Statistical Analysis of Relationship between daytime Lidar-Derived Planetary Boundary Layer Height and Relevant Atmospheric Variables in the Semi-Arid Region in Northwest China

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42 Abstract

<mark>43</mark> For boundary layer parameterization and boundary layer height (BLH) assimilation in numerical <mark>44</mark> model, analyzing which atmospheric variables well correlate with BLH and how far the influence **45** radius of variables is in spatial and temporal domain is very meaningful, which can be used to 46 adjust the initial conditions. The daytime BLH on 42 cloudless sunny days from June 2007 to May 47 2008 over Lanzhou suburb in the Yuzhong area (China) was measured at the Semi-Arid Climate 48 and Environment Observatory of Lanzhou University. BLH was retrieved from Micro Pulse Lidar 49 (MPL-4) data using the curve fitting method, and correlations were calculated between averages, **50** as well as time series of BLH and the atmospheric variables. Most thermal variables (e.g., 51 radiation variables and surface temperature, but not net surface radiation and sensible heat flux) 52 were significantly correlated with BLH about 2–3 hours later. The two highest correlations 53 occurred between surface upward long wave radiation and BLH 3 hours later (r = 0.723, where r 54 is the Pearson correlation coefficient), and between surface temperature (r = 0.704) and BLH 2 55 hours later, while sensible heat flux significantly correlated with BLH less than 1 hour later (r =56 0.629). Surface relative humidity and atmospheric pressure were weaker negatively correlated 57 with BLH. In spatial domain, the correlation between air temperature and BLH was highest 58 (without a lag) near the ground, and decreased with height.

59 1. Introduction

60 The atmospheric boundary layer, also known as the planetary boundary layer (PBL), is that part of 61 atmosphere closest to the Earth's surface and is directly affected by the underlying surface <mark>62</mark> conditions and is intimately associated with human activity [1]. The atmosphere is always in a <mark>63</mark> turbulent status, and the transfer of momentum, heat, and moisture between the surface and <mark>64</mark> atmosphere depends on turbulence; consequently, the boundary layer is crucial to surface-<mark>65</mark> atmosphere exchanges of substances and energy. The boundary layer height (BLH) is of major 66 relevance in boundary layer research as a key parameter characterizing the structure of the 67 boundary layer [2, 3]. The height and structure of boundary layer is closely related to the 68 distribution of air temperature and atmospheric stability. Under clear conditions when atmosphere **69** is in neutral or unstable, BLH is more or less identical with the mixed layer height (MLH), which is defined as the height up to the bottom of the inversion layer that prevents the thermally driven
vertical turbulent mixing process. So the gradients of conservative variables such as potential
temperature, specific humidity and the concentration of aerosol particle are often regarded as
suitable signs to identify the height of mixed layer (also BLH).

74 Of all indirect methods, lidar is an effective technique for detecting the troposphere, many 75 studies have shown that lidar can be used to provide reasonably accurate estimates of the BLH [4-76 6]. In recent years, with the intensification of and increasing emphasis on urban pollution, lidar has 77 become much more widely used to detect the urban boundary layer as its detection capabilities have 78 improved [7-9] and because of its high temporal and spatial resolution. In addition, compared with 79 traditional atmospheric detectors, lidar has the advantages of operating over a greater height than 80 sodar and meteorological towers, and providing long-term continuous observation, unlike 81 radiosonde and aircraft platforms. However, the clouds locating at the top of the boundary layer can 82 result in lidar backscatter signal attenuation due to cloud particles, and it is difficult to determine if 83 attenuation is caused by cloud or aerosol gradient [10]. Therefore, in this paper clear sky conditions 84 were chosen to calculate BLH from lidar data.

85 Retrieving BLH from lidar data uses the gradient of the aerosol particle concentration existing 86 at MLH, as the backscatter signal generally decreases most rapidly at the top of the boundary layer. 87 Many methods have been used to obtain the BLH from backscatter intensity, including the gradient 88 method [11-13], the wavelet transform method [14-16], the standard deviation method [17], and the 89 curve fitting method [18, 19]. Each method has advantages and disadvantages. For example, the 90 gradient method only provides comparatively accurate BLH when the boundary layer varies 91 obviously, if this is not the case or if there is low cloud, obtaining fairly accurate BLH is very 92 difficult [20], while the standard deviation method is not suitable for the situation of weak inversion 93 layer [21]. Although the curve fitting method is relatively computationally expensive, it is 94 insensitive to input parameters and is barely affected by the local structure of the signal, and 95 generally the extracted results are stable. Therefore, for batch processing of large amounts of data, 96 curve fitting is the best method [19] and was used to retrieve daytime BLH in this paper.

97 In theory, the boundary layer is categorized into the atmospheric thermal boundary layer and98 the neutral boundary layer, however, the earth's atmosphere mainly behaves as a thermal boundary

99 layer, and occurrences of a neutral boundary layer are rare. The atmospheric thermal boundary 100 layer is largely governed by land surface processes, including the absorption of solar radiation by 101 the land surface, transmission of heat energy to the atmosphere and soil, and mechanical processes. 102 The surface temperature is an important external forcing for the atmosphere causing convection 103 [22], which depends on the surface heating determined by the radiation budget. The dependence of 104 the net radiation on the long- and shortwave components varies with atmospheric conditions: on 105 sunny days, the upward long wave contributes most to the net radiation, followed by downward 106 shortwave, downward long wave and finally upward shortwave [23]. Besides, the development and 107 maintaining of the thermal boundary layer mainly rely on the heat transmission through the sensible 108 heat flux [24]. Therefore, all the radiation variables, together with surface temperature and sensible 109 heat flux, make major contributions to the formation and development of the boundary layer, and 110 are closely correlated with the BLH [25-28], so it will be meaningful to assimilate the observations 111 of variables that contributes to BLH into the numerical model, and adjust the initial conditions for 112 assimilating BLH, which will provide stronger evidence for boundary layer parameterization and 113 BLH assimilation.

