627**	0.478**	0.475**	
	2000	0.255	0.565**	0.625**	0.470**	0.469**	
	2250	0.254	0.558**	0.624**	0.462**	0.460**	
	2500	0.257	0.557**	0.623**	0.458**	0.456**	
	2750	0.249	0.547**	0.618**	0.447**	0.446**	
	3000	0.256	0.551**	0.620**	0.447**	0.448**	
	3250	0.245	0.539**	0.611**	0.431**	0.432**	
	3500	0.247	0.537**	0.609**	0.427**	0.427**	
	3750	0.242	0.531**	0.604**	0.417**	0.419**	
	4000	0.251	0.534**	0.604**	0.420**	0.422**	
	4250	0.252	0.533**	0.600**	0.416**	0.419**	
	4500	0.250	0.530**	0.598**	0.412**	0.414**	
	4750	0.246	0.527**	0.595**	0.407**	0.409**	
	5000	0.247	0.528**	0.594**	0.408**	0.409**	

*Significant correlation at the 0.05 significance level

238 **Significant correlation at the 0.01 significance level

239 4. Cases analysis

To verify the statistical results and analyze the roles played by atmospheric variables in the
development of the boundary layer, four cloudless sunny days from different seasons, 09 April
2008, 15 July 2007, 20 November 2007 and 5 January 2008, are selected as typical spring, summer,
fall and winter examples for analysis.

244 4.1 Synoptic condition. Besides surface processes, synoptic condition is also an important factor 245 contributing to the overall height of boundary layer. The surface pressures at 14:00 for four cases 246 are shown in figure 2. And figure 3 shows the time-altitude cross-section of the backscatter 247 intensity, the red line represents retrieved PBLH with the curve fitting method. After 10:00, PBLH 248 begin to increase on all cases, higher PBLH appear before 10:00 on 9 April 2008 and 15 July 2007 249 (Figure 3 (a), (b)), which may be caused by cloud or the limitation of the method. It can be seen 250 from Figures 2 (a) and (b) that, Yuzhong region is controlled by weak low-surface pressure at 251 14:00 on 9 April 2008 and 15 July 2007. In these cases, the updrafts promote PBL development. 252 According to Figure 3 (a) and (b), the highest PBLH are 1850 m and 2150 m on the two cloudless 253 sunny days, respectively. They are relative higher than on other two cases. It also can be seen that 254 the area is controlled by the edge of high-pressure system at 14:00 on 20 November 2007 and 5 255 January 2008 from Figures 2 (c) and (d), which indicating aloft airflow convergence and surface **256** divergence. In those cases, the PBL developments are subsided and restricted, the highest PBLH 257 are 1100m and 860 m, respectively (see Figures 3 (c) and (d)). Meanwhile, Figure 3 also shows the 258 time that PBLH get the maximum. The times that peak values appear are 17:30, 15:30, 17:00 and 259 17:00, respectively. It is not difficult to understand that the difference on temporal variation in 260 PBLH is closely related to the differences on the land surface processes and the variation in 261 atmospheric variables. In addition, the difference between the height at which the signal reduced 262 fastest and the PBLH (retrieved with the curve fitting method) is small (Figure 3), the 263 corresponding time is also fairly consistent, which supports the choice of the curve fitting method 264 for retrieving PBLH on sunny days.



265

266 FIGURE 2: Surface pressure at (a) 14:00 BJT 9 April 2008, (b) 14:00 BJT 15 July 2007, (c) 14:00

BJT 20 November 2007, and (d) 14:00 BJT 5 January 2008. Black star denotes measurement site.



FIGURE 3: Time-altitude cross-sections of the backscatter on (a) 9 April 2008, (b) 15 July 2007, (c)
20 November 2007, and (d) 5 January 2008.