114 For BLH assimilation in the numerical model, what should be mastered is that which variables 115 well correlate with BLH, as well as how far the influence radius of variables is in the horizontal, 116 vertical directions and in time domain. So the focus of study is to find out the statistical correlation 117 between BLH and conventional atmospheric variables using the routine observations at the Semi-118 Arid Climate and Environment Observatory of Lanzhou University (SACOL), then provide basis 119 and support for BLH assimilation in northwest China. By the limits of the single observational 120 point, we couldn't find out the radius of influence in horizontal direction. For the vertical direction, 121 we did the work using the vertical air temperature profile provided by a Radiometrics profiling 122 radiometer (TP/WVP-3000). The observations of variables and BLH later some hours were used to 123 analyze the influence radius in time.

124 Considering the above, the works are as follows: 42 cloudless sunny days (non-precipitation, 125 without thunderstorm, no cloud or total-cloud covers is less than 20 percent all day and with a clear 126 structure of backscatter signals of lidar) were selected from June 2007 to May 2008, and the BLH 127 was calculated by retrieving lidar data using the curve fitting method over the Lanzhou suburb in 128 the Yuzhong area at SACOL. The correlations between averages of variables and BLH, as well as 129 lagged correlations between time series of variables and BLH were calculated, to determine the 130 major variables affecting the formation and development of boundary layer, the correlation 131 coefficients of BLH and air temperature at different heights were also calculated. Finally, taking 15 132 July 2007, 20 November 2007, 5 January 2008 and 9 April 2008 as typical examples in different 133 seasons, we investigated the temporal variations in BLH and variables, and discussed specifically 134 how the atmospheric variables affect the development of the boundary layer and the time it takes 135 for the boundary layer to react to changes in the driving variables in different seasons.

136 2. Data and methods

137 The BLH and statistical correlations in this paper were calculated from data collected at SACOL 138 (35.946°N, 104.137°E; 1961 m above sea level), which is near the city of Lanzhou on the southern 139 bank of the Yellow River, in a typical semi-arid region. The main instruments providing the data 140 include air temperature and relative humidity (HMP45CL, Vaisalla), a Precision Infrared 141 temperature radiometer (IRTS-P, Apogee), upward and downward pyranometers (CM21, Kipp & 142 Zonen), upward and downward pyrgeometers (CG4, Kipp & Zonen), an atmospheric Pressure 143 Sensor (RPT410F-3143, Druck), a Radiometrics Profiling Radiometer (TP/WVP-3000, 144 Radiometrics), and a Micro-Pulse Lidar system (MPL-4, Sigma Space). The vertical spatial 145 resolution of the radiometer providing air temperature profiles is 100 m below 1 km and 250 m 146 above 1 km. The lidar wavelength is 527 nm, its spatial resolution is 75 m, and the temporal 147 resolution is 30 min. Level 1.0 data are used, which record backscatter intensity from the ground to 148 a height of 10 km. For all the conventional atmospheric variables, after basic quality control 149 process, observations with a relatively high accuracy were selected. The micro-pulse lidar (MPL-4) 150 in SACOL has been a number of the Micro-Pulse Lidar Network (MPLnet) [29] lidars, 151 observations follow the uniform rules of MPL-net. Meanwhile, for lidar data, a series of corrections 152 have been done such as background correction, overlap correction and range correction [30]. 153 The curve fitting method first proposed by Steyn [20] is used to retrieve BLH from the lidar 154 data. The technique uses the gradient of the lidar backscatter signal and fits an idealized backscatter

155 profile B(z) to the observed backscatter profile b(z) by minimizing the measure of agreement 156 between the two profiles. The form of the idealized backscatter profile B(z) is

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$$B(z) = \frac{(B_m + B_u)}{2} - \frac{(B_m - B_u)}{2} \operatorname{erf}\left(\frac{z - Z_m}{S}\right),$$

158 Where B_m and B_u are the mean backscatter in the mixed layer and in air immediately above the 159 mixed layer, respectively; Z_m is the depth of the mixed layer; *S* is related to the thickness of the 160 entrainment layer [31], which is usually defined to be the layer in which the mixing ratio of 161 boundary layer and overlying air lies in the range 0.05–0.95. The ordinates of the error function 162 thus dictate that entrainment zone thickness $EZT = 2.77 \times S$ [18]. The four parameters are 163 determined by minimizing the root-mean-square difference between the two profiles.

For the technique, what should be considered is that the change of B_m (the mean backscatter in the mixed layer) greatly influences the effect of fitting [19], which may leads to the estimated error of the BLH. Furthermore, although the curve fitting method has advantages to retrieve BLH as described in last section, the technique can only detect boundary layer structures when the aerosols play as targets or tracers for lidar backscatter [18].