271 4.2 Temporal variation analysis. The temporal variations in radiation variables are plotted in Figure 272 4. The downward shortwave radiation is the part of the solar radiation that reaches the surface after 273 attenuation through the atmosphere. Then some is absorbed by the surface and the rest is reflected 274 back into the atmosphere, which is upward shortwave radiation. Therefore, to all cases, the upward 275 shortwave radiation always accompany the downward component. From Figure 4, it is obvious that 276 on the first two cases, short wave radiation have higher values than on the 20 November 2007 and 5 277 January 2008, which are caused by the seasonal variation of solar altitude angles (atmospheric 278 transparency is not considered in cloudless sunny days). Except the synoptic condition, shortwave 279 radiation contributes to the difference on PBLH to a certain extent as the ultimate source of energy.

Besides, for temporal variation, the downward and upward shortwave radiation reach their maximum values between 12:30 and 13:30, and then decrease rapidly. Although the temporal variations in shortwave radiation for all cases are similar, significant difference exit at the development and lag time of PBLH for different cases. The lag time of PBLH is less than 3 hours on 15 July 2007, while more than 3 hours for other three cases. Maybe differences on land process in different seasons contributes to the difference on the lag law of PBLH.

286 The upward long wave radiation change 0.5 to 1 hour later than the shortwave radiation, this is 287 because upward long wave radiation depends on surface temperature, and the various processes 288 occurring from the arrival of solar radiation at the surface to the surface reaching its maximum 289 temperature take some time. Obviously, for the case of 15 July 2007, the value of the upward long 290 wave radiation is biggest, and on the case of 5 January 2008 is smallest. On other two cases, the 291 values are somewhere in between. The peak values occur between 13:30 to 14:30, indicating that 292 the lag times of PBLH are 3.5, 1.5, 3.5 and 2.5 hours, respectively. The temporal variation in 293 downward long wave radiation is different from other radiation components. To all cases, the 294 variable increases until about 18:00 or after 17:00 the decreasing tendency begins to appear. 295 Besides, the temporal variation range of downward long wave radiation is also smaller than others. 296 The atmosphere absorbs both shortwave and long wave radiation, but mainly long wave radiation: 297 only 15%–25% of the shortwave radiation is absorbed. After greenhouse gases such as water vapor 298 and carbon dioxide in atmosphere absorbed the long wave radiation, the atmosphere is exothermic 299 and downward long wave radiation generates. So the radiation variable often reach to the peak 300 value latest. Meanwhile, the downward long wave radiation is strongly influenced by cloudiness 301 and air humidity. On cloudless sunny days, the intensity of downward long wave radiation is 302 relatively low and has a weak effect on surface heating. Accordingly, Table 3 shows that downward 303 long wave radiation is weaker related to PBLH than the other three radiation variables.

Figure 4 also shows net radiation, being different from the profile of daily average in Figure 1, it has an obvious daily variation and changes consistently with shortwave radiation on all cases. The variation in net radiation is the cumulative results of the components' variation in the radiation balance, but the shortwave radiation is the dominant variable. Therefore, the lagged law between net radiation and PBLH for different cases is similar to that between the shortwave radiation and 309 PBLH. The major factors that affect the net radiation are solar altitude angles, altitude, cloud cover 310 and surface albedo. The altitude and cloud cover are not considered in the study for cloudless sunny 311 days, the variation in solar altitude angles is the fundamental factor to the difference on net 312 radiation on different cases [31].

313 Figure 5 shows temporal variations in surface air temperature, surface infrared temperature, 314 sensible heat flux and surface wind speed. Relative to two temperature variables, PBLH shows 315 significant lag except on the case of 15 July 2007. On 17 April 2008, 20 November 2007 and 1 316 January 2008, the lag time is about 1, 2 and 2 hours, respectively. On 15 July 2007, two profiles 317 increase until about 17:00 and then begin to decrease, which are in line with the trend of PBLH. In 318 summer, turbulent exchange is stronger and heat exchange between surface and atmosphere is 319 faster, so the lag effect of PBLH does not show very well. The temporal variation in sensible heat 320 flux (SHF) is different from others, especially on the previous two cases it even changes 321 simultaneously with PBLH, on 20 November 2007 and 5 January 2008, PBLH changes about 1 322 hour later than the variable. The sensible heat flux is mainly determined by difference between 323 surface temperature, surface air temperature, and surface wind speed. According to Figure 5, the 324 sensible heat flux changes a little time later than the difference between surface temperature and 325 surface air temperature, but wind speed (red lines) keep increasing until 18:00, which leads to the 326 less lag time between PBLH and sensible heat flux. Besides that, the sensible heat is calculated 327 through surface air temperature, surface temperature and surface wind speed, the accumulated error 328 is inevitable.

329 The temporal variations in atmospheric pressure and surface relative humidity are shown in 330 Figure 6, opposite to the variation in PBLH. A comparison with the surface air temperature in 331 Figure 5 shows that the air temperature reach its maximum at the same time as relative humidity 332 reach its minimum, and the two quantities are highly negatively correlated. Similarly, the lag time 333 is about 1, 2, 2 hours on 17 April 2008, 20 November 2007 and 1 January 2008 respectively. On 15 334 July 2007, both variables decrease until about 16:00 when relative humidity maintain its minimum 335 and the tendency of the growth appear at about 17:00, while pressure keep decreasing until 18:00. 336 In addition, because the atmospheric pressure changes weakly, the correlation between it and PBLH 337 is not as strong as between PBLH and other variables.

338 The above results show that on all cases in different seasons, the temporal variations in all 339 variables correspond well to that of PBLH, with upward long wave radiation, surface temperature, 340 and surface air temperature having the closest correspondence. In time domain, difference exits at 341 lag time of PBLH among different cases, which is mainly caused by the seasonal variation in solar 342 altitude angles. In addition, turbulent exchange intensity is different in different seasons. However, 343 on the whole, to most variables, the lag time of PBLH is about 2 to 3 hours. PBLH changes about 3 344 hours later than shortwave radiation and net radiation. To upward long wave radiation, PBLH lags 345 2.5-3 hours. Relative to surface temperature, surface air temperature and surface relative humidity, 346 PBLH develops about 2 hours later. Besides, PBLH changes 1 hour or less lately than sensible heat 347 flux, while atmospheric pressure changes consistently with PBLH to all cases. The delays in 348 boundary layer response are related not only to the finite response times of the distribution, 349 transformation, and transmission in the atmosphere for surface radiation energy, but also to the lag 350 in aerosol delivery. Using lidar data, PBLH is identified by the vertical distribution of aerosol. 351 However, upward transport of aerosol only begins after sunrise, when the boundary layer has 352 developed in response to thermodynamic factors. In the afternoon, the true PBLH declines rapidly 353 with the weakening of solar radiation, but the PBLH retrieved from the profile of aerosol decreased 354 slowly. In addition, delays in PBLH may also reflect the influence of dynamical factors such as 355 wind shear. Northwest China is in a region dominated by westerlies, and the atmospheric 356 circulation background that influences the formation and development of the boundary layer has 357 some special characteristics [32]. However, the correlation between wind shear and PBLH is not 358 considered because of the limitations of the wind data.



FIGURE 4: Temporal variations in boundary layer height (PBLH), downward shortwave radiation (R_{sd}), upward shortwave radiation (R_{su}), downward long wave radiation (R_{ld}), upward long wave radiation (R_{lu}), and net radiation (R_n) on (a) 9 April 2008, (b) 15 July 2007, (c) 20 November 2007, and (d) 5 January 2008.

364

359

365



FIGURE 5: Temporal variations in boundary layer height (PBLH), sensible heat flux (H), surface
temperature (Ts), surface air temperature (T0) and surface wind speed (WS) on (a) 9 April 2008, (b)

- 369 15 July 2007, (c) 20 November 2007, and (d) 5 January 2008.