169 3. Statistical correlations between BLH and variables

170 3.1. Statistical correlations between averages. The dates chosen for BLH retrieval and correlation 171 analysis are listed in Table 1. There are 42 cloudless sunny days with good atmospheric visibility, 172 on which complete observations were made and the backscatter signals have a clear structure. To 173 ensure representativeness, the selected days are from all four seasons, but because there are many 174 cloudy and wet days in autumn, and some data were unavailable for 8–30 September 2007, there 175 are relatively few days in autumn; however, this does not affect the representativeness of the 176 statistical correlations between the atmospheric variables and BLH.

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TABLE 1: Selected days for retrieving BLH and for correlation analysis between BLH andatmospheric variables from June 2007 to May 2008

J	une.	July	Aug.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May.
	1	15	14	20	19	5	20	1	2	4
	9	16	16	22	20	9	21	3	4	5

22	19	28	22	15	13	6	11
	31	30			25	9	14
					26	17	26
						24	28
						25	31
						27	
						28	

179 Table 2 lists the Pearson correlation coefficients of the averages of different variables and BLH 180 during 10:00 and 18:00 (Local standard time). It shows strong correlations between individual 181 thermal variables (e.g., surface air temperature, surface temperature, sensible heat flux and upward 182 and downward long wave and shortwave radiation) and BLH, with correlation coefficients all 183 around 0.6 (significant at the 0.01 level). Surface relative humidity and atmospheric pressure are 184 negatively correlated with BLH but their relevance is relatively low (r = -0.339 and -0.247, 185 respectively). The weakest correlation is between net radiation and BLH. The distribution of 186 averages of variables and BLH are plotted in Figure 1, showing little change in the daily average of 187 net radiation (Figure 1(b)), whereas the BLH shows a clear change. The overall trends of 188 atmospheric pressure and surface relative humidity are generally opposite to that of BLH although 189 they change in tandem with BLH some times. Apart from these three variables, the overall trends 190 are fairly consistent with the trend of BLH.

191 TABLE 2: Statistical correlations between the averages of atmospheric variables and boundary layer

height from 10:00 to 18:00 (SAT: surface air temperature; ST: surface temperature; SHF: sensible

heat flux; SRH: surface relative humidity; AP: atmospheric pressure; ULR, USR, DLR, DSR:

upward long wave and shortwave radiation, and downward long wave and shortwave radiation,

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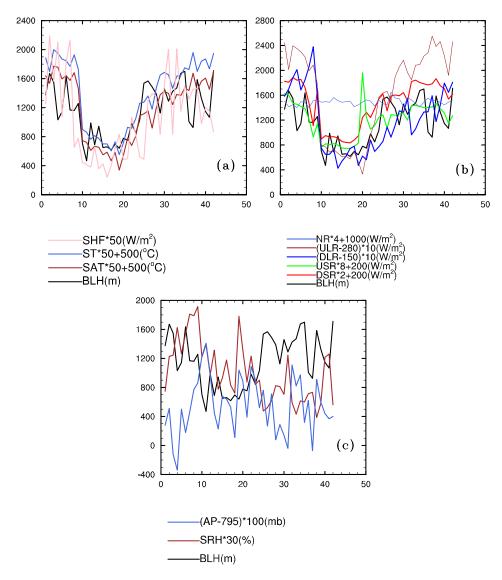
respectively; NR: net radiation; r: Pearson correlation coefficient; l: level of significance)

variables	R	L
SAT	0.707**	0.000
ST	0.711**	0.000
SHF	0.629**	0.000
SRH	-0.339*	0.028
AP	-0.247	0.114
ULR	0.753**	0.000
DLR	0.545**	0.000

DSR	0.764**	0.000
USR	0.599**	0.000
NR	-0.043	0.788

196 *Significant correlation at the 0.05 level

197 **Significant correlation at the 0.01 level



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FIGURE 1: Distribution of averages of atmospheric variables and boundary layer height (see Table200 2 for abbreviations).

3.2. Statistical correlations between time series. In general, the atmospheric boundary layer appears
as a daytime convective layer and a stable boundary layer at night. As discussed by Ding [32] that
the boundary layer is relatively stable before sunrise in northwest China, with a thick mixed layer.
After 08:00, the nighttime stable boundary layer breaks up, and the mixed layer starts to deepen;
around 10:00, it begins to develop rapidly and the residual layer starts to disappear because of

thermally driven vertical mixing; the convective boundary layer is established by noon. Zhao [33]
estimated the BLH in summer over the SACOL using lidar measurements and a numerical model,
and showed that the deepest boundary layer over SACOL occurs at around 17:00 and can last until 18:00. Therefore, BLH was selected every 30 minutes during 10:00–18:00, and atmospheric
variables were selected during 06:00–14:00, 07:00–15:00, 08:00–16:00, 09:00–17:00, and 10:00–
18:00 as time series to analyze the lagged statistical correlations between variables and BLH, as the
boundary layer often develops after changes in these variables.

213 Statistical correlations between different atmospheric variables and BLH with a lag of 1, 2, 3, 214 and 4 hours are listed in Table 3. There are significant correlations between thermal variables 215 (except net radiation) and BLH, with Pearson correlation coefficients all above 0.6 (significant at 216 the 0.01 level), which is highly consistent with the results shown in Table 2. It is also clear that 217 stronger significant correlations exist between variables and the BLH 2-3 hours later. The Pearson 218 correlation coefficients between surface temperature, surface air temperature and BLH 2 hours later 219 are 0.704 and 0.677. Upward long wave radiation, upward shortwave radiation, and downward 220 shortwave radiation are more highly correlated with BLH 3 hours later, with correlation 221 coefficients of 0.723, 0.687, 0.608, respectively; downward long wave radiation is different from 222 the others and is more highly correlated with BLH measured at the same time. Besides, with the 223 correlation coefficient of 0.629, sensible heat flux highly correlates with BLH at the same time or 224 later about 1 hour. The weakest correlation of the radiation variables is between net radiation and 225 BLH; here, the strongest correlation is with BLH 3 hours later. Atmospheric pressure and surface 226 relative humidity are both negatively correlated with BLH although the correlation is not as 227 significant as the case of the thermal variables; the BLH changes about 2 hours after a change in 228 relative humidity.