374

FIGURE 6: Temporal variations in boundary layer height (PBLH), surface relative humidity (RH),
and atmospheric pressure (P) on (a) 9 April 2008, (b) 15 July 2007, (c) 20 November 2007, and (d)
January 2008.

378 5. Conclusions

In this study, the statistical relationships between surface parameters and the PBLH are quantified using surface observational data and lidar-derived PBLH on 42 cloudless days from June 2007 to May 2008. Meanwhile, the vertical dependence of PBLH on air temperature is also investigated. Then, using four typical cases in different seasons studies 15 July 2007, 20 November 2007, 5 January 2008 and 9 April 2008, the variables responsible for the development of the boundary layer and the lagged correlations between temporal changes of these variables and PBLH areinvestigated. The conclusions of the study are as follows.

386 (1) Among the atmospheric variables (not including dynamic factors), thermal variables such 387 as radiation variables surface temperature and sensible heat flux have more significant positive 388 correlations with PBLH. The response time to thermal forcing of the surface and atmosphere, 389 together with aerosol transmission delay, means that the development of the boundary layer lags 390 behind changes in the driving variables, with different lag times for different variables.

(2) On different cases, the lag correlation laws between PBLH and variables are different (especially on 15 July 2007), but on the whole, only downward long wave radiation changes synchronous with PBLH. Changes in the boundary layer occur 3 hours later than changes in downward, upward shortwave radiation, upward long wave radiation and net radiation. The lag time of PBLH is about 2 hours relative to surface temperature and surface air temperature, and PBLH lags about 1 hour than surface sensible heat flux.

397 (3) Surface relative humidity and atmospheric pressure are weaker negatively correlated with398 PBLH, PBLH changes about 2 hours later than surface relative humidity.

(4) The vertical dependence of PBLH on air temperature is greatest near the surface and
decreases with height. The most significant correlation between air temperature below (above)
1000 m and PBLH occurs at 12:00 (14:00).

402 While these conclusions are important, there are still many unresolved problems. Firstly, curve 403 fitting is an effective method for calculating PBLH from lidar data, but is limited to cloudless sunny 404 days. The number of days and their seasonal distribution are constrained by data availability, so 405 while the statistical results are representative they also have some limitations. Secondly, although 406 wind shear, a major dynamical factor, affects the thermal transmission and diffusion capacity of the 407 atmosphere and is significantly correlated with the development of the boundary layer in theory, it 408 is not considered here because of the limited amount of data available. This analysis focus on 409 finding out the statistical correlation between PBLH and conventional atmospheric variables 410 according to the directly routine observations at SACOL to provide basis and support for the 411 assimilation of PBLH in northwest China, it is not possible enough to comprehensively characterize 412 the meteorological conditions affecting the development of the boundary layer in the Yuzhong area.

413 **Conflict of Interests**

414 The authors declare that there is no conflict of interests of regarding the publication of this paper.

415 Acknowledgements

We would like to thank the Semi-Arid Climate and Environment Observatory of Lanzhou
University (SACOL) for providing the observation data. This research was supported by the
National Natural science Foundation of China (Grant no. 41375109) and Arid Meteorology science
Foundation of Institute of Arid Meteorology, China Meteorological Administration (Grant no.
IAM201513).