TABLE 3: Statistical correlations between different atmospheric variables and boundary layer
height at lag time of 1–4 hours (SAT: surface air temperature; ST: surface temperature; SHF:
sensible heat flux; SRH: surface relative humidity; AP: atmospheric pressure; ULR, USR, DLR,
DSR: upward long wave and shortwave radiation, and downward long wave and shortwave
radiation, respectively; NR: net radiation; *r*: Pearson correlation coefficients; *l*: Significance level)

	At sar	ne time	BLH later 1 h		BLH later 2 h		BLH later 3 h		BLH later 4 h	
variables	r	l	r	L	r	l	r	l	r	L
SAT	0.647**	0.000	0.667**	<mark>0.000</mark>	0.677**	0.000	0.677**	0.000	<mark>0.666**</mark>	0.000
<u>ST</u>	0.677**	0.000	0.697**	<mark>0.000</mark>	0.704**	0.000	0.701**	0.000	0.684**	0.000
SHF	0.629**	0.000	0.627**	<mark>0.000</mark>	0.605**	0.000	0.570**	0.000	0.518**	<mark>0.000</mark>
<u>SRH</u>	<mark>-0.414**</mark>	0.000	<mark>-0.428**</mark>	<mark>0.000</mark>	<mark>-0.427**</mark>	0.000	-0.412**	0.000	<mark>-0.374**</mark>	<mark>0.000</mark>
AP	<mark>-0.311**</mark>	<mark>0.000</mark>	<mark>-0.290**</mark>	<mark>0.000</mark>	-0.251**	0.000	<mark>-0.202**</mark>	0.000	<mark>-0.156**</mark>	<mark>0.000</mark>
ULR	0.569**	0.000	0.669**	<mark>0.000</mark>	0.716**	0.000	0.723**	0.000	<mark>0.709**</mark>	<mark>0.000</mark>
DLR	0.507**	0.000	0.506**	0.000	0.499**	0.000	0.480**	0.000	<mark>0.461**</mark>	0.000
DSR	0.285**	0.000	0.544**	0.000	0.676**	0.000	0.687**	0.000	0.657**	0.000
USR	0.170**	0.000	0.406**	0.000	0.565**	0.000	0.608**	0.000	0.602**	0.000
NR	<mark>-0.147**</mark>	0.000	0.179**	0.000	0.403**	0.000	0.452**	0.000	0.428**	0.000

*Significant correlation at the 0.05 level

235 **Significant correlation at the 0.01 level

236 To identify any relations and influence radius in the vertical direction, the statistical 237 correlations between BLH and air temperature at different heights and at different times are listed 238 in Table 4. At 10:00, only air temperature below 1000 m is correlated with BLH, but after 12:00, 239 air temperature within 5000 m is significantly correlated with BLH. Thermal forcing is the driving 240 force for the development of mixing layer in daytime (10:00-18:00), but only small amount of solar 241 radiation is absorbed by air in the boundary layer, most (about 90%) are delivered to the surface, 242 then the surface varies responding to the solar radiation changes and forces the changes of 243 boundary layer through turbulent transport, the forcing effect decreases with height, and the higher 244 altitude, the less significant temporal variation of air temperature [1], that why the Pearson 245 correlation coefficient is highest at the surface and decreases with height at all times. Furthermore, 246 the highest correlation between BLH and air temperature below (above) 1000 m occurs at 12:00 247 (14:00) with a Pearson correlation coefficient of 0.748 (0.637), for the whole troposphere, the 248 ground surface is the main heat source, the air temperature in the free atmosphere also changes with 249 the surface variation, that is, variation of solar radiation, so there is no doubt that relative higher 250 correlation exits between BLH and air temperature at even 5 km at 14:00.

TABLE 4: Statistical correlations between BLH and air temperature at different heights and at
 different times (*r*: Pearson correlation coefficient; *l*: Significance level)

height 10:00 12:00 14:00 16:00 18:00	height
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(m)	r	l	r	L	r	L	r	l	r	L
0	0.565**	0.000	0.748**	0.000	0.655**	0.000	0.638**	0.000	0.627**	0.000
100	0.545**	0.000	0.739**	0.000	0.655**	0.000	0.639**	0.000	0.617**	0.000
200	0.532**	0.000	0.727**	0.000	0.654**	0.000	0.632**	0.000	0.608**	0.000
300	0.519**	0.000	0.718**	0.000	0.653**	0.000	0.623**	0.000	0.601**	0.000
400	0.481**	0.000	0.708**	0.000	0.652**	0.000	0.610**	0.000	0.590**	0.000
500	0.446**	0.003	0.697**	0.000	0.647**	0.000	0.599**	0.000	0.582**	0.000
600	0.412**	0.007	0.683**	0.000	0.644**	0.000	0.585**	0.000	0.571**	0.000
700	0.385*	0.012	0.668**	0.000	0.642**	0.000	0.573**	0.000	0.558**	0.000
800	0.360*	0.019	0.654**	0.000	0.640**	0.000	0.563**	0.000	0.548**	0.000
900	0.341*	0.027	0.641**	0.000	0.639**	0.000	0.553**	0.000	0.539**	0.000
1000	0.322*	0.038	0.629**	0.000	0.637**	0.000	0.540**	0.000	0.529**	0.000
1250	0.275	0.078	0.592**	0.000	0.628**	0.000	0.5000**	0.001	0.496**	0.001
1500	0.252	0.108	0.575**	0.000	0.625**	0.000	0.477**	0.001	0.478**	0.001
1750	0.256	0.101	0.573**	0.000	0.627**	0.000	0.478**	0.001	0.475**	0.001
2000	0.255	0.103	0.565**	0.000	0.625**	0.000	0.470**	0.002	0.469**	0.002
2250	0.254	0.105	0.558**	0.000	0.624**	0.000	0.462**	0.002	0.460**	0.002
2500	0.257	0.101	0.557**	0.000	0.623**	0.000	0.458**	0.002	0.456**	0.002
2750	0.249	0.112	0.547**	0.000	0.618**	0.000	0.447**	0.003	0.446**	0.003
3000	0.256	0.102	0.551**	0.000	0.620**	0.000	0.447**	0.003	0.448**	0.003
3250	0.245	0.118	0.539**	0.000	0.611**	0.000	0.431**	0.004	0.432**	0.004
3500	0.247	0.115	0.537**	0.000	0.609**	0.000	0.427**	0.005	0.427**	0.005
3750	0.242	0.123	0.531**	0.000	0.604**	0.000	0.417**	0.006	0.419**	0.006
4000	0.251	0.109	0.534**	0.000	0.604**	0.000	0.420**	0.006	0.422**	0.005
4250	0.252	0.107	0.533**	0.000	0.600**	0.000	0.416**	0.006	0.419**	0.006
4500	0.250	0.111	0.530**	0.000	0.598**	0.000	0.412**	0.007	0.414**	0.006
4750	0.246	0.117	0.527**	0.000	0.595**	0.000	0.407**	0.007	0.409**	0.007
5000	0.247	0.115	0.528**	0.000	0.594**	0.000	0.408**	0.007	0.409**	0.007

253 *Significant correlation at the 0.05 level

254 ******Significant correlation at the 0.01 level

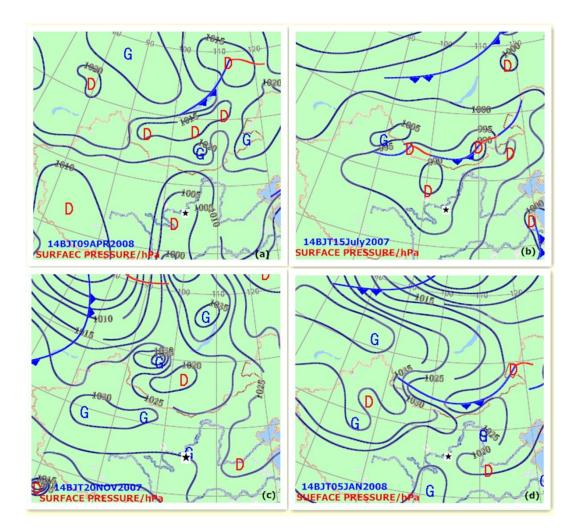
255 4. Cases analysis

To verify the statistical results and analyze the roles played by atmospheric variables in the
development of the boundary layer, four cloudless sunny days from different seasons, 15 July 2007,
20 November 2007, 5 January 2008 and 09 April 2008, were selected as typical summer, fall,
winter and spring examples for analysis.

4.1 Synoptic condition. Besides surface processes, synoptic condition is also an important factor
contributing to the overall height of boundary layer. The surface pressure at 14:00 Beijing time on 9
April 2008, 15 July 2007, 20 November 2007 and 5 January 2008 are shown in figure 2, while
Figure 3 shows the time-altitude cross-section of the backscatter intensity and temporal variation in
BLH retrieved using the curve fitting method (red line). After 10:00, BLH began to increase on all

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265 cases, higher BLH appeared before 10:00 on 9 April 2008 and 15 July 2007 (Figure 3 (a), (b)), 266 which may be caused by cloud or the inherent disadvantage of the method. Figure 2 (a) and (b) 267 shows that at 14:00, on 9 April 2008 and 15 July 2007, Yuzhong region was controlled by weak 268 low-surface pressure, the updrafts promoted boundary development, and according to Figure 3 (a) 269 and (b), the highest BLH were 1850 m and 2150 m on the two cloudless sunny days, which were 270 relative higher than on other two cases. On 20 November 2007 and 5 January 2008, the area was 271 controlled by the edge of high-pressure system at 14:00 Beijing time, indicating aloft airflow 272 convergence and surface divergence, which subsided and restricted the development of BLH, and 273 the highest BLH were 1100m and 860 m on the two cases (Figure 3 (c) and (d)). Meanwhile, Figure 274 3 shows that difference exited on the time that BLH got the maximum, on 09 April 2008 and 15 275 July 2007, the BLH increased to the peak at 17:30 and 15:30, while on 20 November 2007 and 5 276 January 2008, the BLH got the maximum at 17:00. It is not difficult to understand that the 277 difference on temporal variation in BLH is closely related to the differences on the land surface 278 processes and the variation in atmospheric variables. In addition, the difference between the height 279 at which the signal reduced fastest and the BLH (retrieved with the curve fitting method) was small 280 (Figure 3), the corresponding time was also fairly consistent, which supports the choice of the 281 curve fitting method for retrieving BLH on sunny days.