421

422 **References**

- 423 [1] R. B. Stull, An introduction to boundary layer meteorology, Springer Science & Business Media, 1988.
- 424 [2] Q. Zhang, "Review of Atmospheric Boundary Layer Meteorology," *Arid Meteorology*, vol. 21, no. 3, pp. 0074425 0078, 2003.
- 426 [3] X. M. Hu, J. W. Nielsen-Gammon, and F. Zhang, "Evaluation of three planetary boundary layer schemes in the
 427 WRF model," *Journal of Applied Meteorology and Climatology*, vol. 49, no. 9, pp. 1831-1844, 2010.
- 428
- 429 [4] S. E. Gryning, E. Batchvarova, "Parametrization of the depth of the entrainment zone above the daytime mixed
- 430 layer," Quarterly Journal of the Royal Meteorological Society, vol. 120, no. 515, pp. 47-58,1994,
- 431 [5] A. B. White, C. W. Fairall and D. W. Thomson, "Radar observations of humidity variability in and above the
- 432 marine atmospheric boundary layer," Journal of Atmospheric and Oceanic Technology, vol. 8, no. 5, pp.
 433 639-658, 1991.
- 434 [6] K. J. Davis, N. Gamage, C. R. Hagelberg et al., "An objective method for deriving atmospheric structure from airborne lidar
 435 observations," *Journal of Atmospheric and Oceanic Technology*, vol. 17, no. 11, pp,1455-1468,2000.
- 436 [7] B. Hennemuth and A. Lammert, "Determination of the atmospheric boundary layer height from radiosonde and lidar
 437 backscatter," *Boundary-Layer Meteorology*, vol. 120, no. 1, pp. 181-200, 2006.
- 438 [8] K. L. Hayden, K. G. Anlauf, R. M. Hoff et al., "The vertical chemical and meteorological structure of the
 439 boundary layer in the Lower Fraser Valley during Pacific'93," *Atmospheric Environment*, vol. 31, no. 14, pp.
 440 2089-2105, 1997.
- 441 [9] V. Wulfmeyer, "Investigation of turbulent processes in the lower troposphere with water vapor DIAL and radar-
- 442 RASS," *Journal of the atmospheric sciences*, vol. 56, no. 8, pp. 1055-1076, 1999.

- 443 [10] J. Huang and J. Wu, "MW-FRA and its pump optimization," *Study of Optical Communication*, no. 2, pp. 53-55,
 444 2005.
- 445 [11] S. A. Cohn and W. M. Angevine, "Boundary layer height and entrainment zone thickness measured by lidars
- 446 and wind-profiling radars," *Journal of Applied Meteorology*, vol. 39, no. 8, pp. 1233-1247, 2000.
- 447 [12] I. M. Brooks, "Finding boundary layer top: Application of a wavelet covariance transform to lidar backscatter
- 448 profiles," *Journal of Atmospheric and Oceanic Technology*, vol. 20, no. 8, pp. 1092-1105, 2003.
- 449 [13] H. Li, Y. Ma, and Y. Yang, "Study on Retrieval of Boundary Layer Using Wavelet Transformation Method
 450 Based on Lidar Data," *Arid Meteorology*, vol. 33, no. 1, pp. 78-88, 2015.
- 451 [14] W. P. Hooper and E. W. Eloranta, "Lidar measurements of wind in the planetary boundary layer: the method,
- 452 accuracy and results from joint measurements with radiosonde and kytoon," *Journal of climate and applied*453 *meteorology*, vol. 25, no. 7, pp. 990-1001, 1986.
- 454 [15] D. G. Steyn, M. Baldi, and R. M. Hoff, "The detection of mixed layer depth and entrainment zone thickness
- 455 from lidar backscatter profiles," *Journal of Atmospheric and Oceanic Technology*, vol. 16, no. 7, pp. 953-959,
 456 1999.
- 457 [16] L. Wang, C. Xie, Y. Han et al., "Comparison of Retrieval Methods of Planetary Boundary Layer Height from
 458 Lidar Data," *Journal of Atmospheric and Environmental Optics*, vol. 7, no. 4, pp. 241-247, 2012.
- 459 [17] L. Wang, C. Xie, Z. Wang et al., "Application of Gradient Method to Detect Height Distribution of Atmospheric
- 460 Boundary Layer with Lidar," *Journal of Atmospheric and Environmental Optics*, vol. 7, no. 3, pp. 161-167, 2012.
- 461 [18] C. Huang, x. Song, and Z. Liu, "Development of Atmospheric Boundary Layer Detection based on Lidar Data",
 462 2012.
- 463 [19] Y. Hu and Y. Gao, "Some new knowledge to land surface processes in arid region," *Acta Meteor Sinica*, vol. 52, no. 3, pp. 285-296, 1994.
- 465 [20] T. Tang, L. Wang, and X. Wen, "A Study of the Radiation and Surface Energy Balance Around the Ngoring
 466 Lake in Source Regions of the Yellow River," *Journal of Glaciology and Geocryology*, vol. 35, no. 6, pp. 1462467 1473, 2013.
- 468 [21]Q. Zhang and X. Cao, "The Influence of Synoptic Conditions on the Averaged Surface Heat and Radiation
 469 Budget Energy over Desert or Gobi," *Chinese Journal of Atmospheric Sciences*, vol. 27, no. 2, pp. 245-254, 2003.
 470 [22] J. Qiao, "The Temporal and Spatial Characteristics of Atmospheric Boundary Layer and Its Formation
- 471 Mechanism over Arid Region of Northwest China," *Chinese Academy of Meteorological Sciences*, DA, China,
 472 2009.
- 473 [23] Q. Zhang, J. Zhang, J. Qiao et al., "Relationship of atmospheric boundary layer depth with the thermodynamic
 474 processes at the land surface in arid regions of China," *Science China Earth Sciences*, vol. 41, no. 9, pp. 1365475 1374, 2011.