283 FIGURE 2: Surface pressure at (a) 14:00 BJT 9 April 2008, (b) 14:00 BJT 15 July 2007, (c) 14:00

BJT 20 November 2007, and (d) 14:00 BJT 5 January 2008. Black star denotes measurement site.

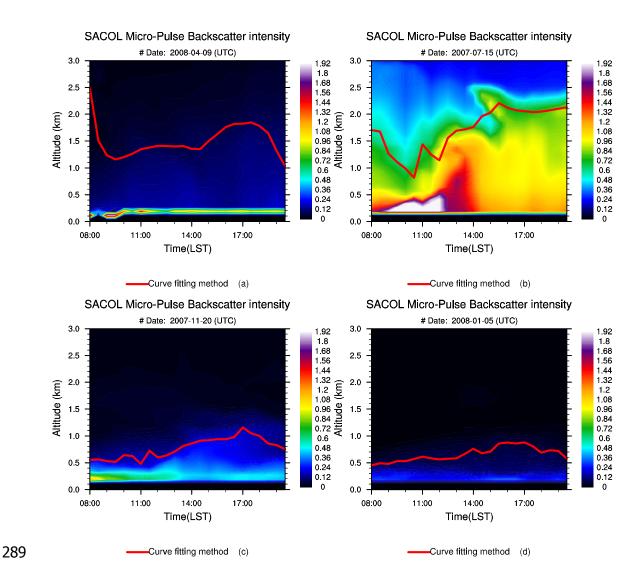


FIGURE 3: Time–altitude cross-sections of the backscatter on (a) 9 April 2008, (b) 15 July 2007, (c)
20 November 2007, and (d) 5 January 2008.

292 4.2 Temporal variation analysis. The temporal variations in radiation variables are plotted in Figure 293 4. The downward shortwave radiation (DSR) is the part of the solar radiation that reaches the 294 surface after attenuation by the atmosphere, some is absorbed by the surface and the rest is reflected 295 back into the atmosphere as upward shortwave radiation (USR). Therefore, on all cases, although 296 had a lower intensity, the upward shortwave radiation always accompanied the downward 297 component. From Figure 4, it is obviously that on the first two cases, short wave radiation had 298 higher values than on the 20 November 2007 and 5 January 2008, which were caused by the 299 seasonal variation of solar altitude angles (atmospheric transparency was not considered in 300 cloudless sunny days). Except the synoptic condition, shortwave radiation contributes to the 301 difference on BLH to a certain extent as the ultimate source of energy. Besides, for temporal

variation, the two variations reached their maximum values between 12:30 and 13:30, at about
13:00 on 15 July 2007 and 12:30 on 20 November 2007, while at about13:30 on 9 April 2008 and 5
January 2008, and then decreased rapidly. Although the temporal variation in shortwave radiation
was similar, significant difference exited on the development and lag time of BLH on four cases:
the lag time of BLH was less than 3 hours on 15 July 2007, while BLH developed more than 3
hours later on other three cases, indicating that differences on land process in different seasons
contributes to the difference on the lag law of BLH.

309 The upward long wave radiation (ULR) changed 0.5 to 1 hour later than the shortwave 310 radiation, this is because upward long wave radiation depends on surface temperature, and the 311 various processes occurring from the arrival of solar radiation at the surface to the surface reaching 312 its maximum temperature take some time. Obviously the biggest value of the upward long wave 313 radiation was on 15 July 2007 while the smallest one on 5 January 2008, on other two cases was 314 somewhere in between. The times that the peaks occurred were between 13:30 to 14:30, indicating 315 that the lag times of BLH were 3.5, 1.5, 3.5 and 2.5 hours respectively. The temporal variation in 316 downward long wave radiation (DLR) was different from that of the other radiation components: 317 increased until about 18:00 or after 17:00 the decreasing tendency began to appear, the temporal 318 variation range of downward long wave radiation was weak on all cases. The atmosphere absorbs 319 both shortwave and long wave radiation, but mainly long wave radiation: only 15%-25% of the 320 shortwave radiation is absorbed. After the long wave radiation is absorbed by greenhouse gases 321 such as water vapor and carbon dioxide, the atmosphere is exothermic, and this is the source of 322 downward long wave radiation; therefore, it is easy to understand why it reached the peak latest. It 323 is also strongly influenced by cloudiness and air humidity, so the intensity of downward long wave 324 radiation was relatively low and it had a weak effect on surface heating on cloudless sunny days, 325 which explains why downward long wave radiation was weaker related to BLH than the other three 326 radiation variables (Table 2).

Figure 4 also shows net radiation (NR), being different from the profile of daily average in Figure 1, it had an obvious daily variation and changed consistently with shortwave radiation on all cases. The variation in net radiation is the cumulative results of the components' variation in the radiation balance, but the shortwave radiation is the dominant variable. The major factors that 331 affect the net radiation are solar altitude angles, altitude, cloud cover and surface albedo (altitude 332 and cloud cover, not considered in the study), so the variation of solar altitude angles on the 333 different cases is the fundamental factor to the difference of net radiation [34], and the lagged law 334 between net radiation and BLH on different cases is similar to that between the shortwave radiation 335 and BLH.

336 Figure 5 shows temporal variations in surface air temperature (SAT), surface infrared 337 temperature (ST), sensible heat flux (SHF) and surface wind speed (WS). The surface air 338 temperature was the air temperature at 2 meters above the surface, so it changed basically 339 synchronously with the surface temperature. Relative to two temperature variables, except 15 July 340 2007, BLH showed significant lag on other three cases, the lag time was about 1 hour on 17 April 341 2008, about 2 hours on 20 November 2007 and 1 January 2008. On 15 July 2007, two profiles of 342 temperature increased until about 17:00 and then began to decrease, which were in line with the 343 trend of BLH and didn't show the lag effect of BLH very well, which may be responding to that in 344 summer turbulent exchange is stronger and heat exchange between surface and atmosphere is faster. 345 The temporal variation in sensible heat flux (SHF) was different from others, especially on the 346 previous two cases it even changed simultaneously with BLH, on 20 November 2007 and 5 January 347 2008, BLH changed about 1 hour later than the variable. The sensible heat flux is mainly 348 determined by difference between surface temperature, surface air temperature, and surface wind 349 speed. According to Figure 5, the heat sensible flux changed a little time later than the difference 350 between surface temperature and surface air temperature, but wind speed (red lines) kept increasing 351 until 18:00, which led to the less lag time between BLH and sensible heat flux. Besides that, the 352 heat sensible was calculated through surface air temperature, surface temperature and surface wind 353 speed, the accumulated error was inevitable.

The temporal variations in atmospheric pressure (AP) and surface relative humidity (SRH) are shown in Figure 6, opposite to the variation in BLH. A comparison with the surface air temperature in Figure 5 shows that the air temperature reached its maximum at the same time as relative humidity reached its minimum, and the two quantities were highly negatively correlated. Similarly, the lag time was about 1, 2, 2 hours on 17 April 2008, 20 November 2007 and 1 January 2008 respectively. On 15 July 2007, both variables decreased until about 16:00 when relative humidity 360 maintained its minimum and the tendency of the growth appeared at about 17:00, while pressure 361 kept decreasing until 18:00. In theory, the local atmospheric pressure is mainly determined by 362 surface air temperature: the higher the air temperature, the greater the diffusion of air molecules 363 and the lower the pressure, explaining why pressure and humidity are negatively related with BLH. 364 For the temporal variation, the atmospheric pressure changed weakly and the correlation between it 365 and BLH was not as strong as between BLH and surface air temperature.

366 The above results show that on all cases in different seasons, the temporal variations in all 367 variables corresponded well to that of BLH, with upward long wave radiation, surface temperature, 368 and surface air temperature having the closest correspondence. In time domain, on different cases 369 difference exited on lag time of BLH, but on the whole, the BLH changed about 3 hours later than 370 shortwave radiation and net radiation; for upward long wave radiation, BLH lagged that 2.5-3 hours, 371 similarly, relative to the surface temperature, surface air temperature and surface relative humidity, 372 BLH developed about 2 hours later; Besides, the BLH changed less than1 hour later than sensible 373 heat flux. The atmospheric pressure changed consistently with BLH on all cases. The difference 374 about lagged effect is mainly because of the seasonal variation of solar altitude angles and as 375 turbulent exchange intensity is different in different seasons. Furthermore, these delays in boundary 376 layer response are related not only to the finite response times of the distribution, transformation, 377 and transmission in the atmosphere for surface radiation energy, but also to the lag in aerosol 378 delivery. For the lidar data, BLH is identified by the vertical distribution of aerosol, but upward 379 transport of aerosol only begins after the boundary layer has developed in response to 380 thermodynamic factors after sunrise, and the true height of the boundary layer declines rapidly with 381 the weakening of solar radiation in the afternoon, whereas the BLH retrieved from lidar data 382 decreased slowly. Delays in boundary layer response may also reflect the influence of dynamical 383 factors such as wind shear. Northwest China is in a region dominated by westerlies, and the 384 atmospheric circulation background that influences the formation and development of the boundary 385 layer has some special characteristics [35]. However, the correlation between wind shear and BLH 386 was not considered because of the limitations of the wind data.