- 476 [24] J. Zhang, Q. Zhang, and C. Tang, "Temporal variety of boundary layer height over deep arid region and the
- 477 relations with energy balance," *Acta Ecologica Sinica*, vol. 33, no. 8, pp. 2545-2555, 2013.
- 478 [25] C. Zhao, S. Lv, Z. Li et al., "Numerical Simulation of Influence of Land Surface Thermal Condition on Badain
- 479 Jaran Desert Atmospheric Boundary Layer Height in Summer," *Plateau Meteorology*, vol. 33, no. 6, pp. 1526480 1533, 2014.
- 481 [26] E. J. Welton, J. R. Campbell, J. D. Spinhirne, and V. S. Scott, "Global monitoring of clouds and aerosols using a network of micropulse lidar systems," *Proc. Lidar Remote Sensing for Industry and Environmental Monitoring, Sendai, Japan, SPIE*, Vol. 4153, PP.151–158, 2001.
- 484 [27] Z. Huang, J. Huang, J. Bi et al. "Dust aerosol vertical structure measurements using three MPL lidars during
- 485 2008 China US joint dust field experiment," *Journal of Geophysical Research: Atmospheres (1984–2012)*,
 486 115(D7), 2010.
- 487 [28] E. Nelson, R. Stull, and E. Eloranta, "A prognostic relation for entrainment zone thickness," *Journal of applied*488 *meteorology*, vol. 28, no. 9, pp. 885-903, 1989.
- 489 [29] H. Ding, "Measurements of aerosol vertical profiles and the mixed layer height using a micro pulse lidar,"
- 490 *Nanjing University of Information science & Technology*, Nanjing, DA, China, 2012.
- 491 [30] S. Zhao, L. Zhang, Z. Wang et al., "Boundary Layer Height Estimate in summer over the Lanzhou Suburb in
- 492 Yuzhong Area Using Lidar Measurement and Numerical Model," *Climatic and Environmental Research*, vol. 17,
 493 no. 5, pp. 523-531, 2012.
- 494 [31] R. Wu and Y. Ma, "Comparative Analyses on Radiation Characteristic in Different Areas over the Tibetan

495 Plateau," *Plateau Meteorology*, vol. 29, no. 2, pp. 251-259, 2010.

- 496 [32] Q. Zhang and S. Wang, "A study on atmospheric boundary layer structure on a clear Day in the arid region in
- 497 Northwest China," *Acta Meteorologica Sinica*, vol. 66, no. 4, pp. 599-608, 2008.