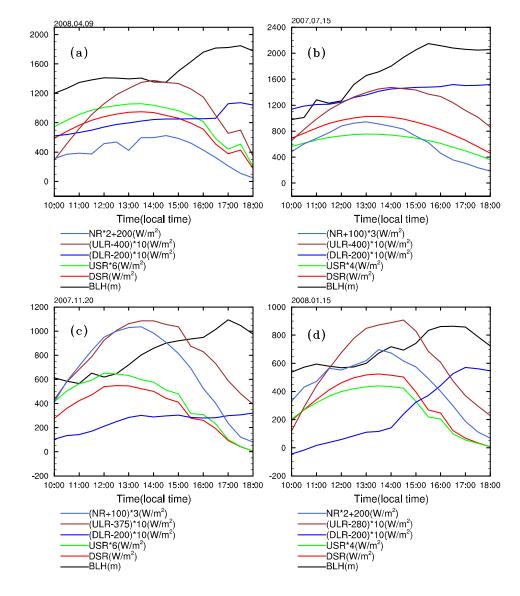
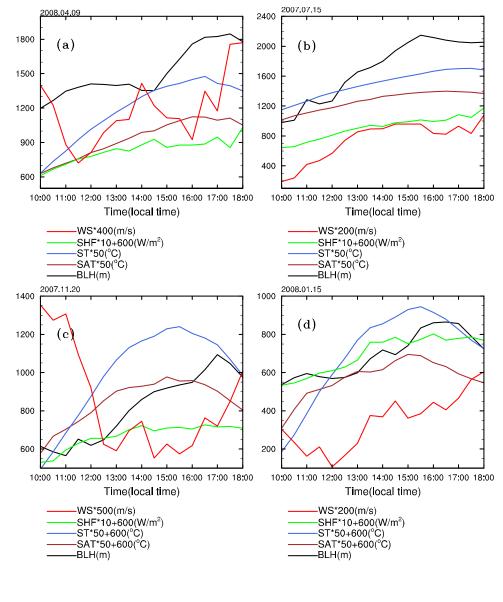
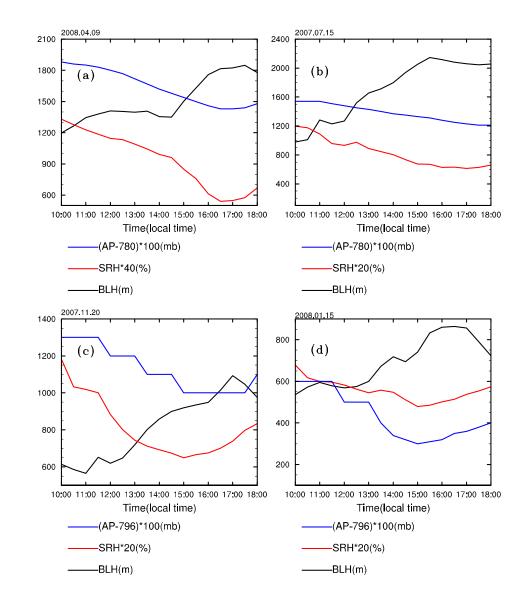


FIGURE 4: Temporal variations in boundary layer height (BLH), downward shortwave radiation
(DSR), upward shortwave radiation (USR), downward long wave radiation (DLR), upward long
wave radiation (ULR), and net radiation (NR) on (a) 9 April 2008, (b) 15 July 2007, (c) 20
November 2007, and (d) 5 January 2008.



<mark>395</mark>	FIGURE 5: Temporal variations in boundary layer height (BLH), sensible heat flux (SHF), surface
<mark>396</mark>	temperature (ST), surface air temperature (SAT) and surface wind speed (WS) on (a) 9 April 2008,
397	(b) 15 July 2007, (c) 20 November 2007, and (d) 5 January 2008.
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399	



402

403 (FIGURE 6: Temporal variations in boundary layer height (BLH), surface relative humidity (SRH),
404 and atmospheric pressure (AP) on (a) 9 April 2008, (b) 15 July 2007, (c) 20 November 2007, and (d)
405 5 January 2008.

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406 5. Conclusions

407 This study retrieved BLH on cloudless sunny days from June 2007 to May 2008 from lidar data 408 using a curve fitting method, and identified correlations between both averages and time series of 409 BLH and various atmospheric variables; the vertical dependence of BLH on air temperature was 410 also investigated. Then, using four typical cases in different seasons studies 15 July 2007, 20 411 November 2007, 5 January 2008 and 9 April 2008, the variables responsible for the development of 412 the boundary layer and the lagged correlations between temporal changes of these variables and413 BLH were investigated. The conclusions of the study are as follows.

414 (1) Among the atmospheric variables (not including dynamic factors), thermal variables such 415 as radiation variables surface temperature and sensible heat flux have more significant positive 416 correlations with BLH. The response time to thermal forcing of the surface and atmosphere, 417 together with aerosol transmission delay, means that the development of the boundary layer lags 418 behind changes in the driving variables, with different lag times for different variables.

(2) On different cases, the lag correlation laws between BLH and variables are different
(especially on 15 July 2007), but on the whole, only downward long wave radiation changes
synchronous with BLH. Changes in the boundary layer occur 3 hours later than changes in
downward, upward shortwave radiation, upward long wave radiation and net radiation. The lag
time of BLH is about 2 hours relative to surface temperature and surface air temperature, and BLH
lags about 1 hour than surface sensible heat flux.

425 (3) Surface relative humidity and atmospheric pressure are weaker negatively correlated with426 BLH, BLH changes about 2 hours later than surface relative humidity.

427 (4) The vertical dependence of BLH on air temperature is greatest near the surface and
428 decreases with height. The most significant correlation between air temperature below (above)
429 1000 m and BLH occurs at 12:00 (14:00).

430 While these conclusions are important, there are still many unresolved problems. Firstly, curve 431 fitting is an effective method for calculating BLH from lidar data, but is limited to cloudless sunny 432 days. The number of days and their seasonal distribution were constrained by data availability, so 433 while the statistical results are representative they also have some limitations. Secondly, although 434 wind shear, a major dynamical factor, affects the thermal transmission and diffusion capacity of the 435 atmosphere and is significantly correlated with the development of the boundary layer in theory, it 436 was not considered here because of the limited amount of data available. This analysis focused on 437 finding out the statistical correlation between BLH and conventional atmospheric variables 438 according to the directly routine observations at SACOL to provide basis and support for the 439 assimilation of BLH in northwest China, it was not possible enough to comprehensively

440 characterize the meteorological conditions affecting the development of the boundary layer in the

441 Yuzhong area.

442 **Conflict of Interests**

443 The authors declare that there is no conflict of interests of regarding the publication of this paper.

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